

**AMENDMENT NO. 4 TO AGREEMENT NO. 13-WO-65 BETWEEN
SOUTH BAY WORKFORCE INVESTMENT BOARD, INC. AND
ORANGE COUNTY WORKFORCE INVESTMENT BOARD**

THIS AMENDMENT is made and entered into this ___ day of 2015, by and between the **South Bay Workforce Investment Board, Inc.** (SBWIB, Inc.), a non-profit public benefit corporation, on behalf of the **City of Inglewood** ("City") herein after referred to as the "SBWIB, Inc." and the **Orange County Workforce Investment Board**, a political subdivision of the State of California, hereinafter referred to as "County" with its principal place of business located at **1300 South Grand Avenue, Building B, 3rd Floor, Santa Ana, CA 92705.**

WHEREAS, the parties hereto have previously entered into an Agreement on the **18th day of March 2013**, to provide employment and job training services to eligible participants; and,

WHEREAS, on February 13, 2013, the SBWIB, Inc. was awarded by the State of California, Employment Development Department (EDD) a 25% WIA Dislocated Worker Additional Assistance Project for the CA Multi-Sector Workforce Partnership, which consists of 14 local Workforce Investment Boards (LWIB); and,

WHEREAS, this partnership is to provide core, intensive, training, and support services to dislocated workers who reside in economically vital regions of the state; and,

WHEREAS, the County represents itself as being qualified and capable of providing said services in accordance with all rules and regulations developed to implement said statutes and in accordance with the terms and conditions of this agreement, as well as Federal, State and local regulations regarding WIA funds; and,

WHEREAS, the SBWIB, Inc. and the County are interested in amending Agreement No. 13-WO-65 to provide continued said services in accordance with the terms and condition set forth below;

NOW, THEREFORE, in consideration of the recitals and the mutual obligations provided herein, the parties hereto agree as follows:

1. **Section II, COMPENSATION, paragraph A.**, is amended to read “The third increment of funding in Agreement No. 13-WO-65 is available in the amount of \$377,901 beginning October 1, 2014. The total amount of funds in this Agreement shall not exceed \$1,722,431.”

2. **Section III – TERM OF AGREEMENT**, is amended to read “The term of the Agreement shall be from **September 1, 2012 to September 30, 2015**.”

3. **Exhibit B.1, STATEMENT OF WORK-CA Multi-Sector Partnership**, [attached hereto and incorporated herein by this reference] amends and supersedes the original Exhibit B Statement of Work – CA Multi-Sector Partnership attached to Agreement No. 13-WO-65, by increasing the amount of funding, adding service provision for eligible veterans, and extending the enrollment deadline for this project.

4. **Attachment A-2.2, CONTRACTOR’S PARTICIPANT PLAN** [attached hereto and incorporated herein by this reference] amends and supersedes the original Attachment A-2 attached to Agreement No. 13-WO-65, by setting quarterly participant goals to be attained and efforts to meet the minimum performance outcomes for the project as stated in the Statement of Work.

5. **Exhibit C.1, BUDGET SUMMARY FORMS** [attached hereto and incorporated herein by this reference] amends and supersedes the original Exhibit C attached to Agreement No. 13-WO-65.

Except as otherwise specifically amended herein, all terms and conditions contained in the original Agreement No. 13-WO-65 as previously amended shall remain in full force and effect.

IN WITNESS WHEREOF, **SBWIB, Inc.** has executed this Amendment as of the date first above written.


By: **COUNTY OF ORANGE/ORANGE COUNTY WORKFORCE INVESTMENT BOARD**

Signature: _____

Name: _____

Title: _____

APPROVED AS TO FORM:



County Counsel

SOUTH BAY WORKFORCE INVESTMENT BOARD, INC.

Jan Vogel, Chief Executive Officer

APPROVED AS TO FORM:

Jack Ballas, Attorney-at-Law

EXHIBIT B.1

**STATEMENT OF WORK
CA Multi-Sector Workforce Partnership Project**

Project Overview

The South Bay Workforce Investment Board, Inc. (SBWIB, Inc.) has been awarded by the State of California, Employment Development Department (EDD) a 25% WIA Dislocated Worker Additional Assistance Project Grant on behalf of the CA Multi-Sector Workforce Partnership that will provide core, intensive, training and supportive services to dislocated workers in need of employment and job training services. Dislocated workers must be laid off from companies and layoff events identified in Attachment A-1 to receive services under this grant.

Enrollments, Training and Participant Planned Outcomes*

All participants eligible for services/assistance under this agreement shall be registered/enrolled no later than **June 30, 2015**, unless otherwise approved. Service plans that include training activities must be planned with a completion of training outcome that allows for appropriate job placement services and outcomes on or before the end of the grant period.

Demand Driven Job Training may reflect individual labor markets in the project area that support many economic engines that influence the demand for personnel. These job opportunities can be found in traditional occupations while others are considered new and emerging. Each Contractor is encouraged to identify job training services that are in high demand occupations and offer solid career paths, stability and growth potential.

A **participant plan** will be established for each Contractor under this project and will be used as a gauge in monitoring the County's performance, service levels provided to participants and funds utilization. **Reference Attachment A-2.2 Participant Plan (as amended).**

Eligible Veterans

Based on information received by EDD we have been informed that we may provide employment and training services to unemployed, recently separated and post 9-11 era veterans. The following eligibility guidelines will apply:

1. Veterans served by this project must be recently separated from the military within the last 48 months prior to application and received a **DD214** for conditions other than dishonorable. The 25% eligibility guidelines that are most applicable to the recently separated Veterans are as follows:

- Has been terminated/discharged from their military service AND
- Is eligible for or has exhausted entitlement to unemployment compensation AND
- Is unlikely to return to previous industry or occupation

2. Veterans who have served at least 90 days of active duty service after September 11, 2001 and received an honorable discharge will qualify as a Post-9/11 era veteran. All Post-9/11 era veterans should have a Gold Card or shall be aware of the Gold Card services as outlined in EDD Workforce Services Information Notice 11-16, dated November 29, 2011.

Veteran's services must be conducted in accordance with the **Veterans' Priority Provisions** of the "Jobs for Veterans Act," Public Law (PL) 107-288. The Training and Employment Guidance Letter 5-03 provides you with general guidance regarding the implementation of the Veterans' priority and how this priority will affect current business practices.

On-the-Job and Customized Training Waivers

Services planned for On-the-Job and/or Customized Training must comply with current WIA Statewide California Waivers, directives and project guidelines established under this grant and issued by the SBWIB, Inc. Waivers are effective through **June 30, 2017**.

Statewide Performance Measurements Chart (minimum standards for the project)

| Dislocated Workers | Performance Standards |
|--|------------------------------|
| Dislocated Worker Entered Employment Rate | 65% |
| Dislocated Worker Employment Retention Rate | 83% |
| Dislocated Worker Earnings Replacement Rate | \$15,900 |

Enrollment Plan-Grant Code: 215

| Program | New Enrollments | Funding* |
|--|------------------------|---------------------------------|
| CA Multi-Sector Workforce Partnership Project – 25% | 169 | 1st Increment |
| | | \$670,518 |
| | | 2nd Increment |
| | | \$674,012 |
| | 50 | 3rd Increment |
| | | \$377,901 |
| Totals | 219 | \$1,722,431 |

**Funding Increments are contingent upon available funding, performance and need.*

Contract term date: September 1, 2012 to September 30, 2015

ATTACHMENT A-2.2

CONTRACTOR'S PARTICIPANT PLAN

CONTRACTOR'S PARTICIPANT PLAN

| | | | | | | | | | | |
|---|-------|------|-------------------------------|------|----------------------|------|-------|------|------|------|
| Participating LWIBs: County of Orange | | | | | | | | | | |
| Project Title: CA Multi-Sector Workforce Partnership – State 25%-Additional Assistance Project | | | | | | | | | | |
| Term: 9/1/12 through 9/30/15 | | | | | | | | | | |
| Initial: <input type="checkbox"/> Amendment Request: <input checked="" type="checkbox"/> Amendment #: <u>2</u> | | | | | | | | | | |
| I. Quarterly Participation (Cumulative) | | | | | | | | | | |
| A. Quarter End Date (MM/YY) | 12/12 | 6/13 | 12/13 | 3/14 | 6/14 | 9/14 | 12/14 | 3/15 | 6/15 | 9/15 |
| B. Total Participants Enrolled | 0 | 0 | 50 | 115 | 131 | 150 | 169 | 194 | 244 | |
| C. Total Participants in Training | 0 | 0 | 8 | 20 | 30 | | | 35 | 40 | |
| D. Total Participants Exited | 0 | 0 | 10 | 14 | 20 | 75 | 118 | 169 | 194 | 244 |
| E. Total Participants Employed at Exit | 0 | 0 | 10 | 12 | 17 | 49 | 77 | 110 | 126 | 158 |
| II. Performance Goals | | | | | | | | | | |
| | | | PY2012-13 State Goals* | | Project Goals | | | | | |
| A. Entered Employment Rate | | | 65% | | 65% | | | | | |
| B. Employment Retention Rate | | | 83% | | 83% | | | | | |
| C. Average Earnings Rate | | | \$15,900 | | \$15,900 | | | | | |
| D. Employment and Credential Attainment Rate | | | N/A | | N/A | | | | | |
| <i>*Statewide Performance Measures are to be used as minimum standards for the Project Goals</i> | | | | | | | | | | |
| <i>**Participant activities through <u>9/14</u> should reflect actual activities and outcomes reported</i> | | | | | | | | | | |

EXHIBIT C.1

BUDGET SUMMARY FORMS

**BUDGET SUMMARY
SOUTH BAY WORKFORCE INVESTMENT BOARD**

| | | | |
|---|--|------------------------------------|--|
| Contractor: <u>County of Orange</u> | Budget Revision No. <u>1</u> | DUNS No.: <u>73507670</u> | Contact Name: <u>Jolie Sheppick</u> |
| Agreement No. <u>13-WO-65</u> | Agreement Period: <u>9/1/2012-3/1/2014</u> | CCR No.: _____ | Tel. No. <u>714-480-6423</u> |
| Project: <u>25% WIA Dislocated Worker</u> | | Federal Tax I.D. <u>95-6000928</u> | Fax No. <u>714-834-7132</u> |
| Agreement Amount: \$ <u>1,344,530.00</u> | | | E-mail Address: <u>jolie.sheppick@occr.ocgov.com</u> |

SCHEDULE OF COSTS

| Cost Classification | | | | | | | | |
|-----------------------------|------------------------|----------------------|----------------------|----------------------|----------------------|------------------------|---------------------|------------------------|
| Description | Total Contract Amount | ADMIN (max 9.5%) | CORE A SERVICES | CORE B SERVICES | INTENSIVE | TRAINING (Min Req 35%) | SUPPORTIVE SERVICES | TOTAL |
| Personnel Costs | \$ 533,386.90 | \$ 126,196.23 | \$ 210,497.34 | \$ 91,433.02 | \$ 22,869.32 | \$ 82,391.00 | \$ - | \$ 533,386.90 |
| Other Costs (Non-Personnel) | \$ 699,044.10 | \$ - | \$ 249,044.10 | \$ 200,000.00 | \$ 250,000.00 | \$ - | \$ - | \$ 699,044.10 |
| Training Cost | \$ 450,000.00 | \$ - | \$ - | \$ - | \$ - | \$ 450,000.00 | \$ - | \$ 450,000.00 |
| Supportive Services | \$ 40,000.00 | \$ - | \$ - | \$ - | \$ - | \$ - | \$ 40,000.00 | \$ 40,000.00 |
| Profit | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - | \$ - |
| TOTAL COSTS | \$ 1,722,431.00 | \$ 126,196.23 | \$ 459,541.44 | \$ 291,433.02 | \$ 272,869.32 | \$ 532,391.00 | \$ 40,000.00 | \$ 1,722,431.00 |