

AGREEMENT FOR PROVISION OF  
PUBLIC HEALTH MEDICAL SERVICES  
BETWEEN  
COUNTY OF ORANGE

AND

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA,  
A CONSTITUTIONAL CORPORATION, ON BEHALF OF UC IRVINE HEALTHCARE  
JULY 1, ~~2012~~2014 THROUGH JUNE 30, ~~2014~~2017

THIS AGREEMENT entered into this 1st day of July ~~2012~~2014, which date is enumerated for purposes of reference only, is by and between the COUNTY OF ORANGE (COUNTY) and THE REGENTS OF THE UNIVERSITY OF CALIFORNIA, a constitutional corporation on behalf of UC IRVINE HEALTHCARE (CONTRACTOR). This Agreement shall be administered by the County of Orange Health Care Agency (ADMINISTRATOR).

**W I T N E S S E T H:**

WHEREAS, CONTRACTOR is an Orange County hospital that has been licensed and/or designated to provide specific services as defined and described in Exhibit A to this Agreement; and

WHEREAS, COUNTY wishes to contract with CONTRACTOR for the provision of Public Health Medical Services described herein; and

WHEREAS, CONTRACTOR is agreeable to the rendering of such services on the terms and conditions hereinafter set forth;

NOW, THEREFORE, IT IS MUTUALLY AGREED AS FOLLOWS:

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**REFERENCED CONTRACT PROVISIONS**

**Term:** July 1, ~~2012~~2014 through June 30, ~~2014~~2017  
Period One means the period from July 1, ~~2012~~2014 through June 30, ~~2013~~2015  
Period Two means the period from July 1, ~~2013~~2015 through June 30, ~~2014~~2016  
Period Three means the period from July 1, 2016 through June 30, 2017

**Basis for Reimbursement:** Fee-for-Service

**Payment Method:**           Fee-for-Service

**Notices to COUNTY and CONTRACTOR:**

COUNTY:            County of Orange  
           Health Care Agency  
           Contract Development and Management  
           405 West 5th Street, Suite 600  
           Santa Ana, CA 92701-4637

CONTRACTOR: Susan J. Rayburn  
           Vice President, Contracting and Network Development  
           UC Irvine Healthcare  
           333 City Blvd. West, Suite 160  
           Orange, CA 92868

**~~CONTRACTOR's Insurance Coverages:~~**

| <del>Coverage</del>   | <del>Minimum Limits</del>   |
|---|---|
| <del>Commercial General Liability</del>   | <del>\$1,000,000 per occurrence</del>                                     |
| <del>Automobile Liability, including coverage for owned, non-owned and hired vehicles</del> | <del>\$2,000,000 aggregate</del><br><del>\$1,000,000 per occurrence</del> |
| <del>Workers' Compensation</del>  | <del>Statutory</del>  |
| <del>Employer's Liability Insurance</del>   | <del>\$1,000,000 per occurrence</del>                                     |
| <del>Professional Liability Insurance</del>   | <del>\$1,000,000 per claims made or</del><br><del>per occurrence</del>    |
| <del>Sexual Misconduct</del>  | <del>\$1,000,000 per occurrence</del>                                     |

//

## I. ACRONYMS

The following standard definitions are for reference purposes only and may or may not apply in their entirety throughout this Agreement:

|    |               |                   |   |
|----|---------------|-------------------|---|
| 1  |               |                   |   |
| 2  |               |                   |   |
| 3  |               |                   |   |
| 4  | A.            | ARRA              | _____ American Recovery and Reinvestment Act  |
| 5  | B.            | <del>ASA</del>    | <del>American Society of Anesthesiologists</del>  |
| 6  | <del>C.</del> | <del>ASRS</del>   | <del>_____ Alcohol and Drug Programs Reporting System</del>                                 |
| 7  | C.            | <del>D.</del>     | <del>CCC</del> _____ California Civil Code  |
| 8  | D.            | <del>E.</del>     | <del>CCR</del> _____ California Code of Regulations   |
| 9  | E.            | CEO               | _____ County Executive Office   |
| 10 | F.            | CFR               | _____ Code of Federal Regulations   |
| 11 | G.            | CHPP              | _____ COUNTY HIPAA Policies and Procedures  |
| 12 | H.            | CHS               | _____ Correctional Health Services  |
| 13 | I.            | <del>CT</del>     | <del>Computed Tomography</del> <u>COI</u> _____ <u>Certificate of Insurance</u>             |
| 14 | J.            | D/MC              | _____ Drug/Medi-Cal   |
| 15 | K.            | DHCS              | _____ Department of Health Care Services  |
| 16 | L.            | DPFS              | _____ Drug Program Fiscal Systems   |
| 17 | M.            | DRS               | _____ Designated Record Set   |
| 18 | N.            | ePHI              | _____ Electronic Protected Health Information   |
| 19 | O.            | GAAP              | _____ Generally Accepted Accounting Principles  |
| 20 | P.            | HCA               | _____ Health Care Agency  |
| 21 | Q.            | <del>O.</del>     | <del>HHS</del> _____ Health and Human Services  |
| 22 | R.            | <del>P.</del>     | <del>HIPAA</del> _____ Health Insurance Portability and Accountability Act <u>of 1996</u> , |
| 23 |               | <u>Public</u>     |   |
| 24 |               |                   | _____ <u>Law 104-191</u>  |
| 25 | S.            | <del>Q.</del>     | <del>HSC</del> _____ California Health and Safety Code                                      |
| 26 | T.            | ISO               | _____ Insurance Services Office   |
| 27 | U.            | <del>R.</del>     | <del>MHP</del> _____ Mental Health Plan   |
| 28 | V.            | <del>S.</del>     | <del>MRI</del> _____ <del>Magnetic Resonance Imaging</del>                                  |
| 29 | <del>T.</del> | <del>MSI</del>    | <del>Medical Services Initiative</del>  |
| 30 | <del>U.</del> | <del>OCJS</del>   | <del>_____ Orange County Jail System</del>  |
| 31 | W.            | <del>V.</del>     | <del>OCPD</del> _____ Orange County Probation Department                                    |
| 32 | X.            | <del>W.</del>     | <del>OCR</del> _____ Office for Civil Rights  |
| 33 | Y.            | <del>X.</del>     | <del>OCSD</del> _____ Orange County Sheriff's Department                                    |
| 34 | Z.            | <del>Y.</del>     | <del>OIG</del> _____ Office of Inspector General  |
| 35 | AA.           | <del>Z.</del>     | <del>OMB</del> _____ Office of Management and Budget  |
| 36 | AB.           | <del>AA.</del>    | <del>OPM</del> _____ Federal Office of Personnel Management                                 |
| 37 | AC.           | <del>PA DSS</del> | <del>AB.</del> <del>PADSS</del> _____ Payment Application Data Security Standard            |

1 ~~AD.~~ ~~AC.~~ PC [redacted] State of California Penal Code

2 ~~AE.~~ ~~AD.~~ PCI DSS [redacted] Payment Card Industry Data Security Standard

3 ~~AE.~~ ~~PHI~~ ~~Protected Health Information~~

4 AF. PHI [redacted] Protected Health Information

5 AG. PII [redacted] Personally Identifiable Information

6 ~~AH.~~ ~~AG.~~ PRA [redacted] Public Record Act

7 ~~AH.~~ ~~TAR~~ ~~Treatment Authorization Request~~

8 AI. ~~TB~~ ~~Tuberculosis~~ SIR [redacted] Self-Insured Retention

9 ~~AJ.~~ ~~UCIMC~~ ~~University of California Irvine Medical Center~~

10 AJ. The HITECH Act The Health Information Technology for Economic and Clinical Health  
11 Act, Public Law 111-005

12 AK. USC [redacted] United States Code

13 AL. WIC [redacted] State of California Welfare and Institutions Code

## 14 II. ALTERATION OF TERMS

15  
16 A. This Agreement, together with Exhibit A attached hereto and incorporated herein ~~by reference~~,  
17 fully expresses ~~all the complete~~ understanding of COUNTY and CONTRACTOR with respect to the  
18 subject matter of this Agreement, ~~and shall constitute the total Agreement between the parties for these~~  
19 ~~purposes. No.~~

20 B. Unless otherwise expressly stated in this Agreement, ~~no~~ addition to, or alteration of, the terms of  
21 this Agreement ~~or any Exhibits~~, whether written or verbal, ~~made by the parties, their officers, employees~~  
22 ~~or agents~~ shall be valid unless made in ~~writing and~~ the form of a written amendment to this Agreement,  
23 ~~which has been~~ formally approved and executed by both parties.

## 24 III. COMPLIANCE

25  
26 A. ~~COMPLIANCE PROGRAM~~—ADMINISTRATOR has established a Compliance Program for  
27 the purpose of ensuring adherence to all rules and regulations related to federal and state health care  
28 programs.

29 1. ADMINISTRATOR shall ~~ensure that~~ ~~provide~~ CONTRACTOR ~~is made aware~~ ~~with a copy~~ of  
30 the relevant ~~HCA~~ policies and procedures relating to ADMINISTRATOR's Compliance Program,  
31 ~~HCA's Code of Conduct and General Compliance Trainings.~~

32 2. CONTRACTOR has the option to adhere to ADMINISTRATOR's Compliance Program  
33 ~~and Code of Conduct~~ or establish its own:

34 ~~3. Covered Individuals includes all contractors, subcontractors, agents, and other persons who~~  
35 ~~provide health care items or services or who perform billing or coding functions on behalf of HCA.~~  
36 ~~Notwithstanding the above, this term does not~~, ~~provided~~ CONTRACTOR's Compliance Program and  
37 ~~Code of Conduct have been verified to include~~ ~~part-time or per diem employees, contractors,~~

~~subcontractors, agents, and other persons who are not reasonably expected to work more than one hundred sixty (160) hours per year; except that any such individuals shall become Covered Individuals at the point when they work more than one hundred sixty (160) hours during the calendar year. If CONTRACTOR elects to adopt all required elements by ADMINISTRATOR's Compliance Officer as described in subparagraphs below.~~

~~3. If CONTRACTOR elects to Program, then CONTRACTOR shall ensure that all Covered Individuals relative to this Agreement are made aware of ADMINISTRATOR's Compliance Program and related policies and procedures.~~

adhere to HCA's Compliance Program and Code of Conduct; the CONTRACTOR shall submit to the ADMINISTRATOR within thirty (30) calendar days of award of this Agreement a signed acknowledgement that CONTRACTOR shall comply with HCA's Compliance Program and Code of Conduct.

4. If CONTRACTOR elects to have its own Compliance Program and Code of Conduct then it shall submit a copy of its Compliance Program, Code of Conduct and relevant policies and procedures to ADMINISTRATOR within thirty (30) calendar days of award of this Agreement.

~~5. ADMINISTRATOR's Compliance Officer shall determine if CONTRACTOR's Compliance Program and Code of Conduct contains all required elements. CONTRACTOR shall take necessary action to meet said standards or shall be asked to acknowledge and agree to the ADMINISTRATOR's Compliance Program if it does not contain all required elements.~~

~~6. Compliance Program and Code of Conduct if the CONTRACTOR's Compliance Program and Code of Conduct does not contain all required elements.~~

5. Upon written confirmation from ADMINISTRATOR's Compliance Officer that the CONTRACTOR Compliance Program and Code of Conduct contains all required elements, CONTRACTOR shall ~~acknowledge existence of ADMINISTRATOR's Compliance Program, and~~ ensure that all Covered Individuals relative to this Agreement are made aware of ~~CONTRACTOR~~ CONTRACTOR's Compliance Program, Code of Conduct and related policies and procedures.

6. Failure of CONTRACTOR to submit its Compliance Program, Code of Conduct and relevant policies and procedures shall constitute a material breach of this Agreement. Failure to cure such breach within sixty (60) calendar days of such notice from ADMINISTRATOR shall constitute grounds for termination of this Agreement as to the non-complying party.

B. SANCTION SCREENING – CONTRACTOR shall adhere to all screening policies and procedures and screen all Covered Individuals employed or retained to provide services related to this Agreement to ensure that they are not designated as Ineligible Persons, as ~~defined hereunder,~~ pursuant to this Agreement. Screening shall be conducted against the General Services Administration's ~~List of Parties Excluded from Federal Programs and~~ Parties List System or System for Award Management, the Health, and Human Services/~~OIG~~ Office of Inspector General List of Excluded Individuals/Entities, and

1 the California Medi-CAL Cal Suspended and Ineligible Provider List and/or any other as identified by  
 2 the ADMINISTRATOR.

3 ~~1.~~ 1. Covered Individuals includes all contractors, subcontractors, agents, and other  
 4 persons who provide health care items or services or who perform billing or coding functions on behalf  
 5 of CONTRACTOR. Notwithstanding the above, this term does not include part-time or per-diem  
 6 employees, contractors, subcontractors, agents, and other persons who are not reasonably expected to  
 7 work more than one hundred sixty (160) hours per year; except that any such individuals shall become  
 8 Covered Individuals at the point when they work more than one hundred sixty (160) hours during the  
 9 calendar year. CONTRACTOR shall ensure that all Covered Individuals relative to this Agreement are  
 10 made aware of ADMINISTRATOR's Compliance Program, Code of Conduct and related policies and  
 11 procedures or CONTRACTOR's Compliance Program and Code of Conduct and related policies and  
 12 procedures.

13 2. An Ineligible Person shall be any individual or entity who:

14 a. is currently excluded, suspended, debarred or otherwise ineligible to participate in ~~the~~  
 15 federal and state health care programs; or

16 b. has been convicted of a criminal offense related to the provision of health care items or  
 17 services and has not been reinstated in the federal and state health care programs after a period of  
 18 exclusion, suspension, debarment, or ineligibility.

19 ~~23.~~ 23. CONTRACTOR shall screen prospective Covered Individuals prior to hire or engagement.  
 20 CONTRACTOR shall not hire or engage any Ineligible Person to provide services relative to this  
 21 Agreement.

22 ~~3//~~ 4. CONTRACTOR shall screen all current Covered Individuals and subcontractors  
 23 annually to ensure that they have not become Ineligible Persons. ~~CONTRACTOR~~ shall also request that  
 24 its subcontractors use their best efforts to verify that they are eligible to participate in all federal and  
 25 State of California health programs and have not been excluded or debarred from participation in any  
 26 federal or state health care programs, and to further represent to CONTRACTOR that they do not have  
 27 any Ineligible Person in their employ or under contract directly providing services relative to this  
 28 Agreement.

29 ~~45.~~ 45. Covered Individuals shall be required to disclose to CONTRACTOR immediately any  
 30 debarment, exclusion or other event that makes the Covered Individual an Ineligible Person.  
 31 CONTRACTOR shall notify ADMINISTRATOR immediately ~~upon such disclosure~~ if a Covered  
 32 Individual providing services directly relative to this Agreement becomes debarred, excluded or  
 33 otherwise becomes an Ineligible Person.

34 ~~56.~~ 56. CONTRACTOR acknowledges that Ineligible Persons are precluded from providing federal  
 35 and state funded health care services by contract with COUNTY in the event that they are currently  
 36 sanctioned or excluded by a federal or state law enforcement regulatory or licensing agency. If  
 37 CONTRACTOR becomes aware that a Covered Individual has become an Ineligible Person,



1 CONTRACTOR shall remove such individual from responsibility for, or involvement with, COUNTY  
2 business operations related to this Agreement.

3 ~~67.~~ CONTRACTOR shall notify ADMINISTRATOR immediately ~~upon becoming aware~~ if a  
4 Covered Individual or entity is currently excluded, suspended or debarred, or is identified as such after  
5 being sanction screened. Such individual or entity shall be immediately removed from participating in  
6 any activity associated with this Agreement. ADMINISTRATOR will determine appropriate repayment  
7 ~~from, or sanction(s) to~~ CONTRACTOR for services provided by ineligible person or individual.  
8 CONTRACTOR shall promptly return any overpayments within forty-five (45) business days after the  
9 overpayment is verified by the CONTRACTOR and ADMINISTRATOR.

10 ~~7. CONTRACTOR shall promptly return any overpayments within forty five (45) days after~~  
11 ~~the overpayment is verified by the ADMINISTRATOR.~~

12 C. COMPLIANCE TRAINING – ADMINISTRATOR shall make General Compliance Training  
13 and Provider Compliance Training, where appropriate, available to Covered Individuals.

14 1. CONTRACTOR shall use its best efforts to encourage completion by Covered Individuals;  
15 provided, however, that at a minimum CONTRACTOR shall assign at least one (1) designated  
16 representative to complete all Compliance Trainings when offered.

17 2. Such training will be made available to Covered Individuals within thirty (30) calendar days  
18 of employment or engagement.

19 3. Such training will be made available to each Covered Individual annually.

20 4. Each Covered Individual attending training shall certify, in writing, attendance at  
21 compliance training. CONTRACTOR shall retain the certifications. Upon written request by  
22 ADMINISTRATOR, CONTRACTOR shall provide copies of the certifications.

23 ~~D. CODE OF CONDUCT – ADMINISTRATOR has developed a Code of Conduct for adherence~~  
24 ~~by ADMINISTRATOR's employees and contract providers.~~

25 ~~1. ADMINISTRATOR shall ensure that CONTRACTOR is made aware of~~  
26 ~~ADMINISTRATOR's Code of Conduct.~~

27 ~~2. CONTRACTOR has the option to adhere to ADMINISTRATOR's Code of Conduct or~~  
28 ~~establish its own~~

29 ~~D. 3. If CONTRACTOR elects to have its own Code of Conduct, then it shall submit a copy~~  
30 ~~of its Code of Conduct to ADMINISTRATOR within thirty (30) calendar days of award of this~~  
31 ~~Agreement. 4. ADMINISTRATOR's Compliance Officer shall determine if CONTRACTOR~~  
32 ~~Code of Conduct is accepted. CONTRACTOR shall take necessary action to meet said standards or~~  
33 ~~shall be asked to acknowledge and agree to the ADMINISTRATOR's Code of Conduct. 5.~~

34 ~~Upon approval of CONTRACTOR Code of Conduct by ADMINISTRATOR, CONTRACTOR~~  
35 ~~shall ensure that its employees, subcontractors, interns, volunteers, and members of Board of Directors~~  
36 ~~or duly authorized agents, if appropriate, (Covered Individuals) relative to this Agreement are made~~  
37 ~~aware of CONTRACTOR Code of Conduct. 6. If CONTRACTOR elects to adhere to~~

~~ADMINISTRATOR's Code of Conduct then CONTRACTOR shall submit to ADMINISTRATOR a signed acknowledgement and agreement that CONTRACTOR shall comply with ADMINISTRATOR's Code of Conduct. 7. Failure of CONTRACTOR to timely submit the acknowledgement of ADMINISTRATOR's Code of Conduct shall constitute a material breach of this Agreement, and failure to cure such breach within sixty (60) calendar days of such notice from ADMINISTRATOR shall constitute grounds for termination of this Agreement as to the non-complying party.~~

~~E.~~ MEDICAL BILLING, CODING, AND DOCUMENTATION COMPLIANCE STANDARDS

1. CONTRACTOR shall take reasonable precaution to ensure that the coding of health care claims, billings and/or invoices for same are prepared and submitted in an accurate and timely manner and are consistent with federal, state and county laws and regulations.

2. CONTRACTOR shall not submit any false, fraudulent, inaccurate and/or fictitious claims for payment or reimbursement of any kind.

3. CONTRACTOR shall bill only for those eligible services actually rendered which are also fully documented. When such services are coded, CONTRACTOR shall use accurate billing codes which accurately describes the services ~~rendered~~ provided and must ensure compliance with all billing and documentation requirements.

4. CONTRACTOR shall act promptly to investigate and correct any problems or errors in coding of claims and billing, if and when, any such problems or errors are identified.

5. CONTRACTOR shall promptly return any overpayments within forty-five (45) business days after the overpayment is verified by the CONTRACTOR and ADMINISTRATOR.

#### IV. CONFIDENTIALITY

A. ~~Each party~~ CONTRACTOR shall maintain the confidentiality of all records, including billings and any audio and/or video recordings, in accordance with all applicable federal, state and ~~state~~ county codes and regulations, as they now exist or may hereafter be amended or changed.

B. Prior to providing any services pursuant to this Agreement, all members of the Board of Directors or its designee or authorized agent, employees, consultants, subcontractors, volunteers and ~~volunteer staff or~~ interns of the CONTRACTOR shall agree, in writing, with CONTRACTOR to maintain the confidentiality of any and all information and records which may be obtained in the course of providing such services. ~~The~~ This Agreement shall specify that it is effective irrespective of all subsequent resignations or terminations of ~~CONTRACTOR's Board~~ CONTRACTOR members ~~of the Board of Directors~~ or its designee or authorized agent, employees, consultants, subcontractors, ~~and~~ volunteers ~~or~~ and interns.

~~C. If CONTRACTOR is a public institution, COUNTY understands and agrees that CONTRACTOR is subject to the provisions of the California Public Records Act. In the event CONTRACTOR receives a request to produce this Agreement, or identify any term, condition, or aspect of this Agreement, CONTRACTOR shall contact COUNTY to advise of such request.~~

## V. DELEGATION, ASSIGNMENT AND SUBCONTRACTS

1 A. CONTRACTOR may not delegate the obligations hereunder, either in whole or in part, without  
 2 prior written consent of COUNTY; ~~provided, however, obligations undertaken by~~ CONTRACTOR  
 3 ~~pursuant to this Agreement may be carried out by means of subcontracts, provided such subcontracts are~~  
 4 ~~approved in advance, in writing by~~ shall provide written notification of CONTRACTOR's intent to  
 5 ~~delegate the obligations hereunder, either in whole or part, to ADMINISTRATOR, meet the~~  
 6 ~~requirements of this Agreement as they relate to the service or activity under subcontract, and include~~  
 7 ~~any provisions that ADMINISTRATOR may require. ADMINISTRATOR may revoke the approval of~~  
 8 ~~a subcontract upon five (5) not less than sixty (60) calendar days written notice to CONTRACTOR if~~  
 9 ~~subcontract fails to meet the requirements of this Agreement~~ prior to the effective date of the delegation.  
 10 ~~Any attempted assignment or any provisions that ADMINISTRATOR has required. No subcontract~~  
 11 ~~shall terminate or alter the responsibilities of CONTRACTOR to COUNTY pursuant to this Agreement.~~  
 12 ~~ADMINISTRATOR may disallow, from payments otherwise due CONTRACTOR, amounts claimed for~~  
 13 ~~subcontracts not approved in accordance with~~ delegation in derogation of this paragraph shall be void.  
 14

15 B. CONTRACTOR may not assign the rights hereunder, either in whole or in part, without the  
 16 prior written consent of COUNTY. ~~For CONTRACTORS which are~~

17 1. If CONTRACTOR is a nonprofit ~~corporations~~ organization, any change from a nonprofit  
 18 corporation to any other corporate structure of CONTRACTOR, including a change in more than fifty  
 19 percent (50%) of the composition of the Board of Directors within a two (2) month period of time, shall  
 20 be deemed an assignment for purposes of this paragraph, ~~unless CONTRACTOR is transitioning from a~~  
 21 ~~community clinic/health center to a Federally Qualified Health Center and has been so designated by the~~  
 22 ~~Federal Government.~~ Any attempted assignment or delegation in derogation of this  
 23 ~~paragraph~~ subparagraph shall be void.

24 2. If CONTRACTOR is a for-profit organization ~~C. CONTRACTOR may not assign the~~  
 25 ~~rights hereunder, either in whole or in part, without the prior written consent of COUNTY. For~~  
 26 ~~CONTRACTORS which are for-profit organizations,~~ any change in the business structure, including but  
 27 not limited to, the sale or transfer of more than ten percent (10%) of the assets or stocks of  
 28 CONTRACTOR, change to another corporate structure, including a change to a sole proprietorship, or a  
 29 change in fifty percent (50%) or more of ~~CONTRACTOR's directors~~ Board of Directors of  
 30 ~~CONTRACTOR~~ at one time shall be deemed an assignment pursuant to this paragraph. Any attempted  
 31 assignment or delegation in derogation of this ~~paragraph~~ subparagraph shall be void.

32 3. If CONTRACTOR is a governmental organization, any change to another structure,  
 33 including a change in more than fifty percent (50%) of the composition of its governing body (i.e. Board  
 34 of Supervisors, City Council, School Board) within a two (2) month period of time, shall be deemed an  
 35 assignment for purposes of this paragraph. Any attempted assignment or delegation in derogation of this  
 36 ~~subparagraph~~ shall be void.

37 //

4. Whether CONTRACTOR is a nonprofit, for-profit, or a governmental organization, CONTRACTOR shall provide written notification of CONTRACTOR's intent to assign the obligations hereunder, either in whole or part, to ADMINISTRATOR not less than sixty (60) calendar days prior to the effective date of the assignment.

C. CONTRACTOR's obligations undertaken pursuant to this Agreement may be carried out by means of subcontracts, provided such subcontracts are approved in advance, in writing by ADMINISTRATOR, meet the requirements of this Agreement as they relate to the service or activity under subcontract.

1. After approval of a subcontract, ADMINISTRATOR may revoke the approval of a subcontract upon five (5) calendar days written notice to CONTRACTOR if the subcontract subsequently fails to meet the requirements of this Agreement or any provisions that ADMINISTRATOR has required.

2. No subcontract shall terminate or alter the responsibilities of CONTRACTOR to COUNTY pursuant to this Agreement.

3. ADMINISTRATOR may disallow, from payments otherwise due CONTRACTOR, amounts claimed for subcontracts not approved in accordance with this paragraph.

4. This provision shall not be applicable to service agreements usually and customarily entered into by CONTRACTOR to obtain or arrange for supplies, technical support, and professional services provided by consultants.

**VI. EMPLOYEE ELIGIBILITY VERIFICATION**

CONTRACTOR attests that it shall fully comply with all federal and state statutes and regulations regarding the employment of aliens and others and to ensure that employees, subcontractors, and consultants performing work under this Agreement meet the citizenship or alien status requirement set forth in federal statutes and regulations. CONTRACTOR shall obtain, from all employees, and shall use best efforts to obtain from subcontractors, and consultants performing work hereunder, all verification and other documentation of employment eligibility status required by federal or state statutes and regulations including, but not limited to, the Immigration Reform and Control Act of 1986, 8 USC §1324 et seq., as they currently exist and as they may be hereafter amended. CONTRACTOR shall retain all such documentation for all covered employees, subcontractors, and consultants for the period prescribed by the law.

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**VII. FACILITIES, PAYMENTS AND SERVICES**

CONTRACTOR agrees to provide the services, ~~staffing, facilities, and supplies~~ in accordance with Exhibit A to this Agreement. COUNTY shall compensate, and authorize, when applicable, said services. CONTRACTOR shall operate continuously throughout the term of this Agreement with at least the minimum number and type of staff which meet applicable federal and state requirements, and which are necessary for the provision of the services hereunder.

**VIII. INDEMNIFICATION AND INSURANCE**

A. CONTRACTOR agrees to indemnify, defend with Counsel approved in writing by COUNTY, which approval shall not be unreasonably withheld, and hold COUNTY, its elected and appointed officials, officers, employees, agents and those special districts and agencies for which COUNTY's Board of Supervisors acts as the governing Board (COUNTY INDEMNITEES) harmless from any claims, demands, ~~including defense costs,~~ or liability of any kind or nature, including but not limited to personal injury or property damage, arising from or related to the services, products or other performance provided by CONTRACTOR pursuant to this Agreement; but only in proportion to and to the extent such claims, demands, including defense costs, or liability are caused by or result from the negligent or intentional acts or omissions of CONTRACTOR, its officers, employees, or agents. If judgment is entered against CONTRACTOR and COUNTY by a court of competent jurisdiction because of the concurrent active negligence of COUNTY or COUNTY INDEMNITEES, CONTRACTOR and COUNTY agree that liability will be apportioned as determined by the court. Neither party shall request a jury apportionment.

~~— B. COUNTY agrees to indemnify, defend and hold CONTRACTOR, its officers, employees, agents, directors, members, shareholders and/or affiliates harmless from any claims, demands, including defense costs, or liability of any kind or nature, including but not limited to personal injury or property damage, arising from or related to the services, products or other performance provided by COUNTY pursuant to this Agreement. If judgment is entered against COUNTY and CONTRACTOR by a court of competent jurisdiction because of the concurrent active negligence of CONTRACTOR, COUNTY and CONTRACTOR agree that liability will be apportioned as determined by the court. Neither party shall request a jury apportionment.~~ — C. B. Each party agrees to provide the indemnifying party with written notification of any claim related to services provided by either party pursuant to this Agreement within thirty (30) calendar days of notice thereof, and in the event the indemnifying party is subsequently named party to the litigation, each party shall cooperate with the indemnifying party in its defense.

C. Prior to the provision of services under this Agreement, CONTRACTOR agrees to purchase all required insurance, or maintain a program of self-insurance at ~~— D. — Without — limiting~~ CONTRACTOR's indemnification, CONTRACTOR attest expense and to submit to COUNTY the COI, including all endorsements required herein, necessary to satisfy COUNTY that ~~it is self-insured or shall~~

~~maintain in force at all times~~ the insurance provisions of this Agreement have been complied with and to maintain such insurance coverage or maintain equivalent self-insurance during the entire term of this Agreement. In addition, all subcontractors performing work on behalf of CONTRACTOR pursuant to this Agreement shall obtain insurance or equivalent self-insurance subject to the same terms and conditions as set forth herein for CONTRACTOR.

D. ~~, the~~ All self-insured retentions (SIRs) and deductibles shall be clearly stated on the COI. If no SIRs or deductibles apply, indicate this on the COI with a 0 by the appropriate line of coverage.

E. If CONTRACTOR fails to maintain insurance acceptable to COUNTY for the full term of this Agreement, COUNTY may terminate this Agreement.

F. QUALIFIED INSURER

1. The policy or policies of insurance ~~covering its operations placed with reputable insurance companies in amounts as specified~~ must be issued by an insurer with a minimum rating of A- (Secure A.M. Best's Rating) and VIII (Financial Size Category as determined by the most current edition of the Best's Key Rating Guide/Property-Casualty/United States or ambest.com). It is preferred, but not mandatory, that the insurer be licensed to do business in the state of California (California Admitted Carrier).

2. If the insurance carrier does not have an A.M. Best Rating of A-/VIII, the CEO/Office of Risk Management retains the right to approve or reject a carrier after a review of the company's performance and financial ratings.

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1 G. The policy or policies of insurance, or equivalent self-insurance maintained by CONTRACTOR  
 2 shall provide the minimum limits and coverage as set forth below:

| <u>Coverage</u>                         | <u>Minimum Limits</u>       |
|---|-----------------------------|
| Commercial General Liability            | \$5,000,000 per occurrence  |
|   | \$5,000,000 aggregate       |
| Automobile Liability including coverage | \$1,000,000 per occurrence  |
| for owned, non-owned and hired vehicles |                             |
| Workers' Compensation                   | Statutory                   |
| Employers' Liability Insurance          | \$1,000,000 per occurrence  |
| Professional Liability Insurance        | \$3,000,000 per claims made |
|   | or per occurrence           |
| Sexual Misconduct Liability             | \$1,000,000 per occurrence  |

11 H. REQUIRED COVERAGE FORMS IF NOT SELF-INSURED

12 1. The Commercial General Liability coverage shall be written on ISO form CG 00 01, or a  
 13 substitute form providing liability coverage at least as broad.

14 2. The Business Auto Liability coverage shall be written on ISO form CA 00 01, CA 00 05,  
 15 CA 0012, CA 00 20, or a substitute form providing coverage at least as broad.

16 I. REQUIRED ENDORSEMENTS – The Commercial General Liability policy shall contain the  
 17 following endorsements, but limited to the indemnity obligations contained in Subparagraph VIII.A.  
 18 above, which shall accompany the COI:

19 1. An Additional Insured endorsement using ISO form CG 2010 or CG 2033 or a form at least  
 20 as broad naming the County of Orange, its elected and appointed officials, officers, employees, agents as  
 21 Additional Insureds.

22 2. A primary non-contributing endorsement evidencing that the CONTRACTOR's insurance is  
 23 primary and any insurance or self-insurance maintained by the County of Orange shall be excess and  
 24 non-contributing.

25 J. All insurance policies required by this Agreement shall waive all rights of subrogation against  
 26 the County of Orange and members of the Board of Supervisors, its elected and appointed officials,  
 27 officers, agents and employees when acting within the scope of their appointment or employment.

1 K. The Workers' Compensation policy shall contain a waiver of subrogation endorsement waiving  
 2 all rights of subrogation against the County of Orange, and members of the Board of Supervisors, its  
 3 elected and appointed officials, officers, agents and employees.

4 L. Contractor shall notify County in writing within thirty (30) days of any policy cancellation and  
 5 ten (10) days for non-payment of premium and provide a copy of the cancellation notice to County.  
 6 Failure to provide written notice of cancellation may constitute a material breach of the Contract, upon  
 7 which the County may suspend or terminate this Contract.

8 M. If CONTRACTOR's Professional Liability policy is a "claims made" policy, CONTRACTOR  
 9 shall agree to maintain professional liability coverage for two years following completion of Agreement.

10 N. The Commercial General Liability policy shall contain a severability of interests clause also  
 11 known as a "separation of insureds" clause (standard in the ISO CG 0001 policy).

12 O. Throughout the term of this AGREEMENT and upon written mutual agreement between  
 13 COUNTY and CONTRACTOR, the insurance minimum limits and coverage as set forth in  
 14 Subparagraph VIII.H. above may be increased or decreased. Any increase or decrease in insurance will  
 15 be as deemed by County of Orange Risk Manager as appropriate to adequately protect COUNTY.

16 P. COUNTY shall notify CONTRACTOR in writing of changes in the insurance requirements. If  
 17 CONTRACTOR does not deposit copies of acceptable COI's and endorsements with COUNTY  
 18 incorporating such changes within thirty (30) calendar days of receipt of such notice, this Agreement  
 19 may be in breach without further notice to CONTRACTOR, and COUNTY shall be entitled to all legal  
 20 remedies.

21 Q. The procuring of such required policy or policies of insurance shall not be construed to limit  
 22 CONTRACTOR's liability hereunder nor to fulfill the indemnification provisions and requirements of  
 23 this Agreement, nor act in any way to reduce the policy coverage and limits available from the insurer.

#### 24 R. SUBMISSION OF INSURANCE DOCUMENTS

25 1. The COI and endorsements shall be provided to COUNTY as follows:

26 a. Prior to, or at the time of, execution of this Agreement.

27 b. No later than the expiration date for each policy.

28 c. Within thirty (30) calendar days upon receipt of written notice by COUNTY regarding  
 29 changes to any of the insurance types as set forth in Subparagraph F. of this Agreement.

30 2. The COI and endorsements shall be provided to the COUNTY at the address as referenced  
 31 in the Referenced Contract Provisions of this Agreement. ~~Upon request by~~

32 3. If CONTRACTOR fails to submit the COI and endorsements that meet the insurance  
 33 provisions stipulated in this Agreement by the above specified due dates, ADMINISTRATOR;  
 34 ~~CONTRACTOR shall provide~~ shall have sole discretion to impose one or both of the following:

35 a. ADMINISTRATOR may withhold or delay any or all payments due CONTRACTOR  
 36 pursuant to any and all Agreements between COUNTY and CONTRACTOR until such time that the  
 37 //



1 required COI and endorsements that meet the insurance provisions stipulated in this Agreement are  
2 submitted to ADMINISTRATOR.

3 b. CONTRACTOR may be assessed a penalty of one hundred dollars (\$100) for each late  
4 COI or endorsement for each business day, pursuant to any and all Agreements between COUNTY and  
5 CONTRACTOR, until such time that the required COI and endorsements that meet the insurance  
6 provisions stipulated in this Agreement are submitted to ADMINISTRATOR.

7 c. If CONTRACTOR is assessed a late penalty, the amount shall be deducted from  
8 CONTRACTOR's monthly invoice.

9 d. Notwithstanding the above, endorsements shall not be required in the case of self-  
10 insurance.

11 4. In no cases shall assurances by CONTRACTOR, its employees, agents, including any  
12 insurance agent, be construed as adequate evidence of ~~such~~ insurance. COUNTY will only accept valid  
13 COI's and endorsements, or in the interim, an insurance binder as adequate evidence of insurance.

14 ~~E~~ S. COUNTY warrants that it is self-insured or maintains policies of insurance placed with  
15 reputable insurance companies licensed to do business in the State of California which insures the perils  
16 of bodily injury, medical, professional liability, and property damage. Upon request by  
17 CONTRACTOR, COUNTY shall provide evidence of such ~~insurance~~ coverage.

## 18 IX. INSPECTIONS AND AUDITS

19 A. ADMINISTRATOR, any authorized representative of COUNTY, any authorized representative  
20 of the State of California, the Secretary of the United States Department of Health and Human Services,  
21 the Comptroller General of the United States, or any other of their authorized representatives, shall have  
22 access to any books, documents, and records, including but not limited to, financial statements, general  
23 ledgers, relevant accounting systems, medical and client records, of CONTRACTOR that are directly  
24 pertinent to this Agreement, for the purpose of responding to a beneficiary complaint or conducting an  
25 audit, review, evaluation, or examination, or making transcripts during the periods of retention set forth  
26 in the Records ~~and~~ Management and Maintenance Paragraph of this Agreement. Such persons may,  
27 ~~with prior written notice,~~ at all reasonable times, upon prior written notice, inspect or otherwise evaluate  
28 the services provided pursuant to this Agreement, and the premises in which they are provided.

29 B. CONTRACTOR shall actively participate and cooperate with any person specified in  
30 Subparagraph A. above in any evaluation or monitoring of the services provided pursuant to this  
31 Agreement, and shall provide the above-mentioned persons adequate office space to conduct such  
32 evaluation or monitoring.

33 ~~C. COUNTY shall provide CONTRACTOR with at least seventy-two (72) hours notice of such~~  
34 ~~inspections or evaluations. C. Unannounced inspections, evaluations, or requests for information may~~  
35 ~~be made in those situations where arrangement of an appointment beforehand is not possible or is~~  
36 ~~inappropriate due to the nature of the inspection or evaluation.~~  
37

~~D. CONTRACTOR shall not be subject to disallowances as the result of audits of the cost of services.~~

~~E. AUDIT RESPONSE~~

1. Following an audit report, in the event of non-compliance with applicable laws and regulations governing funds provided through this Agreement, COUNTY may terminate this Agreement as provided for in the Termination Paragraph or direct CONTRACTOR to immediately implement appropriate corrective action. A plan of corrective action shall be submitted to ADMINISTRATOR in writing within thirty (30) calendar days after receiving notice from ADMINISTRATOR.

2. If the audit reveals that money is payable from one party to the other, that is, reimbursement by CONTRACTOR to COUNTY, or payment of sums due from COUNTY to CONTRACTOR, said funds shall be due and payable from one party to the other within sixty (60) calendar days of receipt of the audit results. If reimbursement is due from CONTRACTOR to COUNTY, and such reimbursement is not received within said sixty (60) calendar days, COUNTY may, in addition to any other remedies provided by law, reduce any amount owed CONTRACTOR by an amount not to exceed the reimbursement due COUNTY.

~~D.~~ CONTRACTOR shall forward to ADMINISTRATOR a copy of any audit report within fourteen (14) calendar days of receipt: that is directly relevant to the services of the Agreement. Such audit shall include, but not be limited to, management, financial, programmatic or any other type of audit of CONTRACTOR's operations, whether or not the cost of such operation or audit is reimbursed in whole or in part through this Agreement.

E. COUNTY shall provide CONTRACTOR with at least seventy-two (72) hours' prior written notice of such inspections or evaluations. Unannounced inspections, evaluations, or requests for information may be made in those situations where arrangement of an appointment beforehand is not possible or is inappropriate due to the nature of the inspection or evaluation.

## X. LICENSES AND LAWS

A. CONTRACTOR, its officers, agents, employees, affiliates, and subcontractors shall, throughout the term of this Agreement, maintain all necessary licenses, permits, approvals, certificates, accreditations, waivers, and exemptions necessary for the provision of the services hereunder and required by the laws ~~and~~ regulations and requirements of the United States, the State of California, COUNTY, and any all other applicable governmental agencies. ~~CONTRACTOR shall notify ADMINISTRATOR immediately and in writing of its inability to obtain or maintain, irrespective of the pendency of an appeal, permits, licenses, approvals, certificates, waivers and exemptions. Said inability shall be cause for termination of this Agreement.~~

B. CONTRACTOR shall comply with all applicable governmental laws, regulations, and requirements as they exist now or may be hereafter amended or changed.

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~~C. CONTRACTOR attests that all CONTRACTOR physicians providing services under this Agreement are and will continue to be as long as this Agreement remains in effect, the holders of currently valid licenses to practice medicine in the State of California and are members in good standing of the medical staff of CONTRACTOR's facility.~~

~~D.~~ C. ENFORCEMENT OF CHILD SUPPORT OBLIGATIONS

1. CONTRACTOR agrees to furnish to ADMINISTRATOR within thirty (30) calendar days of the award of this Agreement:

a. In the case of an individual contractor, his/her name, date of birth, social security number, and residence address;

b. In the case of a contractor doing business in a form other than as an individual, the name, date of birth, social security number, and residence address of each individual who owns an interest of ten percent (10%) or more in the contracting entity;

c. A certification that CONTRACTOR has fully complied with all applicable federal and state reporting requirements regarding its employees;

d. A certification that CONTRACTOR has fully complied with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignment, and will continue to so comply.

2. Failure of CONTRACTOR to timely submit the data and/or certifications required by Subparagraphs 1.a., 1.b., 1.c., or 1.d. above, or to comply with all federal and state employee reporting requirements for child support enforcement, or to comply with all lawfully served Wage and Earnings Assignment Orders and Notices of Assignment, shall constitute a material breach of this Agreement; and failure to cure such breach within sixty (60) calendar days of notice from COUNTY shall constitute grounds for termination of this Agreement.

3. It is expressly understood that this data will be transmitted to governmental agencies charged with the establishment and enforcement of child support orders, or as permitted by federal and/or state statute.

D. CONTRACTOR attests, to the best of its knowledge, that all CONTRACTOR physicians providing services under this Agreement are and will continue to be as long as this Agreement remains in effect, the holders of currently valid licenses to practice medicine in the State of California and are members in good standing of the medical staff of CONTRACTOR's facility.

XI. Literature **LITERATURE, ADVERTISEMENTS, AND SOCIAL MEDIA**

A. Any written information or literature, including educational and/or promotional materials, distributed by CONTRACTOR to any person or organization for purposes directly or indirectly related to this Agreement ~~shall indicate that CONTRACTOR's services are supported~~ must be approved at least thirty (30) days in advance and in writing by federal, state and county funds, as appropriate. ADMINISTRATOR before distribution. For the purposes of this Agreement, distribution of

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~~such literature shall include~~ written materials ~~as well as~~ shall include, but not be limited to, pamphlets, brochures, flyers, newspaper or magazine ads, and electronic media such as the Internet.

B. Both parties agree that they will not use the name(s), symbols, trademarks or service marks, presently existing or later established, of the other party nor its employees in any advertisement, press release or publicity with reference to this Agreement without the prior written approval of the other party's authorized official. ~~Requests for approval shall be made to ADMINISTRATOR or to CONTRACTOR's signatory of this Agreement.~~ CONTRACTOR may represent itself as a contracted provider of Public Health Medical Services for the residents of Orange County as provided in Subparagraph A above. ADMINISTRATOR may include reference to Public Health Medical Services provided by CONTRACTOR in informational materials relating to the continuum of care provided using federal, state and county funds. ~~Any advertisement through radio, television broadcast, or the Internet, for educational or promotional purposes, made by CONTRACTOR for purposes directly or indirectly related to this Agreement must be approved in advance at least thirty (30) days and in writing by ADMINISTRATOR.~~

C. Any information as described in Subparagraphs A. and B. above shall not imply endorsement by COUNTY, unless ADMINISTRATOR consents thereto in writing.

## XII. NONDISCRIMINATION

### A. EMPLOYMENT

1. During the ~~performance~~ term of this Agreement, CONTRACTOR ~~and its Covered Individuals~~ shall not unlawfully discriminate against any employee or applicant for employment because of his/her ethnic group identification, race, religion, ancestry, color, creed, sex, marital status, national origin, age (40 and over), sexual orientation, medical condition, or physical or mental disability. ~~CONTRACTOR shall attest that the evaluation and treatment of employees and applicants for employment are free from discrimination~~ Additionally, during the term of this Agreement, CONTRACTOR and its Covered Individuals shall make best efforts to require in its subcontracts that subcontractors shall not unlawfully discriminate against any employee or applicant for employment because of his/her ethnic group identification, race, religion, ancestry, color, creed, sex, marital status, national origin, age (40 and over), sexual orientation, medical condition, or physical or mental disability.

2. CONTRACTOR and its Covered Individuals shall not discriminate against employees or applicants for employment in the areas of employment, promotion, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rate of pay or other forms of compensation; and selection for training, including apprenticeship. ~~There shall be posted~~

3. CONTRACTOR shall not discriminate between employees with spouses and employees with domestic partners, or discriminate between domestic partners and spouses of those employees, in the provision of benefits.

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1 4. CONTRACTOR shall post in conspicuous places, available to employees and applicants for  
 2 employment, notices from ADMINISTRATOR and/or the United States Equal Employment Opportunity  
 3 Commission setting forth the provisions of the Equal Opportunity clause.

4 25. All solicitations or advertisements for employees placed by or on behalf of CONTRACTOR  
 5 and ~~its subcontractors~~/or subcontractor shall state that all qualified applicants will receive consideration  
 6 for employment without regard to ~~their~~ ethnic group identification, race, religion, ancestry, color, creed,  
 7 sex, marital status, national origin, age (40 and over), sexual orientation, medical condition, or physical  
 8 or mental disability. Such ~~requirement~~ requirements shall be deemed fulfilled by use of the ~~phrase "an~~  
 9 ~~equal opportunity employer."~~ term EOE.

10 ~~3. CONTRACTOR shall give written notice of its obligations under this Equal Opportunity~~  
 11 ~~Clause to each~~ 6. Each labor union or representative of workers with which CONTRACTOR and/or  
 12 subcontractor has a collective bargaining agreement, ~~or other contract or understanding~~ must post a  
 13 notice advising the labor union or workers' representative of the commitments under this  
 14 Nondiscrimination Paragraph and shall post copies of the notice in conspicuous places available to  
 15 employees and applicants for employment.

16 B. SERVICES, BENEFITS, AND FACILITIES – CONTRACTOR and/or subcontractor shall not  
 17 discriminate in the provision of services, the allocation of benefits, or in the accommodation in facilities  
 18 on the basis of ethnic group identification, race, religion, ancestry, color, creed, ~~color~~, sex, marital status,  
 19 national origin, age (40 and over), sexual orientation, medical condition, or physical or mental disability  
 20 in accordance with Title IX of the Education Amendments of 1972 as they relate to 20 USC §1681 -  
 21 §1688; Title VI of the Civil Rights Act of 1964 (42 USC §2000d); the Age Discrimination Act of 1975  
 22 (42 USC §6101); and Title 9, Division 4, Chapter 6, Article 1 (§10800, et seq.) of the California Code of  
 23 Regulations, as applicable, and all other pertinent rules and regulations promulgated pursuant thereto,  
 24 and as otherwise provided by ~~State~~ state law and regulations, as all may now exist or be hereafter  
 25 amended or changed. For the purpose of this Nondiscrimination paragraph, Discrimination includes, but  
 26 is not limited to the following based on one or more of the factors identified above:

27 ~~C~~ 1. Denying a client or potential client any service, benefit, or accommodation.

28 2. Providing any service or benefit to a client which is different or is provided in a different  
 29 manner or at a different time from that provided to other clients.

30 3. Restricting a client in any way in the enjoyment of any advantage or privilege enjoyed by  
 31 others receiving any service or benefit.

32 4. Treating a client differently from others in satisfying any admission requirement or  
 33 condition, or eligibility requirement or condition, which individuals must meet in order to be provided  
 34 any service or benefit.

35 5. Assignment of times or places for the provision of services.

36 C. COMPLAINT PROCESS – CONTRACTOR shall establish procedures for advising all clients  
 37 through a written statement that CONTRACTOR and/or subcontractor's clients may file all complaints

1 alleging discrimination in the delivery of services with CONTRACTOR, subcontractor, and  
 2 ADMINISTRATOR.

3 1. Whenever possible, problems shall be resolved informally and at the point of service.  
 4 CONTRACTOR shall establish an internal informal problem resolution process for clients not able to  
 5 resolve such problems at the point of service. Clients may initiate a grievance or complaint directly with  
 6 CONTRACTOR either orally or in writing.

7 2. Within the time limits procedurally imposed, the complainant shall be notified in writing as  
 8 to the findings regarding the alleged complaint and, if not satisfied with the decision, may file an appeal.

9 D. PERSONS WITH DISABILITIES – CONTRACTOR agrees and/or subcontractor agree to  
 10 comply with the provisions of §504 of the Rehabilitation Act of 1973, as amended, (29 USC 794 et seq.,  
 11 as implemented in 45 CFR 84.1 et seq.), and the Americans with Disabilities Act of 1990 (42 USC  
 12 12101, et seq.), as applicable, pertaining to the prohibition of discrimination against qualified persons  
 13 with disabilities; in all programs or activities; and if applicable, as implemented in Title 45, CFR, §84.1  
 14 et seq., as they exist now or may be hereafter amended together with succeeding legislation.

15 ~~D.~~ RETALIATION – Neither CONTRACTOR nor subcontractor, nor its employees or agents;  
 16 shall intimidate, coerce; or take adverse action against any person for the purpose of interfering with  
 17 rights secured by federal or state laws, or because such person has filed a complaint, certified, assisted or  
 18 otherwise participated in an investigation, proceeding, hearing or any other activity undertaken to  
 19 enforce rights secured by federal or state law.

20 ~~— E. Upon a finding~~ F. In the event of discrimination non-compliance with this paragraph or as  
 21 otherwise provided by the United States Equal Employment Opportunity Commission, State Department  
 22 of Fair Employment federal and Housing, or a court of competent jurisdiction, and after exhaustion of  
 23 any and all appeals state law, this Agreement may be ~~cancelled~~ canceled, terminated or suspended in  
 24 whole or in part and CONTRACTOR or subcontractor may be declared ineligible for ~~future~~ further  
 25 contracts involving federal, state; or county funds.

### 26 XIII. NOTICES

27 A. Unless otherwise specified, all notices, claims, correspondence, reports and/or statements  
 28 authorized or required by this Agreement shall be effective:

29 1. When written and deposited in the United States mail, first class postage prepaid and  
 30 addressed as specified in the Referenced Contract Provisions of this Agreement or as otherwise directed  
 31 by ADMINISTRATOR;

32 2. When faxed, transmission confirmed;

33 3. When sent by Email; or

34 4. When accepted by U.S. Postal Service Express Mail, Federal Express, United Parcel  
 35 Service, or other expedited delivery service.  
 36

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1 B. Termination Notices shall be addressed as specified in the Referenced Contract Provisions of  
2 this Agreement or as otherwise directed by ADMINISTRATOR and shall be effective when faxed,  
3 transmission confirmed, or when accepted by U.S. Postal Service Express Mail, Federal Express, United  
4 Parcel Service, or other expedited delivery service.

5 C. CONTRACTOR shall make best efforts to notify ADMINISTRATOR, in writing, within  
6 twenty-four (24) hours of becoming aware of any occurrence of a serious nature, which may expose  
7 COUNTY to liability. Such occurrences shall include, but not be limited to, accidents, injuries, or acts  
8 of negligence, or loss or damage to any COUNTY property in possession of CONTRACTOR.

9 D. For purposes of this Agreement, any notice to be provided by COUNTY may be given by  
10 ADMINISTRATOR.

11 ~~E. In the event of a death, notification shall be made in accordance with the Notification of Death~~  
12 ~~Paragraph of this Agreement.~~

13  
14 **XIV. NOTIFICATION OF DEATH**

15 **A. NON-TERMINAL ILLNESS DEATH**

16 1. CONTRACTOR shall notify ADMINISTRATOR by telephone immediately upon  
17 becoming aware of the death due to non-terminal illness of any person served hereunder; provided,  
18 however, weekends and holidays shall not be included for purposes of computing the time within which  
19 to give telephone notice and, notwithstanding the time limit herein specified, notice need only be given  
20 during normal business hours.

21 2. In addition, CONTRACTOR shall, within sixteen (16) hours after such death, hand deliver  
22 or fax, a written Notification of Non-Terminal Illness Death to ADMINISTRATOR.

23 3. The telephone report and written Notification of Non-Terminal Illness Death shall contain  
24 the name of the deceased, the date and time of death, the nature and circumstances of the death, and the  
25 name(s) of CONTRACTOR's officers or employees with knowledge of the incident.

26 **B. TERMINAL ILLNESS DEATH**

27 1. CONTRACTOR shall notify ADMINISTRATOR by written report faxed, hand delivered,  
28 or postmarked within forty-eight (48) hours of becoming aware of the death due to terminal illness of  
29 any person served hereunder. The Notification of Terminal Illness Death shall contain the name of the  
30 deceased, the date and time of death, the nature and circumstances of the death, and the name(s) of  
31 CONTRACTOR's officers or employees with knowledge of the incident.

32 2. If there are any questions regarding the cause of death of any person served hereunder who  
33 was diagnosed with a terminal illness, or if there are any unusual circumstances related to the death,  
34 CONTRACTOR shall immediately notify ADMINISTRATOR in accordance with Subparagraph A.  
35 above.

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**XV. RECORDS MANAGEMENT AND MAINTENANCE**

A. CONTRACTOR, its officers, agents, employees and subcontractors shall, throughout the term of this Agreement, prepare, maintain and manage records appropriate to the services provided and in accordance with this Agreement and all applicable requirements, ~~which include, but are not limited to:~~

~~1. California Code of Regulation Title 22, §§70751(e), 71551(e), 73543(a), 74731(a), 75055(a), 75343(a), and 77143(a).~~

~~2. State of California, Health and Safety Code §123145.~~

~~3. Title 45 CFR, §164.501; §164.524; §164.526; §164.530(e) and (j).~~

B. CONTRACTOR shall implement and maintain administrative, technical and physical safeguards to ensure the privacy of PHI and prevent the intentional or unintentional use or disclosure of PHI in violation of the HIPAA, federal and state regulations and/or CHPP.

**C.** CONTRACTOR shall mitigate to the extent practicable, the known harmful effect of any use or disclosure of PHI made in violation of federal or state regulations and/or COUNTY policies.

**D.** CONTRACTOR's participant, client, and/or patient records shall be maintained in a secure manner. CONTRACTOR shall maintain participant, client, and/or patient records and must establish and implement written record management procedures.

~~**D E.** CONTRACTOR shall ensure appropriate financial records related to cost reporting, expenditure, revenue, billings, etc., are prepared and maintained accurately and appropriately.~~

~~**E.** CONTRACTOR shall ensure all appropriate state and federal standards of documentation, preparation, and confidentiality of records related to participant, client and/or patient records are met at all times.~~

~~**F.** CONTRACTOR shall ensure all HIPAA (DRS) requirements are met. HIPAA requires that clients, participants and/or patients be provided the right to access or receive a copy of their DRS and/or request addendum to their records. Title 45 CFR §164.501, defines DRS as a group of records maintained by or for a covered entity that is:~~

~~1. The medical records and billing records about individuals maintained by or for a covered health care provider;~~

~~2. The enrollment, payment, claims adjudication, and case or medical management record systems maintained by or for a health plan; or~~

~~3. Used, in whole or in part, by or for the covered entity to make decisions about individuals.~~

**G.** CONTRACTOR may retain participant, client, and/or patient documentation electronically in accordance with the terms of this Agreement and common business practices. If documentation is retained electronically, CONTRACTOR shall, in the event of an audit or site visit:

1. Have documents readily available within forty-eight (48) hour notice of a scheduled audit or site visit.

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1 2. Provide auditor or other authorized individuals access to documents via a computer  
 2 terminal, ~~in a manner to be determined by CONTRACTOR, consistent with CONTRACTOR's~~  
 3 ~~electronic records security policy and Federal and State Law.~~

4 3. Provide auditor or other authorized individuals a hardcopy printout of documents, if  
 5 requested.

6 ~~HG.~~ CONTRACTOR shall ensure compliance with requirements pertaining to the privacy and  
 7 security of PII and/or PHI. CONTRACTOR shall, ~~notify COUNTY immediately by telephone call plus~~  
 8 ~~email or fax upon the discovery of a breach~~ ~~Breach~~ of ~~privacy~~ ~~unsecured PHI~~ and/or ~~security of PII~~  
 9 ~~and/or PHI by CONTRACTOR, notify ADMINISTRATOR of such breach by telephone and email or~~  
 10 ~~faesimile.~~

11 ~~HI.~~ CONTRACTOR may be required to pay any costs associated with a ~~breach~~ ~~Breach~~ of privacy  
 12 and/or security of PII and/or PHI, including but not limited to the costs of notification. CONTRACTOR  
 13 shall pay any and all such costs arising out of a ~~breach~~ ~~Breach~~ of privacy and/or security of PII and/or  
 14 PHI.

15 ~~HI.~~ CONTRACTOR shall retain all participant, client, and/or patient medical records for seven (7)  
 16 years following discharge of the participant, client and/or patient, with the exception of non-emancipated  
 17 minors for whom records must be kept for at least one (1) year after such minors have reached the age of  
 18 eighteen (18) years, or for seven (7) years after the last date of service, whichever is longer.

19 ~~—K~~ ~~J.~~ CONTRACTOR shall ensure appropriate financial records related to cost reporting,  
 20 expenditure, revenue, billings, etc., are prepared and maintained accurately and appropriately.

21 ~~K.~~ CONTRACTOR shall ensure all appropriate state and federal standards of documentation,  
 22 preparation, and confidentiality of records related to participant, client and/or patient records are met at  
 23 all times.

24 ~~L.~~ CONTRACTOR shall retain all financial records for a minimum of seven (7) years from the  
 25 commencement of the contract, unless a longer period is required due to legal proceedings such as  
 26 litigations and/or settlement of claims.

27 ~~LM.~~ CONTRACTOR shall make records pertaining to the costs of services, participant fees,  
 28 charges, billings, and revenues available at one (1) location within the limits of the County of Orange.

29 ~~MN.~~ If CONTRACTOR is unable to meet the record location criteria above, ADMINISTRATOR  
 30 may provide written approval to CONTRACTOR to maintain records in a single location, identified by  
 31 CONTRACTOR.

32 ~~NO.~~ CONTRACTOR may be required to retain all records involving litigation proceedings and  
 33 settlement of claims for a longer term which will be directed by the ADMINISTRATOR.

34 ~~P.~~ If CONTRACTOR is a public institution, COUNTY understands and agrees that  
 35 CONTRACTOR is subject to the provisions of the California Public Records Act. In the event  
 36 CONTRACTOR receives a request to produce this Agreement, or identify any term, condition, or aspect  
 37 of this Agreement, CONTRACTOR shall contact COUNTY to advise of such request. CONTRACTOR

1 shall make best efforts to advise COUNTY no less than three (3) business days prior to releasing such  
2 information.

3  
4 **XVI. RESEARCH AND PUBLICATION**

5 CONTRACTOR shall not utilize information and data received from COUNTY or developed as a  
6 result of this Agreement for the purpose of personal publication.

7  
8 **XVII. RIGHT TO WORK AND MINIMUM WAGE LAWS**

9 A. In accordance with the United States Immigration Reform and Control Act of 1986,  
10 CONTRACTOR shall require its employees directly or indirectly providing service pursuant to this  
11 Agreement, in any manner whatsoever, to verify their identity and eligibility for employment in the  
12 United States. CONTRACTOR shall also require and verify that its contractors, subcontractors, or any  
13 other persons providing services pursuant to this Agreement, in any manner whatsoever, verify the  
14 identity of their employees and their eligibility for employment in the United States.

15 B. Pursuant to the United States of America Fair Labor Standard Act of 1938, as amended, and  
16 State of California Labor Code, §1178.5, CONTRACTOR shall pay no less than the greater of the  
17 federal or California Minimum Wage to all its employees that directly or indirectly provide services  
18 pursuant to this Agreement, in any manner whatsoever. CONTRACTOR shall make best efforts to  
19 require and verify that all its contractors or other persons providing services pursuant to this Agreement  
20 on behalf of CONTRACTOR also pay their employees no less than the greater of the federal or  
21 California Minimum Wage.

22 C. CONTRACTOR shall comply and make best efforts to verify that its contractors comply with  
23 all other federal and State of California laws for minimum wage, overtime pay, record keeping, and child  
24 labor standards pursuant to providing services pursuant to this Agreement.

25 D. Notwithstanding the minimum wage requirements provided for in this clause, CONTRACTOR,  
26 where applicable, shall comply with the prevailing wage and related requirements, as provided for in  
27 accordance with the provisions of Article 2 of Chapter 1, Part 7, Division 2 of the Labor Code of the  
28 State of California (§§1770, et seq.), as it exists or may hereafter be amended.

29  
30 **XVIII. SEVERABILITY**

31 If a court of competent jurisdiction declares any provision of this Agreement or application thereof  
32 to any person or circumstances to be invalid or if any provision of this Agreement contravenes any  
33 federal, state or county statute, ordinance, or regulation, the remaining provisions of this Agreement or  
34 the application thereof shall remain valid, and the remaining provisions of this Agreement shall remain  
35 in full force and effect, and to that extent the provisions of this Agreement are severable.

36 //  
37 //

## **XIX. STATUS OF CONTRACTOR**

Each party is, and shall at all times be deemed to be, an independent contractor and shall be wholly responsible for the manner in which it performs the services required of it by the terms of this Agreement. Each party is entirely responsible for compensating staff, subcontractors, and consultants employed by that party. This Agreement shall not be construed as creating the relationship of employer and employee, or principal and agent, between COUNTY and CONTRACTOR or any of either party's employees, agents, consultants, or subcontractors. Each party assumes exclusively the responsibility for the acts of its employees, agents, consultants, or subcontractors as they relate to the services to be provided during the course and scope of their employment. Each party, its agents, employees, consultants, or subcontractors, shall not be entitled to any rights or privileges of the other party's employees and shall not be considered in any manner to be employees of the other party.

## **XX. TERM**

A. The term of this Agreement shall commence ~~and~~ as specified in the Referenced Contract Provisions of this Agreement or the execution date, whichever is later. This Agreement shall terminate as specified in the Referenced Contract Provisions of this Agreement; unless otherwise sooner terminated as provided in this Agreement; provided, however, CONTRACTOR shall be obligated to perform such duties as would normally extend beyond this term, including but not limited to, obligations with respect to confidentiality, indemnification, audits, reporting and accounting.

B. Any administrative duty or obligation to be performed pursuant to this Agreement on a weekend or holiday may be performed on the next regular business day.

## **XXI. TERMINATION**

A. Either party may terminate this Agreement, without cause, upon thirty (30) calendar days written notice given the other party.

B. Unless otherwise specified in this Agreement, COUNTY may terminate this Agreement upon five (5) calendar days written notice if CONTRACTOR fails to perform any of the terms of this Agreement. At ADMINISTRATOR's sole discretion, CONTRACTOR may be allowed up to thirty (30) calendar days for corrective action.

C. COUNTY may terminate this Agreement immediately, upon written notice, on the occurrence of any of the following events:

1. The loss by CONTRACTOR of legal capacity.
2. Cessation of services.
3. The delegation or assignment of CONTRACTOR's services, operation or administration to another entity without the prior written consent of COUNTY.
4. The neglect by any physician or licensed person employed by CONTRACTOR of any duty required pursuant to this Agreement.

1 5. The loss of accreditation or any license required by the Licenses and Laws Paragraph of this  
2 Agreement.

3 6. The continued incapacity of any physician or licensed person to perform duties required  
4 pursuant to this Agreement.

5 7. Unethical conduct or malpractice by any physician or licensed person providing services  
6 pursuant to this Agreement; provided, however, COUNTY may waive this option if CONTRACTOR  
7 removes such physician or licensed person from serving persons treated or assisted pursuant to this  
8 Agreement.

9 D. CONTINGENT FUNDING

10 1. Any obligation of COUNTY under this Agreement is contingent upon the following:

11 a. The continued availability of federal, state and county funds for reimbursement of  
12 COUNTY's expenditures, and

13 b. Inclusion of sufficient funding for the services hereunder in the applicable budget  
14 approved by the Board of Supervisors.

15 2. In the event such funding is subsequently reduced or terminated, COUNTY may suspend,  
16 terminate or renegotiate this Agreement upon thirty (30) calendar days written notice given  
17 CONTRACTOR. If COUNTY elects to renegotiate this Agreement due to reduced or terminated  
18 funding, CONTRACTOR shall not be obligated to accept the renegotiated terms.

19 E. In the event this Agreement is suspended or terminated prior to the completion of the term as  
20 specified in the Referenced Contract Provisions of this Agreement, ADMINISTRATOR may, at its sole  
21 discretion, reduce the Maximum Obligation of this Agreement in an amount consistent with the reduced  
22 term of the Agreement.

23 F. In the event this Agreement is terminated by either party, ~~after receiving a Notice of~~  
24 ~~Termination~~ pursuant to Subparagraphs B., C. or D. above, CONTRACTOR shall do the following:

25 1. Comply with termination instructions provided by ADMINISTRATOR in a manner which  
26 is consistent with recognized standards of quality care and prudent business practice.

27 2. Obtain immediate clarification from ADMINISTRATOR of any unsettled issues of contract  
28 performance during the remaining contract term.

29 ~~3~~ 3. Until the date of termination, continue to provide the same level of service required  
30 by this Agreement.

31 4. If clients are to be transferred to another facility for services, furnish ADMINISTRATOR,  
32 upon request, all client information and records deemed necessary by ADMINISTRATOR to effect an  
33 orderly transfer.

34 45. Assist ADMINISTRATOR in effecting the transfer of clients in a manner consistent with  
35 client's best interests.

36 56. If records are to be transferred to COUNTY, pack and label such records in accordance with  
37 directions provided by ADMINISTRATOR.

1 7. ~~Return~~ to COUNTY, in the manner indicated by ADMINISTRATOR, any equipment  
2 and supplies purchased with funds provided by COUNTY.

3 8. To the extent services are terminated, cancel outstanding commitments covering the  
4 procurement of materials, supplies, equipment, and miscellaneous items, as well as outstanding  
5 commitments which relate to personal services. With respect to these canceled commitments,  
6 CONTRACTOR shall submit a written plan for settlement of all outstanding liabilities and all claims  
7 arising out of such cancellation of commitment which shall be subject to written approval of  
8 ADMINISTRATOR.

9 G. The rights and remedies of COUNTY provided in this Termination Paragraph shall not be  
10 exclusive, and are in addition to any other rights and remedies provided by law or under this Agreement.

11 **XXII. THIRD PARTY BENEFICIARY**

12 Neither party hereto intends that this Agreement shall create rights hereunder ~~in~~for third parties  
13 including, but not limited to, any subcontractors or any clients provided services ~~hereunder~~pursuant to  
14 this Agreement.

15 **XXIII. WAIVER OF DEFAULT OR BREACH**

16 Waiver by COUNTY of any default by CONTRACTOR shall not be considered a waiver of any  
17 subsequent default. Waiver by COUNTY of any breach by CONTRACTOR of any provision of this  
18 Agreement shall not be considered a waiver of any subsequent breach. Waiver by COUNTY of any  
19 default or any breach by CONTRACTOR shall not be considered a modification of the terms of this  
20 Agreement.  
21 Agreement.

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1 IN WITNESS WHEREOF, the parties have executed this Agreement, in the County of Orange, State  
2 of California.

3  
4 THE REGENTS OF THE UNIVERSITY OF CALIFORNIA,  
5 A CONSTITUTIONAL CORPORATION, ON BEHALF OF UC IRVINE HEALTHCARE

6  
7 BY: \_\_\_\_\_ DATED: \_\_\_\_\_

8  
9  
10 TITLE: \_\_\_\_\_

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13 BY: \_\_\_\_\_ DATED: \_\_\_\_\_

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16 TITLE: \_\_\_\_\_

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19 COUNTY OF ORANGE

20  
21  
22 BY: \_\_\_\_\_ DATED: \_\_\_\_\_

23 HEALTH CARE AGENCY

24  
25  
26 APPROVED AS TO FORM  
27 OFFICE OF THE COUNTY COUNSEL  
28 ORANGE COUNTY, CALIFORNIA

29  
30  
31 BY: \_\_\_\_\_ DATED: \_\_\_\_\_

32 DEPUTY

33  
34  
35  
36 If the contracting party is a corporation, two (2) signatures are required: one (1) signature by the Chairman of the Board, the President or any Vice President;  
37 and one (1) signature by the Secretary, any Assistant Secretary, the Chief Financial Officer or any Assistant Treasurer. If the contract is signed by one (1) authorized individual only, a copy of the corporate resolution or by-laws whereby the board of directors has empowered said authorized individual to act on its behalf by his or her signature alone is required by HCA ADMINISTRATOR.

EXHIBIT A  
 TO AGREEMENT ~~WITH~~ FOR PROVISION OF  
PUBLIC HEALTH MEDICAL SERVICES  
 BETWEEN  
COUNTY OF ORANGE  
 AND

THE REGENTS OF THE UNIVERSITY OF CALIFORNIA,  
 A CONSTITUTIONAL CORPORATION, ON BEHALF OF UC IRVINE HEALTHCARE

~~FOR PROVISION OF~~  
~~PUBLIC HEALTH MEDICAL SERVICES~~

JULY 1, ~~2012~~ 2014 THROUGH JUNE 30, ~~2014~~ 2017

**I. DEFINITIONS**

The parties agree to the following terms and definitions, and to those terms and definitions which, for convenience, are set forth elsewhere in the Agreement.

A. Fiscal Intermediary: The entity authorized by COUNTY to receive, process, and pay CONTRACTOR's claims for services provided.

B. Liaison (Hospitalist/Physician) Services: Enhanced coordination of physician care and improved communication with attending physicians.

C. Inpatient Services: All Medical Services including Critical Care, Non-Critical Care, and Isolation Services, which are provided by CONTRACTOR to patients receiving treatment that requires a hospital stay, excepting Physician Services, pursuant to the Agreement.

D. Medical Services: Any authorized service or exam deemed medically necessary to protect life or prevent significant disability, and/or to diagnose and treat illness or injuries which require treatment to prevent serious deterioration of health.

E. Outpatient Services: All Medical Services including emergency room, specialty, and diagnostic services, which are provided by CONTRACTOR to patients receiving treatment that does not require a hospital stay, excepting Physician Services, pursuant to the Agreement.

F. Physician Services: All Medical Services, which are provided by a licensed physician, including supervision of interns and residents.

G. Public Health Patients:

1. Persons referred to CONTRACTOR by ADMINISTRATOR, including those with a confirmed or suspected communicable disease, and adult mental health persons needing medical care.

2. Inpatient(s) with no other source of payment who, at the time CONTRACTOR proposes to discharge the person, ADMINISTRATOR determines public health considerations require that the patient remain in the hospital and denies approval of the discharge. COUNTY financial responsibility shall commence on the date ADMINISTRATOR denies approval of the discharge.

1 H. TAR: A written or electronic request from ADMINISTRATOR to CONTRACTOR authorizing  
2 Medical Services for Public Health Patients.

3 I. Usual and Customary Charge: The amount which CONTRACTOR normally or usually charges  
4 the majority of its patients for a specified type of service, including the types of Medical Services  
5 provided hereunder.

6  
7 **II. INTERRUPTION OF SERVICES**

8 If CONTRACTOR is unable to provide or arrange for the provision of a substantial portion of the  
9 services hereunder for twenty (20) consecutive calendar days, COUNTY may terminate all or a portion  
10 of the Agreement upon ten (10) calendar days prior written notice given at any time during or after such  
11 period to CONTRACTOR.

12  
13 **III. ISSUE RESOLUTION**

14 For resolution of issues between CONTRACTOR and ADMINISTRATOR with respect to the  
15 implementation and operation of the Agreement or ADMINISTRATOR's policies and procedures  
16 regarding services described herein, the following sequential steps shall apply:

17 A. CONTRACTOR shall routinely utilize all informal communication processes and methods with  
18 ADMINISTRATOR's staff including, but not limited to, telephone contact, facsimile machine (FAX),  
19 written correspondence, secure electronic communication and meetings, to resolve any issues or  
20 problems regarding the implementation and operation of the Agreement or ADMINISTRATOR's  
21 policies and procedures regarding services described herein.

22 B. If the parties are unable to resolve the issue, CONTRACTOR shall give written notice to  
23 ADMINISTRATOR setting forth in specific terms the existence and nature of any unresolved matter or  
24 concern related to the purposes and obligations of the Agreement. ADMINISTRATOR shall have  
25 fifteen (15) calendar days following such notice to obtain resolution of any issue(s) identified in this  
26 manner, provided, however, by mutual consent this period of time may be extended to thirty (30)  
27 calendar days.

28 C. If the parties are unable to obtain resolution of the issue, they shall submit a joint written  
29 Statement describing the facts of the issue, within thirty (30) calendar days after the written notice  
30 described above, to ADMINISTRATOR's Director of Public Health for final resolution.

31 D. The rights and remedies provided by this paragraph are in addition to those provided by law to  
32 either party.

33 //  
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#### IV. MEDICAL RECORDS

A. CONTRACTOR shall retain medical records in accordance with the Records Management and Maintenance Paragraph in the Agreement.

B. Medical records shall be, and remain the property of CONTRACTOR and shall not be removed or transferred from CONTRACTOR except in accordance with applicable COUNTY, state and federal statutes and regulations, and CONTRACTOR's regulations. To the extent permitted by law, in accordance with procedures required by law, and upon receipt of twenty-four (24) hours prior written notice from ADMINISTRATOR, CONTRACTOR shall permit ADMINISTRATOR to inspect and make copies of said records. CONTRACTOR shall provide copies of such records to ADMINISTRATOR and any person authorized by ADMINISTRATOR upon request. Consultation reports for Public Health Patients referred to CONTRACTOR for specialty and diagnostic services shall be provided to ADMINISTRATOR within fourteen (14) calendar days of the visit, or sooner if CONTRACTOR receives an urgent request from ADMINISTRATOR.

#### V. PAYMENTS

A. COUNTY shall compensate CONTRACTOR for providing Inpatient Services (Critical Care, Non-Critical Care, and Isolation Services) and Outpatient Services described herein, at ~~22.76~~<sup>28</sup>% of billed Usual and Customary Charges, ~~as reported to the Office of Statewide Health Planning and Development.~~

B. COUNTY shall compensate CONTRACTOR for providing Physician Services described herein, at one hundred thirty percent (130%) of the current Medicare Area ~~126~~<sup>26</sup> Resource-Based Relative Value Scale fee schedule for all physician services except Anesthesia. The Anesthesia reimbursement rate shall be forty-five dollars (\$45) per ASA Base unit. CONTRACTOR shall convert all billings for Physician Services to Medicare rates or ASA rate as applicable, prior to submitting billings to COUNTY. COUNTY shall reimburse CONTRACTOR for services, and CONTRACTOR shall be responsible for payment to physicians for Physician Services.

C. COUNTY shall compensate CONTRACTOR for providing Liaison (Hospitalist/Physician) Services described in Subparagraph VII A.3. of this Exhibit A of the Agreement, in the amount of ten thousand dollars (\$10,000) per quarter, not to exceed a total of forty thousand dollars (\$40,000) for each Period specified in the Referenced Contract Provisions of this Agreement. COUNTY shall reimburse CONTRACTOR for services, and CONTRACTOR shall be responsible for payment to physician for Liaison Services. COUNTY may withhold payment for Liaison Services if services are not provided in accordance with Subparagraph VII.B. of this Exhibit A of the Agreement.

#### D. BILLING

1. Inpatient, Outpatient and Physician Services – CONTRACTOR shall submit to Fiscal Intermediary, claims for patient billings for Inpatient, Outpatient, and Physician Services as described in Subparagraph VII A.1. and A.2. of this Exhibit of the Agreement. CONTRACTOR shall include the

1 following information: patient name, date of birth, Social Security number, TAR, date of service,  
 2 number and type of service, diagnosis code, Usual and Customary Charges, and private insurance and  
 3 Medicare and Medi-Cal payment denials, when appropriate. CONTRACTOR shall not be required to  
 4 retain TARs for more than one hundred eighty (180) calendar days from billing date.

5 2. CONTRACTOR shall submit Inpatient, Outpatient, and Physician Services claims within  
 6 one hundred eighty (180) calendar days of the dates of service provided, unless the delay is related to the  
 7 third-party payer reimbursement and/or appeal process as outlined in Subparagraph D.4. below.  
 8 COUNTY shall have no liability for payment of claims submitted more than one hundred eighty (180)  
 9 calendar days after the dates of service provided, unless the delay is related to the third-party payer  
 10 reimbursement and/or appeal process.

11 3. Liaison (Hospitalist/Physician) Services – CONTRACTOR shall submit to COUNTY  
 12 quarterly in arrears, an invoice in a form approved by ADMINISTRATOR. The quarterly invoice shall  
 13 include number of hours of Liaison Services provided each week.

14 4. Third-Party Reimbursement

15 a. CONTRACTOR shall first bill other third-party payers for Inpatient, Outpatient and  
 16 Physician Services, including but not limited to, private insurance, Medicare, Medi-Cal, TB Medi-Cal,  
 17 CalOptima, and the MSI Program for services provided to eligible Public Health Patients. When billing  
 18 COUNTY for patients for whom revenue has not been received, CONTRACTOR shall submit to  
 19 COUNTY a copy of the written denial of payment.

20 b. CONTRACTOR shall be responsible to appeal all denials of services related to  
 21 third-party payers in a timely fashion prior to submitting invoices to COUNTY for payment.

22 c. COUNTY will not be responsible for reimbursement of services where  
 23 CONTRACTOR has not billed or appealed the claim to a third-party payer according to industry  
 24 standards.

25 d. Any reimbursement of services by COUNTY for Public Health Patients shall be limited  
 26 to reimbursement of services for which no payment will be made through a third-party payer. COUNTY  
 27 shall not reimburse any deductibles or co-payments required by a Public Health Patient's insurance  
 28 coverage.

29 e. CONTRACTOR shall submit Inpatient, Outpatient and Physician Services claims with  
 30 dates of service no older than those provided in the past one hundred eighty (180) calendar days, unless  
 31 the delay is related to the third party payer appeal process. COUNTY shall have no liability for payment  
 32 of claims or invoices submitted more than one hundred eighty (180) calendar days after the dates of  
 33 service provided, unless the delay is related to the third party payer appeal process. If claims are  
 34 submitted more than one hundred eighty (180) calendar days after the dates of services provided,  
 35 CONTRACTOR shall include supporting documentation of a third party payer appeal process for said  
 36 claims. COUNTY shall have no liability for payment of claims or invoices delayed due to a third party

37 //

1 payer appeal process, if claims or invoices submitted are more than two hundred seventy four (274)  
2 calendar days after the dates of service provided.

3 E. Fiscal Intermediary will deny all claims that do not meet the conditions and requirements of this  
4 Agreement for claim submission, processing, and reimbursement.

5 F. COUNTY shall pay CONTRACTOR within forty-five (45) business days after receipt of  
6 claims, invoices, and documentation specified above.

7 G. For services which CONTRACTOR is unable to provide and must secure from an independent  
8 entity, COUNTY shall reimburse CONTRACTOR at rates as specified in Subparagraphs A. and B.  
9 above. The billing shall be submitted on an itemized invoice separate from claims or invoices specified  
10 in Subparagraph D. above, and shall be accompanied by supporting documentation which shall be  
11 retained in CONTRACTOR's and ADMINISTRATOR's files.

12 H. CONTRACTOR shall obtain the following service authorizations:

13 1. Authorization for emergency Medical Services or emergency Inpatient Services shall  
14 consist of a TAR approved by ADMINISTRATOR.

15 2. Prior authorization for non-emergency Inpatient and Outpatient Services shall consist of a  
16 TAR approved by ADMINISTRATOR.

17 I. Billing, service authorization, and data reporting requirements set forth in the Agreement may  
18 be amended, by mutual written agreement, between CONTRACTOR and ADMINISTRATOR.

## 19 **VI. REPORTS**

20 A. CONTRACTOR shall maintain records and make statistical reports as required by  
21 ADMINISTRATOR on forms provided by either party.

22 B. ADMINISTRATOR may request reasonable reports of CONTRACTOR in order to determine  
23 the quality and nature of services provided hereunder. ADMINISTRATOR will be specific as to the  
24 nature of information requested, and allow thirty (30) calendar days for CONTRACTOR to respond.  
25

## 26 **VII. SERVICES**

27 A. CONTRACTOR shall provide authorized Medical Services, including Inpatient, Outpatient, and  
28 Liaison (Hospitalist/Physician) Services to Public Health Patients. Services to said patients shall be  
29 available and provided in the same manner as provided to CONTRACTOR's other patients. Services to  
30 be provided by CONTRACTOR shall include, but not be limited to, the following:  
31

32 1. Inpatient Services – Critical Care, Non-Critical Care, and Isolation Services (Airborne,  
33 Contact and/or Droplet Standard Precautions) including intensive care, acute respiratory care services,  
34 all Physician Services, nursing, ancillary, diagnostic, pharmacy and other Medical Services.

35 2. Outpatient Services

36 a. Comprehensive Emergency Medical Services including all Physician Services provided  
37 by the physician on duty and consulting physician; all routine, general, diagnostic services including CT

1 and MRI scans; and other Medical Services required during the visit including, but not limited to,  
 2 laboratory, all medical supplies, pharmacy services, central service items, and nursing support or care.

3           b. Specialty Services including all Physician Services and all diagnostic, routine, general,  
 4 and other Medical Services required by an outpatient during the visit, including, but not limited to,  
 5 laboratory, all medical supplies, pharmacy services, central service items, and nursing support or care  
 6 during the course of the visit. Specialty services to be provided by CONTRACTOR shall include, but  
 7 not be limited to, internal medicine, respiratory/pulmonary medicine, infectious disease, otolaryngology,  
 8 ophthalmology, orthopedics, urology, gynecology, rheumatology, hematology, dermatology, and  
 9 radiation therapy. Services shall be provided within fourteen (14) calendar days of the request by  
 10 ADMINISTRATOR, or sooner if CONTRACTOR receives an urgent TAR from ADMINISTRATOR.

11           c. Diagnostic Services including all Physician Services and technical components for  
 12 complex laboratory or x-ray services including, but not limited to, radiology (radiographs, CT and MRI  
 13 scans), bronchoscopes, biopsies, ultrasounds and other specialized tests. Services shall be provided  
 14 within fourteen (14) calendar days of the request by ADMINISTRATOR, or sooner if CONTRACTOR  
 15 receives an urgent TAR from ADMINISTRATOR.

16           3. Liaison (Hospitalist/Physician) Services including working with COUNTY physicians to  
 17 facilitate the care of Public Health Patients with communicable diseases such as TB, CONTRACTOR's  
 18 case managers to facilitate discharges and transfers and CONTRACTOR's outpatient clinic managers to  
 19 facilitate coordination of care.

20           B. CONTRACTOR shall provide a Liaison (Hospitalist/Physician) who shall coordinate care of all  
 21 referred communicable disease patients with ADMINISTRATOR. If Liaison is unable to perform said  
 22 Hospitalist/Physician services due to vacations, illnesses, and other planned and unplanned absences,  
 23 CONTRACTOR shall give ADMINISTRATOR advanced notice and provide a back-up for said Liaison.  
 24 Liaison and back-up liaison shall be physicians Board certified in pulmonary or infectious disease  
 25 medicine, with five (5) years or more experience in the diagnosis and treatment of individuals with  
 26 communicable diseases. The Liaison and back-up liaison shall persons mutually agreed upon by both  
 27 parties. The Liaison shall perform the following duties, and such others as are deemed appropriate by  
 28 ADMINISTRATOR:

29           1. Hospitalized Patients with Suspected or Confirmed TB or Other Communicable Diseases

30           a. Coordinate and expedite the admissions process for Public Health Patients referred or  
 31 transferred for hospitalization at UCIMC.

32           b. Provide guidance to UCIMC Ward Teams and UCIMC's Infectious Disease Team  
 33 regarding medical progress management and medical preparation of patient for discharge.

34           c. Coordinate discharge planning with CONTRACTOR's and ADMINISTRATOR's case  
 35 managers.

36           d. Conduct direct examinations of patients with difficult diagnostic or management  
 37 problems on an as-needed basis.

1 e. Counsel family members on an as-needed basis.

2 f. Develop and update Care Path Guidelines for inpatient diagnosis and management of  
3 suspected or confirmed TB or other communicable diseases.

4 2. Outpatient Referrals

5 a. Coordinate and expedite arrangements for timely outpatient clinic consultations with  
6 various specialty clinics for Public Health Patients referred for complicated diagnostic or disease  
7 management problems.

8 b. Review and update administrative systems for interdepartmental consultations on  
9 outpatient referrals.

10 3. Laboratory

11 Act as liaison with UCIMC Mycobacteriology Laboratory regarding diagnostic problems,  
12 selecting specimens for referral to ADMINISTRATOR's Public Health Laboratory for additional  
13 laboratory testing, etc.

14 4. UCIMC/ADMINISTRATOR Conferences

15 a. Participate in ADMINISTRATOR's regular Pulmonary Physician/Patient Care  
16 Conferences at ADMINISTRATOR's site. At a minimum, said participation shall occur no less than  
17 thirty-six (36) times per year at a minimum rate of three (3) times per month.

18 b. Coordinate and chair Tuberculosis Grand Rounds, a monthly interdisciplinary patient  
19 care conference, including but not limited to, selecting cases, contacting residents and consultants,  
20 periodically arranging for guest speakers, and acting as liaison with ADMINISTRATOR's participants.

21 5. Additional Responsibilities

22 a. Assist ADMINISTRATOR's senior medical and administrative personnel with patient  
23 management quality assessment and process improvement issues, as needed.

24 b. Assist with development of processes to provide a basis for possible revisions of  
25 guidelines for investigations or management of TB.

26 C. CONTRACTOR shall provide services pursuant to the Agreement in a manner that is culturally  
27 and linguistically appropriate for the population(s) served. CONTRACTOR shall maintain  
28 documentation of such efforts which may include, but not be limited to: records of participation in  
29 COUNTY-sponsored or other applicable training; recruitment and hiring policies and procedures; copies  
30 of literature in multiple languages and formats, as appropriate; and descriptions of measures taken to  
31 enhance accessibility for, and sensitivity to, persons who are physically challenged.

32 D. ADMINISTRATOR and CONTRACTOR shall ensure Public Health Patients are discharged or  
33 transferred to another facility, as medically and public health appropriate, in a timely manner.  
34 ADMINISTRATOR and CONTRACTOR recognize that transfers to other facilities are subject to  
35 acceptance by the receiving facility.

36 E. CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify the  
37 Services Paragraph of this Exhibit A to the Agreement.