

1 AGREEMENT FOR PROVISION OF
2 CHILDREN’S IN-HOME CRISIS STABILIZATION SERVICES
3 BETWEEN
4 COUNTY OF ORANGE
5 AND
6 CHILD ABUSE PREVENTION CENTER
7 ~~FISCAL YEAR 2009~~ JULY 1, 2010 THROUGH JUNE 30, 2011
8
9

10 THIS AGREEMENT entered into this 1st day of July 2010⁹, which date is enumerated for purposes
11 of reference only, is by and between the COUNTY OF ORANGE (COUNTY) and CHILD ABUSE
12 PREVENTION CENTER, a California nonprofit corporation (CONTRACTOR). This Agreement shall
13 be administered by the County of Orange Health Care Agency (ADMINISTRATOR).
14

15 **W I T N E S S E T H:**

16
17 WHEREAS, COUNTY wishes to contract with CONTRACTOR for the provision of Children’s In-
18 Home Crisis Stabilization Services described herein to the residents of Orange County; and

19 WHEREAS, CONTRACTOR is agreeable to the rendering of such services on the terms and
20 conditions hereinafter set forth:

21 NOW, THEREFORE, IT IS MUTUALLY AGREED AS FOLLOWS:

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REFERENCED CONTRACT PROVISIONS

Term: July 1, ~~2010~~⁹ through June 30, ~~2011~~⁰

Maximum Obligation: \$905,312

Basis for Reimbursement: Actual Cost

Payment Method: Provisional Amount

Notices to COUNTY and CONTRACTOR:

COUNTY: County of Orange
 Health Care Agency
 Contract Development and Management
 405 West 5th Street, Suite 600
 Santa Ana, CA 92701-4637

CONTRACTOR: Child Abuse Prevention Center
 500 S. Main St., Suite 1100
 Orange, CA 92808

CONTRACTOR's Insurance Coverages:

<u>Coverage</u>	<u>Minimum Limits</u>
<u>Coverage</u>	<u>per Occurrence</u>
Commercial	
<u>Comprehensive</u> General Liability with broad form Property damage and contractual liability	\$1,000,000 <u>combined single limit</u> Combined Single limit per occurrence \$2,000,000 <u>Aggregate</u>
Automobile Liability, including coverage for owned, non-owned and hired vehicles	\$1,000,000 <u>combined single limit</u> Combined Single limit per occurrence
Workers' Compensation	Statutory
Employer's Liability Insurance	\$1,000,000 per occurrence
Professional Liability Insurance	\$1,000,000

Attachment D. Redline Version to Attachment A

1		per claims made or
2		per occurrence
3	Sexual Misconduct	\$1,000,000
4		per occurrence
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I. ALTERATION OF TERMS

This Agreement, together with Exhibit A attached hereto and incorporated herein by reference, fully expresses all understanding of COUNTY and CONTRACTOR with respect to the subject matter of this Agreement, and shall constitute the total Agreement between the parties for these purposes. No addition to, or alteration of, the terms of this Agreement, whether written or verbal, shall be valid unless made in writing and formally approved and executed by both parties.

II. ASSIGNMENT OF DEBTS

Unless this Agreement is followed without interruption by another Agreement between the parties hereto for the same services and substantially the same scope, at the termination of this Agreement, CONTRACTOR shall assign to COUNTY any debts owing to CONTRACTOR by or on behalf of persons receiving services pursuant to this Agreement. CONTRACTOR shall immediately notify by mail each of these persons, specifying the date of assignment, the County of Orange as assignee, and the address to which payments are to be sent. Payments received by CONTRACTOR from or on behalf of said persons, shall be immediately given to COUNTY.

III. COMPLIANCE

~~A. COUNTY's Health Care Agency (HCA)~~ A. COMPLIANCE PROGRAM
~~ADMINISTRATOR~~ has established a Compliance Program for the purpose of ensuring adherence to all rules and regulations related to federal and state health care programs.

1. ADMINISTRATOR shall ~~provide~~ ensure that CONTRACTOR ~~with a copy~~ is made aware of the relevant ~~HCA Policies~~ policies and ~~P~~ procedures relating to ~~the~~ ADMINISTRATOR's Compliance Program.

2. CONTRACTOR shall ensure that its employees, subcontractors, interns, volunteers, and members of Board of Directors or duly authorized agents, if appropriate, ("Covered Individuals") relative to this Agreement are made aware of ~~HCA's Policies and Procedures~~ ADMINISTRATOR's Compliance Program and related policies and procedures.

~~B~~ 3. CONTRACTOR has the option to adhere to ~~HCA's~~ ADMINISTRATOR's Compliance Program or establish its own.

~~14.~~ If CONTRACTOR elects to have its own Compliance Program then it shall submit a copy of its Compliance Program, ~~Code of Conduct,~~ and relevant policies and procedures to ADMINISTRATOR within thirty (30) calendar days of award of this Agreement.

~~2.~~ ~~HCA's~~ 5. ADMINISTRATOR's Compliance Officer shall ~~advise~~ advise ~~CONTRACTOR~~ determine if CONTRACTOR's ~~compliance program~~ Compliance Program is accepted. CONTRACTOR shall take necessary action to meet said standards or shall be asked to acknowledge and agree to the ~~HCA's Code of Conduct and~~ ADMINISTRATOR's Compliance Program.

~~36.~~ Upon approval of CONTRACTOR's Compliance Program by ~~HCA's~~ ADMINISTRATOR's

1 Compliance Officer, CONTRACTOR shall ensure that its employees, subcontractors, interns,
 2 volunteers, and members of Board of Directors or duly authorized agents, if appropriate, ("Covered
 3 Individuals") relative to this Agreement are made aware of CONTRACTOR's ~~Policies and~~
 4 ~~Procedures~~ Compliance Program and related policies and procedures.

5 ~~47.~~ Failure of CONTRACTOR to submit its Compliance Program, ~~Code of Conduct,~~ and
 6 relevant policies and procedures shall constitute a material breach of this Agreement. Failure to cure
 7 such breach within sixty (60) calendar days of such notice from ADMINISTRATOR shall constitute
 8 grounds for termination of this Agreement as to the non-complying party.

9 ~~B. CODE OF CONDUCT - Under the direction of the HCA Office of~~
 10 ~~Compliance.~~ ADMINISTRATOR has developed a Code of Conduct for adherence by ~~all~~
 11 ~~HCA~~ ADMINISTRATOR's employees and contract providers ~~has been developed.~~

12 1. ADMINISTRATOR shall ensure that CONTRACTOR is made aware of
 13 ADMINISTRATOR's Code of Conduct.

14 2. CONTRACTOR shall ensure that its employees, subcontractors, interns, volunteers, and
 15 members of Board of Directors or duly authorized agents, if appropriate, ("Covered Individuals")
 16 relative to this Agreement are made aware of ADMINISTRATOR's Code of Conduct.

17 3. CONTRACTOR has the option to adhere to ADMINISTRATOR's Code of Conduct or
 18 establish its own.

19 4. If CONTRACTOR elects to ~~adhere to HCA Compliance Program~~ have its own Code of
 20 Conduct, then it shall submit a copy of its Code of Conduct to ADMINISTRATOR within thirty (30)
 21 calendar days of award of this Agreement.

22 5. ADMINISTRATOR's Compliance Officer shall determine if CONTRACTOR's Code of
 23 Conduct is accepted. CONTRACTOR shall take necessary action to meet said standards or shall be
 24 asked to acknowledge and agree to the ADMINISTRATOR's Code of Conduct.

25 6. Upon approval of CONTRACTOR's Code of Conduct by ADMINISTRATOR,
 26 CONTRACTOR shall ensure that its employees, subcontractors, interns, volunteers, and members of
 27 Board of Directors or duly authorized agents, if appropriate, ("Covered Individuals") relative to this
 28 Agreement are made aware of CONTRACTOR's Code of Conduct.

29 7. If CONTRACTOR elects to adhere to ADMINISTRATOR's Code of Conduct then
 30 CONTRACTOR shall submit to ADMINISTRATOR a signed acknowledgement and agreement that
 31 CONTRACTOR shall comply with ~~the "HCA Contractor~~ ADMINISTRATOR's Code of Conduct."

32 ~~28.~~ Failure of CONTRACTOR to timely submit the acknowledgement of ~~the HCA~~
 33 ~~Contractor~~ ADMINISTRATOR's Code of Conduct shall constitute a material breach of this Agreement,
 34 and failure to cure such breach within sixty (60) calendar days of such notice from ADMINISTRATOR
 35 shall constitute grounds for termination of this Agreement as to the non-complying party.

36 ~~D.~~ C. COVERED INDIVIDUALS - CONTRACTOR shall screen all Covered Individuals
 37 employed or retained to provide services related to this Agreement to ensure that they are not designated

1 as "Ineligible Persons," as defined hereunder. Screening shall be conducted against the General
2 Services Administration's List of Parties Excluded from Federal Programs and the Health and Human
3 Services/Office of Inspector General List of Excluded Individuals/Entities.

4 1. Ineligible Person shall be any individual or entity who:

5 a. is currently excluded, suspended, debarred or otherwise ineligible to participate in the
6 federal health care programs; or

7 b. has been convicted of a criminal offense related to the provision of health care items or
8 services and has not been reinstated in the federal health care programs after a period of exclusion,
9 suspension, debarment, or ineligibility.

10 2. CONTRACTOR shall screen prospective Covered Individuals prior to hire or engagement.
11 CONTRACTOR shall not hire or engage any Ineligible Person to provide services relative to this
12 Agreement.

13 3. CONTRACTOR shall screen all current Covered Individuals and subcontractors semi-
14 annually (January and July) to ensure that they have not become Ineligible Persons. CONTRACTOR
15 shall also request that its subcontractors use their best efforts to verify that they are eligible to
16 participate in all federal and State of California health programs and have not been excluded or debarred
17 from participation in any federal or state health care programs, and to further represent to
18 CONTRACTOR that they do not have any Ineligible Person in their employ or under contract.

19 4. Covered Individuals shall be required to disclose to CONTRACTOR immediately any
20 debarment, exclusion or other event that makes the Covered Individual an Ineligible Person.
21 CONTRACTOR shall notify ~~COUNTY~~ ADMINISTRATOR immediately upon such disclosure.

22 5. CONTRACTOR acknowledges that Ineligible Persons are precluded from providing
23 federal and state funded health care services by contract with COUNTY in the event that they are
24 currently sanctioned or excluded by a federal or state law enforcement regulatory or licensing agency.
25 If CONTRACTOR becomes aware that a Covered Individual has become an Ineligible Person,
26 CONTRACTOR shall remove such individual from responsibility for, or involvement with,
27 ~~HCA~~ COUNTY business operations related to this Agreement.

28 6. CONTRACTOR shall notify ADMINISTRATOR immediately if a Covered Individual or
29 entity is currently excluded, suspended or debarred, or is identified as such after being sanction
30 screened. Such individual or entity shall be immediately removed from participating in any activity
31 associated with this AGREEMENT. ADMINISTRATOR will determine if any repayment is necessary
32 from CONTRACTOR for services provided by ineligible person or individual.

33 ED. REIMBURSEMENT STANDARDS

34 1. CONTRACTOR shall take reasonable precaution to ensure that the coding of health care
35 claims, billings and ~~billing~~ /or invoices for same are prepared and submitted in an accurate and timely
36 manner and are consistent with federal, state and county laws and regulations. This includes
37 compliance with federal and state health care program regulations and procedures or instructions

1 otherwise communicated by regulatory agencies including the Centers for Medicare and Medicaid
2 Services or their agents.

3 2. CONTRACTOR shall submit no false, fraudulent, inaccurate or fictitious claims for
4 payment or reimbursement of any kind.

5 3. CONTRACTOR shall bill only for those eligible services actually rendered which are also
6 fully documented. When such services are coded, CONTRACTOR shall use accurate billing codes to
7 accurately describe the services provided and to ensure compliance with all billing and documentation
8 requirements.

9 4. CONTRACTOR shall act promptly to investigate and correct any problems or errors in
10 coding of claims and billing, if and when, any such problems or errors are identified.

11 ~~F~~E. COMPLIANCE TRAINING - ADMINISTRATOR shall make General Compliance Training
12 and Provider Compliance Training, where appropriate, available to Covered Individuals.

13 1. Such training will be made available to Covered Individuals within thirty (30) calendar
14 days of employment or engagement.

15 2. Such training will be made available to each Covered Individual annually.

16 3. Each Covered Individual attending training shall certify, in writing, attendance at
17 compliance training. CONTRACTOR shall retain the certifications. Upon written request by
18 ADMINISTRATOR, CONTRACTOR shall provide copies of the certifications.

19
20 **IV. CONFIDENTIALITY**

21 A. CONTRACTOR shall maintain the confidentiality of all records, including billings and any
22 audio and/or video recordings, in accordance with all applicable federal, state and county codes and
23 regulations, as they now exist or may hereafter be amended or changed.

24 1. CONTRACTOR acknowledges and agrees that all persons served pursuant to this
25 Agreement are eClients of the Orange County Mental Health services system, and therefore it may be
26 necessary for authorized staff of ADMINISTRATOR to audit eClient files, or to exchange information
27 regarding specific eClients with COUNTY or other providers of related services contracting with
28 COUNTY.

29 2. CONTRACTOR acknowledges and agrees that it shall be responsible for obtaining written
30 consents for the release of information from all persons served by CONTRACTOR pursuant to this
31 Agreement. Such consents shall be obtained by CONTRACTOR in accordance with California Civil
32 Code, Division 1, Part 2.6 relating to Confidentiality of Medical Information.

33 3. In the event of a collaborative service agreement between Mental Health services providers,
34 CONTRACTOR acknowledges and agrees that it is responsible for obtaining releases of information,
35 from the collaborative agency, for eClients receiving services through the collaborative agreement.

36 B. Prior to providing any services pursuant to this Agreement, all CONTRACTOR members of the
37 Board of Directors or its designee or authorized agent, employees, consultants, subcontractors,

1 volunteers and interns shall agree, in writing, with CONTRACTOR to maintain the confidentiality of
2 any and all information and records which may be obtained in the course of providing such services.
3 The agreement shall specify that it is effective irrespective of all subsequent resignations or terminations
4 of CONTRACTOR members of the Board of Directors or its designee or authorized agent, employees,
5 consultants, subcontractors, volunteers and interns.

6 ~~C. CONTRACTOR agrees to mitigate, to the extent practicable, any harmful effect that is known~~
7 ~~to CONTRACTOR, or its subcontractors or agents in violation of the applicable state and federal~~
8 ~~regulations regarding confidentiality.~~

9 ~~D. CONTRACTOR shall monitor compliance with the above provisions on confidentiality and~~
10 ~~security, and shall include them in all subcontracts.~~

11 ~~E. CONTRACTOR shall notify ADMINISTRATOR within twenty-four (24) hours during a work~~
12 ~~week, of any suspected or actual breach of computer system security, if the security breach would~~
13 ~~require notification under Civil Code Section 1798.82.~~

14 **V. COST REPORT**

15
16 A. CONTRACTOR shall submit a Cost Report to COUNTY no later than sixty (60) calendar days
17 following termination of this Agreement. CONTRACTOR shall prepare the Cost Report in accordance
18 with all applicable federal, state and county requirements and generally accepted accounting principles.
19 CONTRACTOR shall allocate direct and indirect costs to and between programs, cost centers, services,
20 and funding sources in accordance with such requirements and consistent with prudent business
21 practice, which costs and allocations shall be supported by source documentation maintained by
22 CONTRACTOR, and available at any time to ADMINISTRATOR upon reasonable notice.

23 1. If CONTRACTOR fails to submit an accurate and complete Cost Report within the time
24 period specified above, ADMINISTRATOR shall have sole discretion to impose one or both of the
25 following:

26 a. CONTRACTOR may be assessed a late penalty of one hundred dollars (\$100) for each
27 business day after the above specified due date that the accurate and complete Cost Report is not
28 submitted. Imposition of the late penalty shall be at the sole discretion of the ADMINISTRATOR. The
29 late penalty shall be assessed separately on each outstanding Cost Report due COUNTY by
30 CONTRACTOR.

31 b. ADMINISTRATOR may withhold or delay any or all payments due CONTRACTOR
32 pursuant to any or all agreements between COUNTY and CONTRACTOR until such time that the Cost
33 Report is delivered to ADMINISTRATOR.

34 2. CONTRACTOR may request, in advance and in writing, an extension of the due date of the
35 Cost Report setting forth good cause for justification of the request. Approval of such requests shall be
36 at the sole discretion of ADMINISTRATOR and shall not be unreasonably denied. ~~In no case shall~~
37 ~~extensions be granted for more than seven (7) calendar days.~~

1 3. In the event that CONTRACTOR does not submit an accurate and complete Cost Report
2 within one hundred and eighty (180) calendar days following the termination of this Agreement, and
3 CONTRACTOR has not entered into a subsequent or new agreement for any other services with
4 COUNTY, then all amounts paid to CONTRACTOR by COUNTY during the term of the Agreement
5 shall be immediately reimbursed to COUNTY.

6 B. The Cost Report shall be the final financial and statistical report submitted by CONTRACTOR
7 to COUNTY, and shall serve as the basis for final settlement to CONTRACTOR. CONTRACTOR
8 shall document that costs are reasonable and allowable and directly or indirectly related to the services
9 to be provided hereunder. The Cost Report shall be the final financial record for subsequent audits, if
10 any.

11 C. Final settlement shall be based upon the actual and reimbursable costs for services hereunder,
12 less applicable revenues and late penalty, not to exceed COUNTY's Maximum Obligation as set forth
13 on Page 3 of this Agreement. CONTRACTOR shall not claim expenditures to COUNTY which are not
14 reimbursable pursuant to applicable federal, state and county laws, regulations and requirements. Any
15 payment made by COUNTY to CONTRACTOR, which is subsequently determined to have been for an
16 unreimbursable expenditure or service, shall be repaid by CONTRACTOR to COUNTY in cash, or
17 other authorized form of payment, within thirty (30) calendar days of submission of the Cost Report or
18 COUNTY may elect to reduce any amount owed CONTRACTOR by an amount not to exceed the
19 reimbursement due COUNTY.

20 ~~—D. Unless approved by ADMINISTRATOR, costs that exceed the State Maximum Allowance per~~
21 ~~Medi-Cal Unit of Services, as determined by the State Department of Mental Health, shall be~~
22 ~~unreimbursable to CONTRACTOR.~~

23 ~~—E. In the event CONTRACTOR is authorized to retain unanticipated revenues as described in the~~
24 ~~Budget paragraph of Exhibit A to this Agreement, CONTRACTOR shall specify, in the Cost Report, the~~
25 ~~services rendered with such revenues.~~

26 ~~—F~~ E. If the Cost Report indicates the actual and reimbursable costs of services provided pursuant
27 to this Agreement, less applicable revenues and late penalty, are lower than the aggregate of interim
28 monthly payments to CONTRACTOR, CONTRACTOR shall remit the difference to COUNTY. Such
29 reimbursement shall be made, in cash, or other authorized form of payment, with the submission of the
30 Cost Report. If such reimbursement is not made by CONTRACTOR within thirty (30) calendar days
31 after submission of the Cost Report, COUNTY may, in addition to any other remedies, reduce any
32 amount owed CONTRACTOR by an amount not to exceed the reimbursement due COUNTY.

33 ~~G~~ F. If the Cost Report indicates the actual and reimbursable costs of services provided pursuant to
34 this Agreement, less applicable revenues and late penalty, are higher than the aggregate of interim
35 monthly payments to CONTRACTOR, COUNTY shall pay CONTRACTOR the difference, provided
36 such payment does not exceed the Maximum Obligation of COUNTY.

37 H. The Cost Report shall contain the following attestation, which may be typed directly on or

1 attached to the Cost Report:

2
3 "I HEREBY CERTIFY that I have executed the accompanying Cost Report and
4 supporting documentation prepared by _____ for the cost report period
5 beginning _____ and ending _____ and that, to the best of my
6 knowledge and belief, costs reimbursed through this Agreement are reasonable and
7 allowable and directly or indirectly related to the services provided and that this Cost
8 Report is a true, correct, and complete statement from the books and records of
9 (provider name) in accordance with applicable instructions, except as noted. I also
10 hereby certify that I have the authority to execute the accompanying Cost Report.

11
12 Signed _____
13 Name _____
14 Title _____
15 Date _____ "

16
17 **VI. DELEGATION ~~AND~~, ASSIGNMENT AND SUBCONTRACTS**

18 A. CONTRACTOR may not delegate the obligations hereunder, either in whole or in part, without
19 prior written consent of COUNTY; provided, however, obligations undertaken by CONTRACTOR
20 pursuant to this Agreement may be carried out by means of subcontracts, provided such subcontracts are
21 approved in advance, in writing by ADMINISTRATOR, meet the requirements of this Agreement as
22 they relate to the service or activity under subcontract, and include any provisions that
23 ADMINISTRATOR may require. No subcontract shall terminate or alter the responsibilities of
24 CONTRACTOR to COUNTY pursuant to this Agreement. CONTRACTOR may not assign the rights
25 hereunder, either in whole or in part, without the prior written consent of COUNTY.

26 B. For CONTRACTORS which are nonprofit corporations, any change from a nonprofit
27 corporation to any other corporate structure of CONTRACTOR, including a change in more than fifty
28 percent (50%) of the composition of the Board of Directors within a two (2) month period of time, shall
29 be deemed an assignment for purposes of this paragraph. Any attempted assignment or delegation in
30 derogation of this paragraph shall be void. ADMINISTRATOR may disallow, from payments
31 otherwise due CONTRACTOR, amounts claimed for subcontracts not approved in accordance with this
32 paragraph.

33
34 **VII. EMPLOYEE ELIGIBILITY VERIFICATION**

35 CONTRACTOR warrants that it shall ~~make its best effort to~~ fully comply with all federal and state
36 statutes and regulations regarding the employment of aliens and others and to ensure that employees,
37 subcontractors and consultants performing work under this Agreement meet the citizenship or alien

1 status requirement set forth in federal statutes and regulations. CONTRACTOR shall obtain, from all
 2 employees, subcontractors and consultants performing work hereunder, all verification and other
 3 documentation of employment eligibility status required by federal or state statutes and regulations
 4 including, but not limited to, the Immigration Reform and Control Act of 1986, 8 U.S.C. §1324 et seq.,
 5 as they currently exist and as they may be hereafter amended. CONTRACTOR shall retain all such
 6 documentation for all covered employees, subcontractors and consultants for the period prescribed by
 7 the law.

8 9 **VIII. EQUIPMENT**

10 A. Unless otherwise specified in writing by ADMINISTRATOR, Equipment is defined as
 11 moveable property of a relatively permanent nature with significant value. Equipment which costs
 12 \$5,000 or over, including sales taxes, freight charges and other taxes are considered ~~fixed assets.~~ Fixed
 13 Assets. Equipment which cost less than \$5,000, including sales taxes, freight charges and other taxes
 14 are considered ~~Minor Equipment,~~ or Controlled Assets. The cost of Equipment purchased, in whole
 15 or in part, with funds paid pursuant to this Agreement shall be depreciated according to generally
 16 accepted accounting principles.

17 ~~—B.~~ B. CONTRACTOR shall obtain Administrator's prior written approval to purchase any
 18 Equipment with funds paid pursuant to this Agreement. Upon delivery of Equipment, CONTRACTOR
 19 shall forward to ADMINISTRATOR, copies of the purchase order, receipt, and other supporting
 20 documentation, which includes delivery date, unit price, tax, shipping, serial numbers, etc.
 21 CONTRACTOR shall request an applicable asset tag (Fixed or Controlled) for said Equipment and shall
 22 include each purchased asset in an Equipment inventory.

23 C. Upon ADMINISTRATOR's prior written approval, CONTRACTOR may expense to
 24 COUNTY the cost of specified items of Equipment (Fixed or ~~minor Equipment~~ Controlled Assets)
 25 purchased by CONTRACTOR. To "expense," in relation to Equipment, means to charge the full cost of
 26 Equipment in the fiscal year in which it is purchased. Title of expensed Equipment shall be vested with
 27 COUNTY and the Equipment shall be deemed to be "Loaned Equipment" while in the possession of
 28 CONTRACTOR.

29 ~~C.~~ D. CONTRACTOR shall maintain an inventory of all Equipment purchased in whole or in part
 30 with funds paid through this Agreement ~~in accordance with guidelines set forth in COUNTY's~~
 31 ~~"Accounting Procedures Manual," as periodically amended,~~ including date of purchase, purchase price,
 32 serial number, model and type of Equipment. Such inventory shall be available for review by
 33 ADMINISTRATOR, and shall include the original purchase date and price, useful life, and balance of
 34 ~~undepreciated~~ Equipment cost, if any.

35 ~~—D. For Loaned Equipment,~~ E. CONTRACTOR shall cooperate with ADMINISTRATOR
 36 in conducting ~~any~~ periodic physical inventories of Loaned Equipment ~~that ADMINISTRATOR may~~
 37 ~~require.~~ EQUIPMENT shall be tagged with a COUNTY issued tag. Upon demand by

1 ADMINISTRATOR, CONTRACTOR shall return any or all Loaned Equipment to COUNTY.

2 ~~EF.~~ CONTRACTOR must report any loss or theft of Loaned Equipment in accordance with the
3 procedure approved by ADMINISTRATOR and the Notices paragraph of this Agreement. In addition,
4 CONTRACTOR must complete and submit to ADMINISTRATOR a ~~“Notification of Location~~
5 ~~Change” form or “Surplus Requisition”~~ notification form when items of Loaned Equipment are moved
6 from one location to another or returned to COUNTY as surplus.

7 ~~FG.~~ Unless this Agreement is followed without interruption by another agreement between the
8 parties for substantially the same type and scope of services, at the termination of this Agreement for
9 any cause, CONTRACTOR shall return to COUNTY all Loaned Equipment purchased with funds paid
10 through this Agreement.

11
12 **IX. FACILITIES, PAYMENTS AND SERVICES**

13 CONTRACTOR agrees to provide the services, staffing, facilities, any equipment and supplies, and
14 reports in accordance with Exhibit A₂ to this Agreement. COUNTY shall compensate, and authorize,
15 when applicable, said services. CONTRACTOR shall operate continuously throughout the term of this
16 Agreement with at least the minimum number and type of staff which meet applicable federal and state
17 requirements, and which are necessary for the provision of the services hereunder.

18
19 **X. INDEMNIFICATION AND INSURANCE**

20 A. CONTRACTOR agrees to indemnify, defend with counsel approved in writing by COUNTY,
21 and hold COUNTY, its elected and appointed officials, officers, employees, agents and those special
22 districts and agencies for which COUNTY’s Board of Supervisors acts as the governing Board
23 (“COUNTY INDEMNITEES”) harmless from any claims, demands or liability of any kind or nature,
24 including but not limited to personal injury or property damage, arising from or related to the services,
25 products or other performance provided by CONTRACTOR, ~~its employees, consultants, or~~
26 ~~subcontractors~~ pursuant to this Agreement. If judgment is entered against CONTRACTOR and
27 COUNTY by a court of competent jurisdiction because of the concurrent active negligence of
28 COUNTY or COUNTY INDEMNITEES, CONTRACTOR and COUNTY agree that liability will be
29 apportioned as determined by the court. Neither party shall request a jury apportionment.

30 B. Without limiting CONTRACTOR’s indemnification, it is agreed that CONTRACTOR shall
31 maintain in force at all times during the term of this Agreement a policy, or policies, of insurance
32 covering its operations as specified on Page 3 of this Agreement.

33 C. All insurance policies except Workers' Compensation, Employer's Liability, and Professional
34 Liability shall contain the following clauses:

- 35 1. "The County of Orange is included as an additional insured with respect to the operations
36 of the named insured performed under contract with the County of Orange."
37 2. "It is agreed that any insurance maintained by the County of Orange shall apply in excess

1 of, and not contribute with, insurance provided by this policy."

2 3. "This insurance shall not be canceled, limited or non-renewed until after thirty (30)
3 calendar days written notice has been given to Orange County HCA/Contract Development and
4 Management, 405 West 5th Street, Suite 600, Santa Ana, CA 92701-4637."

5 D. Certificates of insurance and endorsements evidencing the above coverages and clauses shall be
6 mailed to COUNTY as referenced on Page 3 of this Agreement.

7 E. All insurance policies required by this contract shall waive all rights of subrogation against the
8 County of Orange and members of the Board of Supervisors, its elected and appointed officials, officers,
9 agents and employees when acting within the scope of their appointment or employment.

10
11 **XI. INSPECTIONS AND AUDITS**

12 A. ADMINISTRATOR, any authorized representative of COUNTY, any authorized representative
13 of the State of California, the Secretary of the United States Department of Health and Human Services,
14 the Comptroller General of the United States, or any other of their authorized representatives, shall have
15 access to any books, documents, and records, including but not limited to, medical and eClient records,
16 of CONTRACTOR that are directly pertinent to this Agreement, for the purpose of responding to a
17 beneficiary complaint or conducting an audit, review, evaluation, or examination, or making transcripts
18 during the periods of retention set forth in the Records Management and Maintenance paragraph of this
19 Agreement. Such persons may at all reasonable times inspect or otherwise evaluate the services
20 provided pursuant to this Agreement, and the premises in which they are provided.

21 B. CONTRACTOR shall actively participate and cooperate with any person specified in
22 subparagraph A. above in any evaluation or monitoring of the services provided pursuant to this
23 Agreement, and shall provide the above-mentioned persons adequate office space to conduct such
24 evaluation or monitoring.

25 **C. AUDIT RESPONSE**

26 1. Following an audit report, in the event of non-compliance with applicable laws and
27 regulations governing funds provided through this Agreement, COUNTY may terminate this Agreement
28 as provided for in the Termination paragraph or direct CONTRACTOR to immediately implement
29 appropriate corrective action. A plan of corrective action shall be submitted to ADMINISTRATOR in
30 writing within thirty (30) calendar days after receiving notice from ADMINISTRATOR.

31 2. If the audit reveals that money is payable from one party to the other, that is,
32 reimbursement by CONTRACTOR to COUNTY, or payment of sums due from COUNTY to
33 CONTRACTOR, said funds shall be due and payable from one party to the other within sixty (60)
34 calendar days of receipt of the audit results. If reimbursement is due from CONTRACTOR to
35 COUNTY, and such reimbursement is not received within said sixty (60) calendar days, COUNTY may,
36 in addition to any other remedies provided by law, reduce any amount owed CONTRACTOR by an
37 amount not to exceed the reimbursement due COUNTY.

1 D. CONTRACTOR shall forward to ADMINISTRATOR a copy of any audit report within
2 fourteen (14) calendar days of receipt. Such audit shall include, but not be limited to, management,
3 financial, programmatic or any other type of audit of CONTRACTOR's operations, whether or not the
4 cost of such operation or audit is reimbursed in whole or in part through this Agreement.

5
6 **XII. LICENSES AND LAWS**

7 A. CONTRACTOR, its officers, agents, employees, and subcontractors shall, throughout the term
8 of this Agreement, maintain all necessary licenses, permits, approvals, certificates, waivers and
9 exemptions necessary for the provision of the services hereunder and required by the laws and
10 regulations of the United States, State of California, COUNTY, and any other applicable governmental
11 agencies. CONTRACTOR shall notify ADMINISTRATOR immediately and in writing of its inability
12 to obtain or maintain, irrespective of the pendency of an appeal, permits, licenses, approvals,
13 certificates, waivers and exemptions. Said inability shall be cause for termination of this Agreement.

14 B. The parties shall comply with all laws, rules or regulations applicable to the services provided
15 hereunder, as any may now exist or be hereafter amended or changed, except those provisions or
16 application of those provisions waived by the Secretary of the Department of Health and Human
17 Services. These laws, regulations, and requirements shall include, but not be limited to:

- 18 1. State of California Welfare and Institutions Code (WIC), Divisions 5, 6 & 9;
- 19 2. State of California Health and Safety Code, Sections 1250 et seq.;
- 20 3. State of California Penal Code (PC), Part 4, Title 1, Chapter 2, Article 2.5 relating to Child
21 Abuse Reporting;
- 22 4. California Code of Regulations (CCR), Title 9, Title 17, and Title 22;
- 23 5. Code of Federal Regulations (CFR), Title 42 and Title 45;
- 24 6. United States Code (U.S.C.A.) Title 42;
- 25 7. Federal Social Security Act, Title XVIII and Title XIX;
- 26 8. The Americans with Disabilities Act of 1990 (42 U.S.C.A., Chapter 126, 12101, et seq.);
- 27 9. The Clean Air Act (42 U.S.C.A. Section 114 and Sections 1857, et seq.);
- 28 10. The Federal Water Pollution Control Act (33 U.S.C.A. 84, Section 308 and Sections 1251
29 et seq.);
- 30 11. Federal single Audit Act of 1984 (31 U.S.C.A. 7501.70);
- 31 12. Policies and procedures set forth in Mental Health Plan (MHP) Letters;
- 32 13. Policies and procedures set forth in Department of Mental Health (DMH) Letters;
- 33 14. Federal Medicare Cost reimbursement principles and cost reporting standards;
- 34 15. Orange County Medi-Cal Mental Health Managed Care Plan;
- 35 16. Short Doyle/Medi-Cal Manual for the Rehabilitation Option and Targeted Case
36 Management.
- 37 17. Health Insurance Portability and Accountability Act (HIPAA) ~~Privacy Rule,~~ as it may

1 exist now, or be hereafter amended, and if applicable.

2 18. Office of Management and Budget (OMB) Circulars A-87, A-89, A-110, A122, and A-133.

3
4 C. CONTRACTOR shall at all times be capable and authorized by the State of California to
5 provide treatment and bill for services provided to Medi-Cal eligible eClients while working under the
6 terms of this Agreement and shall make every reasonable effort to obtain appropriate licenses and/or
7 waivers to provide Medi-Cal billable treatment services at school or other sites requested by
8 ADMINISTRATOR.

9 D. ENFORCEMENT OF CHILD SUPPORT OBLIGATIONS

10 1. CONTRACTOR agrees to furnish to ADMINISTRATOR within thirty (30) calendar days
11 of the award of this Agreement:

12 a. In the case of an individual contractor, his/her name, date of birth, social security
13 number, and residence address;

14 b. In the case of a contractor doing business in a form other than as an individual, the
15 name, date of birth, social security number, and residence address of each individual who owns an
16 interest of ten percent (10%) or more in the contracting entity;

17 c. A certification that CONTRACTOR has fully complied with all applicable federal and
18 state reporting requirements regarding its employees;

19 d. A certification that CONTRACTOR has fully complied with all lawfully served Wage
20 and Earnings Assignment Orders and Notices of Assignment, and will continue to so comply.

21 2. Failure of CONTRACTOR to timely submit the data and/or certifications required by
22 subparagraphs 1.a., 1.b., 1.c., or 1.d. above, or to comply with all federal and state employee reporting
23 requirements for child support enforcement, or to comply with all lawfully served Wage and Earnings
24 Assignment Orders and Notices of Assignment, shall constitute a material breach of this Agreement;
25 and failure to cure such breach within sixty (60) calendar days of notice from COUNTY shall constitute
26 grounds for termination of this Agreement.

27 3. It is expressly understood that this data will be transmitted to governmental agencies
28 charged with the establishment and enforcement of child support orders, or as permitted by federal
29 and/or state statute.

30 #

31 **XIII. LITERATURE AND ADVERTISEMENTS**

32 A. Any written information or literature, including educational or promotional materials,
33 distributed by CONTRACTOR to any person or organization for purposes directly or indirectly related
34 to this Agreement must be approved in advance and in writing by ADMINISTRATOR before
35 distribution. For the purposes of this Agreement, distribution of written materials shall include, but not
36 be limited to, pamphlets, brochures, flyers, newspaper or magazine ads, and electronic media such as the
37 Internet. Such information shall not imply endorsement by COUNTY, unless ADMINISTRATOR

1 consents thereto in writing.

2 B. Any advertisement through radio, television broadcast, or the Internet, for educational or
3 promotional purposes, made by CONTRACTOR for purposes directly or indirectly related to this
4 Agreement must be approved in advance and in writing by ADMINISTRATOR.

5 //

6 **XIV. MAXIMUM OBLIGATION**

7 The Maximum Obligation of COUNTY for services provided in accordance with this Agreement is
8 as specified on Page 3 of this Agreement.

9
10 **XV. NONDISCRIMINATION**

11 A. EMPLOYMENT

12 1. During the performance of this Agreement, CONTRACTOR shall ~~ensure that applicants are~~
13 ~~employed, and that employees are treated during~~ not unlawfully discriminate against any employee or
14 ~~applicant for~~ employment, ~~without regard to their~~ because of his/her ethnic group identification, race,
15 religion, ancestry, color, creed, sex, marital status, national origin, age (40 and over), sexual
16 ~~preference~~ orientation, medical condition, or physical or mental disability. ~~Such action~~ CONTRACTOR
17 shall ~~include, but not be limited to~~ warrant that the ~~following:~~ evaluation and treatment of employees and
18 applicants for employment, ~~upgrade~~ are free from discrimination in the areas of employment,
19 promotion, demotion or transfer; recruitment or recruitment advertising; layoff or termination; rate of
20 pay or other forms of compensation; and selection for training, including apprenticeship. There shall be
21 posted in conspicuous places, available to employees and applicants for employment, notices from
22 ADMINISTRATOR and/or the United States Equal Employment Opportunity Commission setting forth
23 the provisions of the Equal Opportunity clause.

24 2. All solicitations or advertisements for employees placed by or on behalf of
25 CONTRACTOR shall state that all qualified applicants will receive consideration for employment
26 without regard to ethnic group identification, race, religion, ancestry, color, creed, sex, marital status,
27 national origin, age (40 and over), sexual ~~preference~~ orientation, medical condition, or physical or
28 mental disability. Such requirement shall be deemed fulfilled by use of the phrase “an equal
29 opportunity employer.”

30 3. Each labor union or representative of workers with which CONTRACTOR has a collective
31 bargaining agreement or other contract or understanding must post a notice advising the labor union or
32 workers' representative of the commitments under this Nondiscrimination paragraph and shall post
33 copies of the notice in conspicuous places available to employees and applicants for employment.

34 B. SERVICES, BENEFITS, AND FACILITIES - CONTRACTOR shall not discriminate in the
35 provision of services, the allocation of benefits, or in the accommodation in facilities on the basis of
36 ethnic group identification, race, religion, ancestry, color, creed, sex, marital status, national origin, age
37 (40 and over), sexual ~~preference~~ orientation, medical condition, or physical or mental disability in

1 accordance with Title IX of the Education Amendments of 1972; Title VI of the Civil Rights Act of
2 1964
3 (42 U.S.C.A. §2000d); the Age Discrimination Act of 1975 (42 U.S.C.A. §6101); and Title 9, Division
4 4, Chapter 6, Article 1 (§10800, et seq.) of the California Code of Regulations, and all other pertinent
5 rules and regulations promulgated pursuant thereto, and as otherwise provided by state law and
6 regulations, as all may now exist or be hereafter amended or changed.

7 1. For the purpose of this subparagraph B., "discrimination" includes, but is not limited to the
8 following based on one or more of the factors identified above:

- 9 a. Denying a eClient or potential eClient any service, benefit, or accommodation.
- 10 b. Providing any service or benefit to a eClient which is different or is provided in a
11 different manner or at a different time from that provided to other eClients.
- 12 c. Restricting a eClient in any way in the enjoyment of any advantage or privilege
13 enjoyed by others receiving any service or benefit.
- 14 d. Treating a eClient differently from others in satisfying any admission requirement or
15 condition, or eligibility requirement or condition, which individuals must meet in order to be provided
16 any service or benefit.
- 17 e. Assignment of times or places for the provision of services.

18 2. Complaint Process - CONTRACTOR shall establish procedures for advising all eClients
19 through a written statement that CONTRACTOR's eClients may file all complaints alleging
20 discrimination in the delivery of services with CONTRACTOR, ADMINISTRATOR, or the
21 COUNTY's Patient's Rights Office. CONTRACTOR's statement shall advise eClients of the
22 following:

23 a. Whenever possible, problems shall be resolved informally and at the point of service.
24 CONTRACTOR shall establish an internal informal problem resolution process for eClients not able to
25 resolve such problems at the point of service. Clients may initiate a grievance or complaint directly
26 with CONTRACTOR either orally or in writing.

27 1) COUNTY shall establish a formal resolution and grievance process in the event
28 informal processes do not yield a resolution.

29 2) Throughout the problem resolution and grievance process, eClient rights shall be
30 maintained, including access to the Patients' Rights Office at any point in the process. Clients shall be
31 informed of their right to access the Patients' Rights Office at any time.

32 b. In those cases where the eClient's complaint is filed initially with the Patients' Rights
33 Office, the Patients' Rights Office may proceed to investigate the eClient's complaint.

34 c. Within the time limits procedurally imposed, the complainant shall be notified in
35 writing as to the findings regarding the alleged complaint and, if not satisfied with the decision, may file
36 an appeal with the Patients' Rights Office.

37 C. PERSONS WITH DISABILITIES - CONTRACTOR agrees to comply with the provisions of

1 Section 504 of the Rehabilitation Act of 1973 (29 U.S.C.A. 794 et seq., as implemented in 45 CFR 84.1
2 et seq.), and the Americans with Disabilities Act of 1990 (42 U.S.C.A. 12101, et seq.), pertaining to the
3 prohibition of discrimination against qualified persons with disabilities in all programs or activities, as
4 they exist now or may be hereafter amended together with succeeding legislation.

5 D. RETALIATION - Neither CONTRACTOR, nor its employees or agents shall intimidate, coerce
6 or take adverse action against any person for the purpose of interfering with rights secured by federal or
7 state laws, or because such person has filed a complaint, certified, assisted or otherwise participated in
8 an investigation, proceeding, hearing or any other activity undertaken to enforce rights secured by
9 federal or state law.

10 E. In the event of non-compliance with this paragraph or as otherwise provided by federal and
11 state law, this Agreement may be canceled, terminated or suspended in whole or in part and
12 CONTRACTOR may be declared ineligible for further contracts involving federal, state or county
13 funds.

14
15 **XVI. NOTICES**

16 A. Unless otherwise specified, all notices, claims, correspondence, reports and/or statements
17 authorized or required by this Agreement shall be effective:

- 18 1. When written and deposited in the United States mail, first class postage prepaid and
19 addressed as specified on Page 3 of this Agreement or as otherwise directed by ADMINISTRATOR;
20 2. When faxed, transmission confirmed;
21 3. When sent by electronic mail; or
22 4. When accepted by U.S. Postal Service Express Mail, Federal Express, United Parcel
23 Service, or other expedited delivery service.

24 B. Termination Notices shall be addressed as specified on Page 3 of this Agreement or as
25 otherwise directed by ADMINISTRATOR and shall be effective when faxed, transmission confirmed,
26 or when accepted by U.S. Postal Service Express Mail, Federal Express, United Parcel Service, or other
27 expedited delivery service.

28 C. CONTRACTOR shall notify ADMINISTRATOR, in writing, within twenty-four (24) hours of
29 becoming aware of any occurrence of a serious nature, which may expose COUNTY to liability. Such
30 occurrences shall include, but not be limited to, accidents, injuries, or acts of negligence, or loss or
31 damage to any COUNTY property in possession of CONTRACTOR.

32 D. For purposes of this Agreement, any notice to be provided by COUNTY may be given by
33 ADMINISTRATOR.

34 E. In the event of a death, notification shall be made in accordance with the Notification of Death
35 paragraph of this Agreement.

36 #

37 **XVII. NOTIFICATION OF DEATH**

1 A. NON-TERMINAL ILLNESS DEATH

2 1. CONTRACTOR shall notify ADMINISTRATOR by telephone immediately upon
3 becoming aware of the death due to non-terminal illness of any person served hereunder ~~or served~~
4 ~~within the previous twelve (12) months~~; provided, however, weekends and holidays shall not be
5 included for purposes of computing the time within which to give telephone notice and, notwithstanding
6 the time limit herein specified, notice need only be given during normal business hours.

7 2. In addition, CONTRACTOR shall, within sixteen (16) hours after such death, hand deliver
8 or fax, a written Notification of Non-Terminal Illness Death to ADMINISTRATOR.

9 3. The telephone report and written Notification of Non-Terminal Illness Death shall contain
10 the name of the deceased, the date and time of death, the nature and circumstances of the death, and the
11 name(s) of CONTRACTOR's officers or employees with knowledge of the incident.

12 B. TERMINAL ILLNESS DEATH

13 1. CONTRACTOR shall notify ADMINISTRATOR by written report faxed, hand delivered,
14 or postmarked within forty-eight (48) hours of becoming aware of the death due to terminal illness of
15 any person served hereunder ~~or served within the previous twelve (12) months.~~ The Notification of
16 Terminal Illness Death shall contain the name of the deceased, the date and time of death, the nature and
17 circumstances of the death, and the name(s) of CONTRACTOR's officers or employees with knowledge
18 of the incident.

19 2. If there are any questions regarding the cause of death of any person served hereunder who
20 was diagnosed with a terminal illness, or if there are any unusual circumstances related to the death,
21 CONTRACTOR shall immediately notify ADMINISTRATOR in accordance with subparagraph A.
22 above.

23
24 **XVIII. NOTIFICATION OF PUBLIC EVENTS AND MEETINGS**

25 A. CONTRACTOR shall notify ADMINISTRATOR of any public event or meeting funded in
26 whole or part by the COUNTY, except for those events or meetings that are intended solely to serve
27 ~~e~~Clients or occur in the normal course of business.

28 B. CONTRACTOR shall notify ADMINISTRATOR at least ~~ten (10) working~~thirty (30) business
29 days in advance of any applicable public event or meeting. The notification must include the date,
30 time, duration, location and purpose of public event or meeting. Any promotional materials or event
31 related flyers must be approved by ADMINISTRATOR prior to distribution.

32 #

33 **XIX. RECORDS MANAGEMENT AND MAINTENANCE**

34 A. CONTRACTOR, its officers, agents, employees and subcontractors shall, throughout the term
35 of this Agreement, prepare, maintain and manage records appropriate to the services provided and in
36 accordance with this Agreement and all applicable requirements, which include, but are not limited to:

37 1. California Code of Regulation Title 22, ~~Chapter 7, Article 6, §75055—Retention of records~~

1 ~~by outpatient medical facilities.~~ §§70751(c), 71551(c), 73543(a), 74731(a), 75055(a), 75343(a), and
2 ~~77143(a).~~

3 2. State of California, ~~Department of Alcohol and Drug Programs Reporting System (ASRS)~~
4 ~~manual.~~

5 ~~3. State of California, Department of Alcohol and Drug Programs Fiscal System (DPFS)~~
6 ~~manual.~~

7 ~~4. 45 CFR, HIPAA Privacy Rule (Designated Record Set).~~

8 ~~5. State of California, Health and Safety Code §§123100—123149.5~~ §123145.

9 ~~B.~~ 3. 45 CFR, §164.501; §164.524; §164.526; §164.530(c) and (j).

10 B. CONTRACTOR shall implement and maintain administrative, technical and physical
11 safeguards to ensure the privacy of protected health information (PHI) and prevent the intentional or
12 unintentional use or disclosure of PHI in violation of the Health Insurance Portability and
13 Accountability Act of 1996 (HIPAA), federal and state regulations and/or COUNTY HIPAA Policies
14 (see COUNTY HIPAA P&P 1-2). CONTRACTOR shall mitigate to the extent practicable, the known
15 harmful effect of any use or disclosure of protected health information made in violation of federal or
16 state regulations and/or COUNTY policies.

17 C. CONTRACTOR's patient records shall be maintained in a secure manner. CONTRACTOR
18 shall maintain patient records and must establish and implement written record management procedures.

19 D. CONTRACTOR shall ensure appropriate financial records related to cost reporting,
20 expenditure, revenue, billings, etc., are prepared and maintained accurately and appropriately.

21 ~~E.~~ E. CONTRACTOR shall ensure all appropriate state and federal standards of documentation,
22 preparation, and confidentiality of records related to participant, eClient and/or patient records are met
23 at all times.

24 ~~F.~~ F. CONTRACTOR shall be informed through this Agreement that HIPAA has broadened the
25 definition of medical records and identified this new record set as a Designated Record Set (DRS).
26 CONTRACTOR shall ensure all HIPAA DRS requirements are met. HIPAA requires that Clients,
27 participants and patients be provided the right to access or receive a copy of their DRS and/or request
28 addendum to their records. 45 CFR §164.501, defines DRS as a group of records maintained by or for a
29 covered entity that is:

30 1. The medical records and billing records about individuals maintained by or for a covered
31 health care provider;

32 2. The enrollment, payment, claims adjudication, and case or medical management record
33 systems maintained by or for a health plan; or

34 3. Used, in whole or in part, by or for the covered entity to make decisions about individuals.

35 ~~E.~~ G. CONTRACTOR shall ensure all HIPAA DRS requirements are met. HIPAA requires
36 that clients, participants, patients, etc., be provided the right to access or receive a copy of their DRS
37 and/or request addendum to their records.

1 ~~F.~~ CONTRACTOR shall ensure compliance with requirements pertaining to the privacy and
2 security of personally identifiable information (hereinafter "PII") and/or protected health information
3 (hereinafter "PHI"). CONTRACTOR shall, immediately upon discovery of a breach of privacy and/or
4 security of PII and/or PHI by CONTRACTOR, notify ADMINISTRATOR of such breach by telephone
5 and email or facsimile.

6 ~~G~~H. CONTRACTOR may be required to pay any costs associated with a breach of privacy
7 and/or security of PII and/or PHI, including but not limited to the costs of notification. CONTRACTOR
8 shall pay any and all such costs arising out of a breach of privacy and/or security of PII and/or PHI.

9 ~~H~~I. CONTRACTOR shall retain all financial records for a minimum of five (5) years from the
10 commencement of the contract, unless a longer period is required due to legal proceedings such as
11 litigations and/or settlement of claims.

12 ~~I~~J. CONTRACTOR shall retain all participant, ~~e~~Client and/or patient medical records for seven (7)
13 years following discharge of the participant, ~~e~~Client and/or patient, with the exception of non-
14 emancipated minors for whom records must be kept for at least one (1) year after such minors have
15 reached the age of eighteen (18) years, or for seven (7) years after the last date of service, whichever is
16 longer.

17 ~~J~~//

18 ~~K~~K. CONTRACTOR shall make records pertaining to the costs of services, participant fees,
19 charges, billings, and revenues available at one (1) location within the limits of the County of Orange.

20 ~~K~~L. If CONTRACTOR is unable to meet the record location criteria above, ADMINISTRATOR
21 may provide written approval to CONTRACTOR to maintain records in a single location, identified by
22 CONTRACTOR.

23 ~~L~~M. CONTRACTOR may be required to retain all records involving litigation proceedings and
24 settlement of claims for a longer term which will be directed by the ADMINISTRATOR.

25 ~~M~~N. CONTRACTOR shall notify ADMINISTRATOR of any Public Record Act (PRA) request
26 within twenty-four (24) hours. CONTRACTOR shall provide ADMINISTRATOR all information that
27 is requested by the PRA request.

28
29 **XX. REVENUE**

30 A. CLIENT FEES - CONTRACTOR shall charge, unless waived by ADMINISTRATOR, a fee to
31 ~~e~~Clients to whom services, other than Medi-Cal Services, are provided pursuant to this Agreement, their
32 estates and responsible relatives, according to their ability to pay as determined by the State Department
33 of Mental Health's "Uniform Method of Determining Ability to Pay" (UMDAP) procedure, and in
34 accordance with Title 9 of the California Code of Regulations. Such fee shall not exceed the actual cost
35 of services provided. No ~~e~~Client shall be denied services because of an inability to pay.

36 B. THIRD-PARTY REVENUE - CONTRACTOR shall make every reasonable effort to obtain all
37 available third-party reimbursement for which persons served hereunder may be eligible. Charges to

1 insurance carriers shall be on the basis of CONTRACTOR's usual and customary charges.

2 C. PROCEDURES - CONTRACTOR shall maintain internal financial controls which adequately
3 ensure proper billing and collection procedures. CONTRACTOR's procedures shall specifically
4 provide for the identification of delinquent accounts and methods for pursuing such accounts.
5 CONTRACTOR shall provide ADMINISTRATOR, monthly, a written report specifying the current
6 status of fees which are billed, collected, transferred to a collection agency or deemed by
7 CONTRACTOR to be uncollectible.

8 #

9 **XXI. SEVERABILITY**

10 If a court of competent jurisdiction declares any provision of this Agreement or application thereof
11 to any person or circumstances to be invalid or if any provision of this Agreement contravenes any
12 federal, state or county statute, ordinance, or regulation, the remaining provisions of this Agreement or
13 the application thereof shall remain valid, and the remaining provisions of this Agreement shall remain
14 in full force and effect, and to that extent the provisions of this Agreement are severable.

15 //

16 **XXII. SPECIAL PROVISIONS**

17 A. CONTRACTOR shall not use the funds provided by means of this Agreement for the following
18 purposes:

19 1. Making cash payments to intended recipients of services through this Agreement.

20 2. Lobbying any governmental agency or official or making political contributions.

21 CONTRACTOR shall file all certifications and reports in compliance with this requirement pursuant to
22 Title 31, U.S.C.A, Section 1352 (e.g., limitation on use of appropriated funds to influence certain
23 federal contracting and financial transactions).

24 3. Supplanting current funding for existing services.

25 4. Fundraising.

26 5. Purchase of gifts, meals, entertainment, awards, or other personal expenses for
27 CONTRACTOR's staff, volunteers, or members of the Board of Directors.

28 6. Reimbursement of CONTRACTOR's members of the Board of Directors for expenses or
29 services.

30 7. Making personal loans to CONTRACTOR's staff, volunteers, interns, consultants,
31 subcontractors, and members of the Board of Directors or its designee or authorized agent, or making
32 salary advances or giving bonuses to CONTRACTOR's staff.

33 8. Paying an individual salary or compensation for services at a rate in excess of the salary
34 schedule specified by the U.S. Office of Personnel Management, or specified by ADMINISTRATOR
35 per the Agreement's funding source.

36 B. Unless otherwise specified in advance and in writing by ADMINISTRATOR, CONTRACTOR
37 shall not use the funds provided by means of this Agreement for the following purposes:

1 1. Purchasing or improving land, including constructing or permanently improving any
2 building or facility, except for tenant improvements.

3 2. Providing inpatient hospital services or purchasing major medical equipment.

4 3. Satisfying any expenditure of non-federal funds as a condition for the receipt of federal
5 funds (matching).

6 ~~4. Purchase of gifts, meals, entertainment, awards, or other personal expenses for~~
7 ~~CONTRACTOR's clients.~~

8 ~~5~~ 4. Funding travel or training (excluding mileage or parking).

9 ~~6~~ 5. Making phone calls outside of the local area unless documented to be directly for
10 the purpose of eClient care.

11 76. Payment for grant writing, consultants, certified public accounting, or legal services.

12 87. Purchase of artwork or other items that are for decorative purposes and do not directly
13 contribute to the quality of services to be provided pursuant to this Agreement.

14 //

15 **XXIII. STATUS OF CONTRACTOR**

16 CONTRACTOR is, and shall at all times be deemed to be, an independent contractor and shall be
17 wholly responsible for the manner in which it performs the services required of it by the terms of this
18 Agreement. CONTRACTOR is entirely responsible for compensating staff, subcontractors, and
19 consultants employed by CONTRACTOR. This Agreement shall not be construed as creating the
20 relationship of employer and employee, or principal and agent, between COUNTY and CONTRACTOR
21 or any of CONTRACTOR's employees, agents, consultants, or subcontractors. CONTRACTOR
22 assumes exclusively the responsibility for the acts of its employees, agents, consultants, or
23 subcontractors as they relate to the services to be provided during the course and scope of their
24 employment. CONTRACTOR, its agents, employees, consultants, or subcontractors, shall not be
25 entitled to any rights or privileges of COUNTY employees and shall not be considered in any manner to
26 be COUNTY employees.

27 **XXIV. TERM**

28 The term of this Agreement shall commence and terminate as specified on Page 3 of this
29 Agreement, unless otherwise sooner terminated as provided in this Agreement; provided, however,
30 CONTRACTOR shall be obligated to perform such duties as would normally extend beyond this term,
31 including but not limited to, obligations with respect to confidentiality, indemnification, audits,
32 reporting and accounting.

33 **XXV. TERMINATION**

34 A. Either party may terminate this Agreement, without cause, upon thirty (30) calendar days
35 written notice given the other party.
36
37

1 B. Unless otherwise specified in this Agreement, COUNTY may terminate this Agreement upon
2 five (5) calendar days written notice if CONTRACTOR fails to perform any of the terms of this
3 Agreement. At ADMINISTRATOR's sole discretion, CONTRACTOR may be allowed up to thirty
4 (30) calendar days for corrective action.

5 C. COUNTY may terminate this Agreement immediately, upon written notice, on the occurrence
6 of any of the following events:

- 7 1. The loss by CONTRACTOR of legal capacity.
- 8 2. Cessation of services.
- 9 3. The delegation or assignment of CONTRACTOR's services, operation or administration to
10 another entity without the prior written consent of COUNTY.
- 11 4. The neglect by any physician or licensed person employed by CONTRACTOR of any duty
12 required pursuant to this Agreement.
- 13 5. The loss of accreditation or any license required by the Licenses and Laws paragraph of
14 this Agreement.
- 15 6. The continued incapacity of any physician or licensed person to perform duties required
16 pursuant to this Agreement.
- 17 7. Unethical conduct or malpractice by any physician or licensed person providing services
18 pursuant to this Agreement; provided, however, COUNTY may waive this option if CONTRACTOR
19 removes such physician or licensed person from serving persons treated or assisted pursuant to this
20 Agreement.

21 D. CONTINGENT FUNDING

- 22 1. Any obligation of COUNTY under this Agreement is contingent upon the following:
 - 23 a. The continued availability of federal, state and county funds for reimbursement of
24 COUNTY's expenditures, and
 - 25 b. Inclusion of sufficient funding for the services hereunder in the applicable budget
26 approved by the Board of Supervisors.
- 27 2. In the event such funding is subsequently reduced or terminated, COUNTY may terminate
28 or renegotiate this Agreement upon thirty (30) calendar days written notice given CONTRACTOR.

29 ~~COUNTY shall reimburse CONTRACTOR for services pre-approved by ADMINISTRATORS on a~~
30 ~~Prior Authorization Form. These services must be provided prior to termination of this Agreement.~~

31 E. In the event this Agreement is terminated prior to the completion of the term as specified on
32 Page 3 of the Agreement, ADMINISTRATOR may, at its sole discretion, reduce the Maximum
33 Obligation of this Agreement in an amount consistent with the reduced term of the Agreement.

34 ~~F. After~~ F. In the event this Agreement is terminated by either party, after receiving a Notice
35 of Termination CONTRACTOR shall do the following:

- 36 1. Comply with termination instructions provided by ADMINISTRATOR in a manner which
37 is consistent with recognized standards of quality care and prudent business practice.

1 2. Obtain immediate clarification from ADMINISTRATOR of any unsettled issues of contract
2 performance during the remaining contract term.

3 ~~3~~ 3. If clients are to be transferred to another facility for services, furnish
4 ADMINISTRATOR, upon request, all client information and records deemed necessary by
5 ADMINISTRATOR to effect an orderly transfer.

6 4. Assist ADMINISTRATOR in effecting the transfer of clients in a manner consistent with
7 their best interests.

8 4.5. If records are to be transferred to COUNTY, pack and label such records in accordance
9 with directions provided by ADMINISTRATOR.

10 5.6. Return to COUNTY, in the manner indicated by ADMINISTRATOR, any equipment and
11 supplies purchased with funds provided by COUNTY.

12 ~~6~~ 7. To the extent services are terminated, cancel outstanding commitments covering
13 the procurement of materials, supplies, equipment, and miscellaneous items, as well as outstanding
14 commitments which relate to personal services. With respect to these canceled commitments,
15 CONTRACTOR shall submit a written plan for settlement of all outstanding liabilities and all claims
16 arising out of such cancellation of commitment which shall be subject to written approval of
17 ADMINISTRATOR.

18 8. Provide written notice of termination of services to each client being served under this
19 Agreement, within fifteen (15) calendar days of receipt of Termination Notice by ADMINISTRATOR.
20 A copy of the notice of termination of services to each client must also be provided to
21 ADMINISTRATOR within the fifteen (15) calendar day period.

22 G. The rights and remedies of COUNTY provided in this Termination paragraph shall not be
23 exclusive, and are in addition to any other rights and remedies provided by law or under this Agreement.

24
25 **XXVI. THIRD PARTY BENEFICIARY**

26 Neither party hereto intends that this Agreement shall create rights hereunder in third parties
27 including, but not limited to, any subcontractors or any eClients provided services hereunder.

28
29 **XXVII. WAIVER OF DEFAULT OR BREACH**

30 Waiver by COUNTY of any default by CONTRACTOR shall not be considered a waiver of any
31 subsequent default. Waiver by COUNTY of any breach by CONTRACTOR of any provision of this
32 Agreement shall not be considered a waiver of any subsequent breach. Waiver by COUNTY of any
33 default or any breach by CONTRACTOR shall not be considered a modification of the terms of this
34 Agreement.

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IN WITNESS WHEREOF, the parties have executed this Agreement, in the County of Orange,
State of California.

CHILD ABUSE PREVENTION CENTER

BY: _____ DATED: _____

TITLE: _____

~~BY: _____ DATED: _____~~

~~TITLE: _____~~

COUNTY OF ORANGE

BY: _____ DATED: _____

CHAIR OF THE BOARD OF SUPERVISORS

SIGNED AND CERTIFIED THAT A COPY
OF THIS DOCUMENT HAS BEEN DELIVERED
TO THE CHAIR OF THE BOARD PER G.C. SEC. 25103, RESO 79-1535
ATTEST:

DATED: _____

DARLENE J. BLOOM
Clerk of the Board of Supervisors
Orange County, California

APPROVED AS TO FORM
OFFICE OF THE COUNTY COUNSEL
ORANGE COUNTY, CALIFORNIA

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BY: _____ DATED: _____
 DEPUTY

If the contracting party is a corporation, two (2) signatures are required: one (1) signature by the Chairman of the Board, the President or any Vice President; and one (1) signature by the Secretary, any Assistant Secretary, the Chief Financial Officer or any Assistant Treasurer. If the contract is signed by one (1) authorized individual only, a copy of the corporate resolution or by-laws whereby the board of directors has empowered said authorized individual to act on its behalf by his or her signature alone is required by HCA.

EXHIBIT A
TO AGREEMENT WITH
~~ORANGE COUNTY~~ CHILD ABUSE PREVENTION CENTER
~~FISCAL YEAR 2009-2010~~

JULY 1, 2010 THROUGH JUNE 30, 2011

I. DEFINITIONS

The parties agree to the following terms and definitions, and to those terms and definitions which, for convenience, are set forth elsewhere in this Agreement.

A. AB 3632 Program, also known as the Chapter 26.5 program, means the special education program under the rules and regulations of Chapter 26.5 of the Government Code.

B. Active and Ongoing Case Load means documentation, by CONTRACTOR, ~~of~~ for completion of ~~the~~ entry and evaluation ~~documents~~ services provided to Clients into ~~the~~ COUNTY's Integrated Records Information System (IRIS) ~~and documentation that the clients are receiving services at a~~ Documentation also includes level and, frequency, and duration that is of services received by Clients, and these services must be consistent with each client's Clients' level of impairment and impairments as well as treatment goals and consistent with. In addition, services are to be individualized, and solution-focused, using evidenced-based practices. —

C. Administrative Support means individual(s) who is/are responsible for providing a broad range of office support to program and management staff that includes: answering and directing phone calls, writing correspondences, entering data in spreadsheets, preparing invoices for payment, maintaining tracking reports and files, and working on special projects as assigned.

D. Admission means documentation, by CONTRACTOR, ~~of~~ for completion of ~~the~~ entry and evaluation ~~documents~~ services provided to Clients into ~~the~~ COUNTY's IRIS.

E. CAMINAR means software ~~designed~~ used for the collection, tracking, and reporting ~~outcomes~~ date of outcome data for eClients enrolled in the Full Service Partnerships ~~Programs~~ (FSP) programs.

1. 3 M's means the Quarterly Assessment Form ~~that is being~~ completed for each eClient every three months in ~~the~~ CAMINAR ~~system~~.

2. Data Certification means ~~the process of~~ reviewing ~~state and~~ outcome data mandated by the State and the County mandated outcome data for accuracy and signing ~~the~~ a "Certification of Accuracy of Data ~~form indicating that~~" attesting to the accuracy of data is accurate entered into CAMINAR.

3. Key Events Tracking (KET) means ~~the~~ tracking ~~of a client's movement~~ Clients' service movements or changes in ~~the~~ CAMINAR ~~system~~. A KET must be completed and Client data entered accurately into CAMINAR each time the ~~Agency is reporting~~ CONTRACTOR reports a change ~~from previous client~~ of Client status in certain categories. These categories include: residential status, employment status, education and benefits establishment.

1 4. Partnership Assessment Form (PAF) means the baseline assessment for each eClient that
 2 must be completed and entered into CAMINAR ~~system~~ within thirty (30) days of the ~~Partnership~~ FSP
 3 date.

4 ~~A.~~ F. Care Coordinator means an individual with a Bachelor's degree in human
 5 services or related field who will be responsible for developing and leading the Family Team and
 6 guiding the evolution of a Plan of Care:
 7 for a Client/Consumer/Partner.

8 G. Client means any ~~person~~ individual, referred or enrolled, for services under this agreement, who
 9 is suffering from mental, emotional, or behavioral disorders.

10 H. Clinical Director means an individual who is responsible for the day to day clinical services of
 11 the program, meets the minimum requirements set forth in Title 9, California Code of Regulations, and
 12 has at least two (2) years of full-time professional experience working with children and/or transitional
 13 age youth in a mental health setting.

14 I. Crisis Intervention means a service, lasting less than twenty-four (24) hours, is provided to or
 15 on the behalf of a eClient for a condition ~~which~~ that requires more timely response than a regularly
 16 scheduled visit. Service activities may include, but are not limited to: assessment, individual therapy,
 17 collateral therapy, family therapy, case management, and psychiatric evaluation.

18 J. Diagnosis means ~~the definition of~~ identifying the nature of ~~the client's~~ a Client's disorder. When
 19 formulating the diagnosis of eClient, CONTRACTOR shall use the diagnostic codes and axes as
 20 specified in the most current edition of the Diagnostic and Statistical Manual of Mental Disorders
 21 (DSM) published by the American Psychiatric Association. DSM diagnoses ~~shall~~ will be recorded on all
 22 IRIS documents, as appropriate.

23 K. Direct Service Hours (DSH) means ~~a measure in minutes that~~ the time a clinician spends
 24 providing ~~client~~ services to Clients or significant others on behalf of Clients, and this time is measured
 25 in minutes. DSH credit, both billable and non-billable minutes, is obtained ~~for~~ by providing mental
 26 health, case management, medication support, and ~~a~~ crisis intervention services to ~~any client~~ Clients
 27 open in ~~the IRIS which includes both billable and non-billable services.~~

28 L. Education Coordinator means an individual who is responsible for providing assistance and
 29 support with educational and vocational services as well as developing resources for those Clients that
 30 wish to further their education or training.

31 M. Employment Coordinator means an individual who provides pre-employment training, job
 32 orientation, and site training to Clients. This individual is also responsible for assisting Clients with job
 33 application procedures, teaching social and dress for success skills to Clients, and coaching Clients' on
 34 how to maintain employment. In addition, the employment coordinator may provide on-the-job
 35 mentoring and will work closely with the hiring companies and Clients.

36 N. Engagement means the process ~~by which~~ where a trusting relationship between ~~worker and~~
 37 ~~client(s) is established with the goal~~ CONTRACTOR's staff and Client is developed over a short period

1 of time, so CONTRACTOR and Client can develop a plan to link the ~~individual(s) to the~~ Client to
 2 appropriate services within the community. Engagement of ~~client(s)~~ Client is the objective of a
 3 successful outreach.

4 O. Face-to-Face Contact means, as it pertains to a Full Service Partnership, a direct encounter
 5 between CONTRACTOR's staff and ~~client and/or~~ Client(s)/parent(s)/guardian; ~~this~~(s). This does not
 6 include contact by phone, email, etc. For the purpose of completing an Encounter Document, Face-to-
 7 Face means a direct encounter between staff and ~~client whether or not someone else is~~ Client(s),
 8 regardless if another individual(s) is/are present.

9 P. Family Resource Center Services means Mental Health Services provided to eClients that are
 10 actively enrolled ~~in a~~ at the County of Orange, Social Services Agency (SSA) Family Resource Center
 11 (FRC). FRC is a consortium of agencies providing human services in a single site and under the
 12 auspices of SSA.

13 Q. Family Team means a group ~~that is~~ formed to meet the needs of an FSP eligible ~~child~~ Client
 14 through whatever means possible, and ~~whose membership~~ this team includes a program staff, the eligible
 15 ~~child~~ Client, the ~~child's~~ Client's family members, and ~~any~~ other support ~~person~~ individual(s) the family
 16 agrees to include on the team.

17 R. Full Service Partnership (FSP) means a ~~type of program, - model~~ described ~~by the state~~ in the
 18 ~~requirements for~~ COUNTY's MHSA plan that has been approved by the State. The MHSA plan
 19 ~~describes how~~ the COUNTY ~~plan, for use of~~ will utilize MHSA funds ~~to develop~~ and ~~which includes~~
 20 ~~clients being a full partner in the development and implementation of their~~ implement treatment
 21 ~~plan~~ plans for mental health Clients through FSPs. A ~~Full Service Partnership~~ FSP is an evidence-based
 22 and strength-based model with the focus on the ~~person~~ individual rather than the disease.

23 S. Full Service Wraparound (FSW) means the specific program model described in the
 24 COUNTY's MHSA plan and is based on the existing Wraparound Orange County program. The ~~Full~~
 25 ~~Service Wraparound~~ FSW program provides culturally competent in-home, intensive, mental health care
 26 coordination services ~~addressing~~ that will address family needs across all life domains of the eClient.

27 T. Group Home is a facility for housing youth ~~that~~. The facility is licensed by Community Care
 28 Licensing under the provisions of California Code of Regulations, Title 22, Division 6, et seq.

29 U. Head of Service means ~~a~~ an individual ultimately responsible for overseeing the program and is
 30 required to be licensed as a mental health professional.

31 V. Housing Coordinator means an individual who is responsible of for assisting Clients with
 32 housing solutions. This individual is also responsible for outreach and networking within the
 33 community to maintain an up-to-date record of available housing resources. In addition, the
 34 coordinator will work with the treatment team to assess the needs of Clients.

35 W. Individual Services and Support Funds (Flexible Funds) means funds ~~intended for~~ use to
 36 provide eClients and/or their families with immediate assistance, as deemed necessary, for the treatment
 37 of their mental illness and improve their overall quality of life. Flexible Funds are generally categorized

1 as housing, eClient transportation, food, clothing, medical and miscellaneous expenditures that are
2 individualized and appropriate to support eClient's' mental health treatment activities.

3 X. Intake means the initial meeting between a eClient and CONTRACTOR's staff, and ~~includes it~~
4 ~~will include~~ an evaluation ~~of the Client~~ to determine if the eClient meets program criteria and is willing
5 to seek services.

6 Y. Integrated Records and Information System (IRIS) means ~~a collection of applications and~~
7 ~~databases that serve the needs of programs within~~ the County of Orange, Health Care Agency and
8 ~~includes functionality~~ Agency's database system that collects Clients' information such as registration
9 ~~and scheduling,~~ scheduled appointments, laboratory information system, billing and reporting
10 capabilities, compliance with regulatory requirements, electronic medical records, and other relevant
11 applications.

12 Z. Licensed Clinical Social Worker means ~~an a licensed~~ individual ~~to whom a license has been~~
13 ~~issued,~~ pursuant to the provisions of Chapter 14 of the California Business and Professions Code, ~~which~~
14 ~~license is~~ who can provide clinical services to Clients. The license must be current and in force, and has
15 not been suspended or revoked ~~and preferably,~~ Also, it is preferred that the individual has at least one
16 (1) year of experience treating ~~minors~~ children and Transitional Age Youth.

17 AA. Licensed Marriage and Family Therapist means ~~an a licensed~~ individual ~~to whom a license has~~
18 ~~been issued,~~ pursuant to the provisions of Chapter 13 of the California Business and Professions Code,
19 ~~which license~~ pursuant to the provisions of Chapter 14 of the California Business and Professions Code,
20 who can provide clinical services to Clients. The license must be current and in force, and has not been
21 suspended or revoked. Also, it is ~~in force and has not been suspended or revoked and~~
22 ~~preferably,~~ preferred that the individual has at least one (1) year of experience treating ~~minors~~ children
23 and Transitional Age Youth.

24 AB. Licensed Mental Health Professional ~~means~~ Professionals mean licensed physicians, ~~licensed~~
25 ~~psychologists, licensed clinical social workers, licensed marriage and family therapists~~ Licensed
26 Psychologists, Licensed Clinical Social Workers, Licensed Marriage and Family Therapists, registered
27 nurses, licensed vocational nurses, and licensed psychiatric technicians.

28 AC. Licensed Psychologist means ~~an individual to whom a license has been issued~~ licensed
29 ~~individual,~~ pursuant to the provisions of Chapter 6.6 of the California Business and Professions Code,
30 ~~which license is~~ who can provide clinical services to Clients. The license must be current and in force,
31 and has not been suspended or revoked ~~and preferably,~~ Also, it is preferred that the individual has at
32 least one (1) year of experience treating ~~minors~~ children and Transitional Age Youth.

33 AD. Medical Necessity means ~~the requirements~~ diagnosis, impairment, and intervention related
34 ~~criteria~~ as defined in the Orange County Mental Health Plan (MHP) ~~under~~ Medical Necessity for Medi-
35 Cal reimbursed Specialty Mental Health Services ~~that includes Diagnosis, Impairment Criteria and~~
36 ~~Intervention Related Criteria.~~

37 AE. Medication Services means face-to-face or telephone services provided by a licensed physician,

1 registered nurse, or other qualified medical staff. This service ~~shall include~~ includes evaluation and
 2 documentation of the clinical justification for use of the medication, dosage, side effects, compliance,
 3 and response of the Client to medication.

4 AF. Mental Health Rehabilitation Specialist means an individual ~~who has~~ with a Bachelor's Degree
 5 ~~and who has~~ four years of experience in a mental health services setting as a specialist in the fields of
 6 physical restoration, social adjustment, and/or vocational adjustment.

7 AG. Mental Health Services means an individual or a group ~~therapies and interventions~~ therapy and
 8 intervention being provided to Clients that ~~are~~ is designed to ~~provide reduction of~~ reduce mental
 9 disability and ~~restoration, improvement~~ restores or maintenance of improves daily functioning. These
 10 Mental Health Services must be consistent with ~~the~~ goals of learning, and development, as well as
 11 independent living and enhanced self-sufficiency ~~and that are not~~. In addition, these services cannot be
 12 provided as a component of adult residential services, crisis residential treatment services, crisis
 13 intervention, crisis stabilization, day rehabilitation, or day treatment intensive. Service activities may
 14 include, but are not limited to: assessment, plan development, rehabilitation, and collateral. Also,
 15 Mental Health Services may be either ~~Face-to-face~~ Face Contact or by telephone with ~~the client~~ Clients
 16 or significant support ~~persons~~ individuals, and services may be provided anywhere in the community.

17 1. Assessment means a service activity, which may include a clinical analysis of the history
 18 and current status of a ~~beneficiary's~~ Client's mental, emotional, ~~or~~ behavioral disorder, and relevant
 19 cultural issues ~~and~~. The Assessment also needs to include history of services being provided,
 20 diagnosis, and ~~the~~ use of testing procedures.

21 2. Collateral means ~~a~~ significant support ~~person~~ individual(s) in a ~~beneficiary's~~ Client's life and
 22 is/are used to define services provided to ~~them~~ the Client with the intent of improving or maintaining the
 23 mental health status of the ~~e~~ Client. The ~~beneficiary~~ Client may or may not be present for this service
 24 activity.

25 3. Co-Occurring see Dual Disorders (DD) Integrated Treatment Model.

26 4. Dual Disorders (DD) Integrated Treatment Model means ~~that the~~ a program that uses a
 27 stage-wise treatment model ~~that~~ and is non-confrontational, follows behavioral principles, considers
 28 interactions between mental illness and substance abuse, and has gradual expectations of abstinence.
 29 Mental illness and substance abuse research has strongly indicated that ~~to recover fully,~~ a
 30 ~~consumer~~ Client with co-occurring disorder needs treatment for both problems as to recover fully and
 31 focusing on one does not ensure the other will go away. Dual diagnosis services integrate assistance for
 32 each condition; by helping ~~people~~ Clients recover from ~~both~~ mental illness and substance abuse in one
 33 setting and at the same time.

34 5. Medication Support Services means ~~those~~ services provided by a licensed physicians,
 35 registered nurses, or other qualified medical staff, which includes: prescribing, administering,
 36 dispensing and monitoring of psychiatric medications or biologicals ~~and which~~ that are necessary to
 37 alleviate ~~the~~ symptoms of mental illness. These services also include evaluation and documentation of

1 the clinical justification and effectiveness ~~for use of the~~ medication, dosage, side effects, compliance,
 2 and response to medication, ~~as well as obtaining~~. In addition, the licensed physicians, registered nurses,
 3 ~~or other qualified medical staff must obtain~~ informed consent, ~~from Clients prior to~~ providing
 4 medication education and plan development related to the delivery of ~~the service~~ these services and/or
 5 assessment ~~of the beneficiary~~ to Clients.

6 6. Rehabilitation Service means an activity which includes assistance ~~into~~ improving,
 7 maintaining, or restoring a ~~e~~Client's or group of ~~e~~Clients' functional skills, daily living skills, social and
 8 leisure skill, grooming and personal hygiene skills, meal preparation skills, support resources and/or
 9 medication education.

10 7. Targeted Case Management means services that assist a ~~beneficiary~~ Client to access needed
 11 medical, educational, social, prevocational, vocational, rehabilitative, or other community services.
 12 These service activities may include, but are not limited to, ~~communication, coordination;~~
 13 communicating and coordinating services through referral; monitoring service delivery to ensure
 14 ~~beneficiary~~ Client access to service and the service delivery system; ~~monitoring and tracking~~ of ~~the~~
 15 ~~beneficiary's~~ Client progress; and plan development.

16 8. Therapeutic Behavioral Services (TBS) means ~~one-on-one behavioral interventions with a~~
 17 Client, which is designed to reduce or eliminate targeted behaviors as identified in the Client's treatment
 18 plan. Collateral services are also provided to parent(s)/guardian(s) as part of TBS. Clients must be
 19 Medi-Cal eligible Clients and meet TBS class membership and service need requirements.
 20 Documentation in the medical record must support Medical Necessity for these intensive services. Cases
 21 in which Clients are receiving more than twenty (20) hours per week of TBS or those who are expected
 22 to receive more than four months (120 days) of TBS must be approved by ADMINISTRATOR.
 23 ADMINISTRATOR has to approve individuals that are delivering these intervention services to ensure
 24 they are qualified to deliver these services.

25 9. Therapy means ~~a service activity which is~~ a therapeutic intervention that focuses primarily
 26 on symptom reduction as a means to improve functional impairments. Therapy may be delivered to ~~an~~
 27 ~~individual~~ a Client or ~~a group of beneficiaries~~ Clients which may include family therapy ~~in which the~~
 28 ~~beneficiary is~~ with Client being present.

29 ~~1. Therapeutic Behavioral Services (TBS) means one-on-one behavioral interventions with a~~
 30 ~~client, which is designed to reduce or eliminate targeted behaviors as identified in the client's treatment~~
 31 ~~plan. Collateral services are also provided to caregivers as part of TBS. Clients must be Medi-Cal~~
 32 ~~clients and must meet TBS class membership and service need requirements. Documentation in the~~
 33 ~~medical record must support medical necessity for these intensive services. Cases in which clients are~~
 34 ~~receiving more than 20 hours per week of TBS or those who are expected to receive more than four~~
 35 ~~months (120 days) of TBS must receive approval from COUNTY. COUNTY must approve individuals~~
 36 ~~delivering these interventions as qualified to deliver these services.~~

37 AH. Mental Health Services Act (MHSA) means the State of California law that provides funding

1 for expanded community mental health services. It is also known as "Proposition 63."

2 AI. Mental Health Worker means an individual who has obtained a Bachelor's degree in a mental
3 health field or has a high school diploma ~~and~~ along with two (2) years of experience delivering services
4 in a mental health field.

5 AJ. Mentoring Services means a service that provides support to Clients by building a structured
6 and trusting relationship over a prolonged period of time between a Client and a mentor. The mentor is
7 a peer or older individual who provides one-to-one contact and support in the following areas to assist
8 Client(s)/parent(s)/guardian(s): consistent support, guidance, and coaching in life skills; concrete help
9 and/or other relationship-building activities to the Client(s)/parent(s)/guardian(s); and linking the
10 Client(s)/parent(s)/guardian(s) to other services within the County and contract operated programs.

11 1. Paid TAY Mentor means an individual, age eighteen (18) to twenty-five (25), who has been
12 screened and trained to provide Mentoring Services and is reimbursed for providing such services under
13 the Mentoring Services Contract. A different designation for this position is permissible for purposes of
14 CONTRACTOR's employment records and recruitment efforts if such designation is accompanied by
15 clear cross-referencing in all reports and communications to ADMINISTRATOR.

16 2. Paid Parent Mentor means an individual, age twenty-six (26) and older, who has been
17 screened and trained to provide Mentoring Services and is reimbursed for providing such services under
18 the Mentoring Services Contract. A different designation for this position is permissible for purposes of
19 CONTRACTOR's employment records and recruitment efforts if such designation is accompanied by
20 clear cross-referencing in all reports and communications to ADMINISTRATOR.

21 3. Volunteer Mentor means an individual, age twenty-one (21) and older, who has been
22 screened and trained to provide Mentoring Services and is not reimbursed for providing such services
23 under the Mentoring Services Contract. "Reimbursement" for services excludes expenses such as
24 transportation costs, as this is an allowable and reimbursable cost. A different designation for this
25 position is permissible for purposes of CONTRACTOR's employment records and recruitment efforts if
26 such designation is accompanied by clear cross-referencing in all reports and communications to
27 ADMINISTRATOR.

28 AK. National Provider Identifier (NPI) means the standard unique health identifier that was adopted
29 by the Secretary of Health and Human Services under Health Insurance Portability and Accountability
30 Act (HIPAA) of 1996 for health care providers. All HIPAA covered healthcare providers, individuals,
31 and organizations must obtain an NPI for use to identify themselves in HIPAA standard transactions.
32 The NPI is assigned for life.

33 AL. Notice of Action (NOA-A) means a Medi-Cal requirement that informs the beneficiary that
34 s/he/~~she~~ is not entitled to any specialty mental health service. The County of Orange has expanded the
35 requirement for an NOA-A to all ~~individuals~~ beneficiaries requesting an assessment for services and
36 found not to meet the ~~medical necessity~~ Medical Necessity criteria for specialty mental health services.

37 AM. Notice of Privacy Practices (NPP) means a document that notifies ~~individuals~~ Clients of uses

1 and disclosures of PHI ~~that~~. The NPP may be made by, or on behalf of, the health plan or health care
2 provider as set forth in the Health Insurance Portability and Accountability Act of 1996 (HIPAA).

3 AN. Outcomes Analyst/Data Mining Analyst means an individual who ensures that an FSP program
4 maintains a focus on program outcomes. This individual will be responsible for reviewing outcome
5 data, analyzing data, and developing strategies for gathering new data from Client's perspective to
6 improve FSP's understanding of Client's needs and desires towards furthering their Recovery. This
7 individual will also provide feedback to the program and work collaboratively with the employment
8 specialist, education specialist, benefits specialist, and other staff in the program to strategize and
9 improve outcomes in service delivery. In addition, this position will be responsible for attending all
10 data and outcome related meetings and ensuring that the FSP is being proactive in all data collection
11 requirements and changes at the local and state levels.

12 AO. Outreach means ~~the outreach to~~ linking potential ~~clients to link them~~ Clients to appropriate
13 mental health services ~~and may include~~ within the community. Outreach activities ~~that involve~~ will
14 include educating the community about the services offered and requirements for participation in the
15 programs. Such activities ~~should~~ may result in the CONTRACTOR developing ~~their own client~~
16 ~~referral~~ Referral sources for ~~the~~ Clients from various programs ~~they offer~~ being offered within the
17 community.

18 AP. Parent Partner means an individual who ~~is a~~ supports and assists other parent ~~and has~~
19 (s)/guardian(s) with children or youth in the system and is hired due to his/her own personal experience
20 ~~with an~~ and knowledge in raising a child or youth with emotional/behavioral disturbance. For
21 Wraparound Orange County, it is required that this individual ~~who is emotionally/behaviorally disturbed~~
22 ~~and who has been through~~ has exposure of the County's Welfare Services, Probation, or Mental Health
23 System and ~~who provides~~ can provide support to the Family Team and the parent(s)/guardian(s) in
24 particular.

25 AQ. Personal Service Coordinator (PSC) means an individual with a Bachelor's degree in human
26 services or related field, ~~preferably with~~. It is preferred that the individual has at least two years of
27 related experience with mental health services, or ~~with~~ three years experience as a eClient in a similar
28 program who has graduated to self-sufficiency. A PSC leads the implementation of a service plan
29 covering ~~the an~~ entire range of needs for the ~~client/~~ Client and/or Client's family to promote success,
30 safety, and permanence in the home, school, workforce, and community, ~~leading~~ and lead Clients to
31 self-sufficiency.

32 AR. Pre-Licensed Psychologist means an individual who has ~~obtained~~ a Ph.D. or Psy.D. in Clinical
33 Psychology and is registered with the Board of Psychology as a registered Psychology intern or
34 Psychological Assistant, while acquiring hours for licensing, and ~~waivered~~ providing services under a
35 waiver in accordance with W&IC section 575.2. The waiver may not exceed five (5) years.

36 AS. Pre-Licensed Therapist means an individual who has ~~obtained~~ a Masters Degree in ~~Social~~
37 ~~Work~~ social work or ~~M~~ marriage and ~~Family Therapy~~ family therapy (MFT) and is registered with the

1 Board of Behavioral Sciences (BBS) as an ~~Associate Clinical Social Worker~~ associate clinical social
 2 worker or MFT intern while acquiring hours for licensing. Registration is subject to regulations adopted
 3 by ~~the~~ BBS.

4 AT. Program/Clinical Director means an individual who ~~meets the minimum requirements set forth~~
 5 ~~in Title 9, California Code~~ is responsible for all aspects of ~~Regulations,~~ administration and ~~has at least~~
 6 ~~two (2) years~~ clinical operations of ~~full-time~~ the mental health program, including development and
 7 adherence to the annual budget. This individual will also be responsible for the following: hiring,
 8 development and performance management of professional ~~experience working~~ and support staff, and
 9 ensuring mental health treatment services are provided in concert with ~~minors in a mental health~~
 10 ~~setting~~ local and state rules and regulations.

11 AU. Promotora de Salud Model means a model where trained individuals, Promotores, work
 12 towards improving the health of the ~~ir~~ communities by linking ~~their~~ neighbors to health care and social
 13 services, as well as educating ~~their~~ peers about mental illness, disease and injury prevention.

14 AV. Promotores means individuals who are members of the community ~~who~~ that function as natural
 15 helpers to address some of the ~~ir~~ communities' unmet mental health, health and human service needs.
 16 They are individuals who represent the ethnic, socio-economic and educational traits of the population
 17 ~~he/she serves.~~ being served. Promotores are respected and recognized by ~~their~~ peers and have the pulse
 18 of the community's needs.

19 AW. Protected Health Information (PHI) means individually identifiable health information usually
 20 transmitted ~~by~~ through electronic media; PHI can be maintained in any medium as defined in the
 21 regulations, or for an entity such as a health plan, transmitted or maintained in any other medium. It is
 22 created or received by a covered entity and ~~relates~~ is related to the past, present, or future physical or
 23 mental health or condition of an individual, provision of health care to an individual, or the past,
 24 present, or future payment for health care provided to an individual.

25 AX. Psychiatrist means an individual who meets the minimum professional and licensure
 26 requirements set forth in Title 9, California Code of Regulations, Section 623, and, preferably, has at
 27 least one (1) year of experience treating ~~minors~~ children and transitional age youth.

28 AY. Quality Improvement Committee (QIC) means a committee that meets quarterly to review one
 29 percent (1%) of all "high-risk" Medi-Cal ~~clients~~ Clients in order to monitor and evaluate the quality and
 30 appropriateness of services provided. At a minimum, the committee is comprised of one (1)
 31 ~~CONTRACTOR~~ COUNTY administrator, one (1) Clinician, and one (1) Physician who are not involved
 32 in the clinical care of the cases.

33 AZ. RCL 12 Group Home means a group home reviewed by the State Department of Social
 34 Services, Foster Care Rates Bureau ~~and found to meet~~ that meets the requirements for a Rate
 35 Classification Level (RCL) of 12.

36 BA. RCL 14 Group Home means a group home reviewed by the State Department of Social
 37 Services, Foster Care Rates Bureau ~~and found to meet~~ that meets the requirements for a Rate

1 Classification Level (RCL) of 14.

2 BB. Referral means ~~providing~~ effectively linking Clients to other services within the ~~effective~~
 3 ~~linkage of a client to another service, when indicated; with~~ community and documenting follow-up ~~to be~~
 4 provided within five (5) ~~working~~ business days to assure that ~~the client has~~ Clients have made contact
 5 with the referred service.~~(s).~~

6 BC. RX America means the Pharmaceutical Benefits Management (PBM) ~~e~~Company that manages
 7 the medication benefits ~~that are given to~~ for Behavioral Health Services (BHS) ~~&~~and Medical &
 8 Institutional Health Services (MIHS) ~~e~~Clients that ~~qualify~~are qualified for medication benefits.

9 BD. Student Intern means ~~an individual~~ student(s) currently enrolled in an accredited graduate or
 10 undergraduate program ~~and is/are~~ accumulating supervised work experience hours as part of field work,
 11 internship, or practicum requirements. Acceptable programs include all programs that assist ~~the~~
 12 ~~student~~students in meeting the educational requirements ~~in becoming~~to be a Licensed Marriage and
 13 Family Therapist, a Licensed Clinical Social Worker, or a ~~L~~icensed Clinical Psychologist or to obtain a
 14 Bachelor's degree. ~~Persons~~Individuals with graduate degrees and ~~who~~have two (2) years of full-time
 15 experience in a mental health setting, either post-degree or as part of the program leading to the
 16 graduate degree, ~~shall~~are not ~~be~~ considered as students.

17 BE. Supervisory Review means ongoing clinical case reviews in accordance with procedures
 18 developed by ~~county,~~the COUNTY to determine the appropriateness ~~of~~ diagnosis and treatment ~~plan for~~
 19 Clients as well and to monitor compliance to the minimum CYS and Medi-Cal charting standards.
 20 Supervisory review is conducted by the program/clinic director or designee.

21 BF. Token means the security device which allows an ~~individual~~ end-user to access the County of
 22 Orange, Health Care Agency's (HCA) computer based Integrated Records Information System (IRIS).

23 BG. UMDAP means Universal Method of Determining Ability to Pay (set by the State of
 24 California).

25 BH. Wellness Coordinator means an individual who specializes in assisting Clients with access to a
 26 ~~myriad of health care needs, nutrition resources, and other community supports. This individual will be~~
 27 ~~responsible for documenting the services required as well as communicating the needs of Clients to the~~
 28 ~~team.~~

29 BI. Wraparound Orange County (WOC) means the wraparound program administered by the
 30 COUNTY Social Services Agency and ~~is~~ available to children and transitional age youth ~~who are~~
 31 returning from or being considered for placement in group homes.

32 BJ. Youth Partner/Specialist means an individual who has a high school diploma ~~and,~~ preferably a
 33 bachelor's degree in human services or a related field, and ~~has~~ a background working with ~~minors, who~~
 34 ~~provides~~children and transitional age youth. This individual ~~is to provide~~ consistent, reinforcing support
 35 to ~~a consumer~~ Clients by allowing opportunities ~~for Clients~~ to learn and practice ~~pro~~social behavior,
 36 problem solving ~~skills,~~ and coping skills. In the spirit of MHSA, these positions ~~should~~can be filled by
 37 adequate numbers of bilingual, bicultural staff ~~in order~~ to meet the ~~r~~Referral needs of the program and

1 the threshold language requirements for Orange County. ~~Former~~ It is also recommended by the
 2 COUNTY that ~~former~~ mental health ~~consumers~~ Clients and/or their family members ~~of consumers~~
 3 ~~should also~~ be given a ~~high~~ priority for these positions ~~because of~~ due to their unique insight into the
 4 experiences of eClients.

5 II. BUDGET

6 A. COUNTY shall pay CONTRACTOR in accordance with the Payments paragraph in this
 7 Exhibit A to the Agreement and the following budgets, which are set forth for informational purposes
 8 only and may be adjusted by mutual agreement, in writing, of ADMINISTRATOR and
 9 CONTRACTOR.

	<u>BUDGET</u>
ADMINISTRATIVE COST	\$ 80,769 <u>90,531</u>
PROGRAM COST	
Salaries	627,785 <u>608,917</u>
Benefits	94,168 <u>91,338</u>
Services and Supplies	102,590 <u>113,076</u>
<u>Subcontractor</u>	<u>1,450</u>
SUBTOTAL PROGRAM COST	824,543 <u>814,781</u>
TOTAL GROSS COST	\$905,312
REVENUE	
Federal Medi-Cal	219,828 <u>270,784</u>
EPSDT Medi-Cal	219,828 <u>168,872</u>
Mental Health Services Act	<u>465,656</u>
TOTAL REVENUE	\$905,312
TOTAL MAXIMUM OBLIGATION	\$905,312

1 B. CONTRACTOR shall make written application to ADMINISTRATOR, in advance, to shift
 2 funds between programs, or between budgeted line items within a program, for the purpose of meeting
 3 specific program needs or for providing continuity of care to its eClients.-= CONTRACTOR's
 4 application shall include a narrative specifying the purpose of the request, the amount of said funds to be
 5 shifted, and the sustaining impact of the shift as may be applicable to future years.-= Approval by
 6 ADMINISTRATOR shall be in writing to CONTRACTOR prior to implementation by
 7 CONTRACTOR.

8 C. In the event CONTRACTOR collects fees and insurance, including Medicare, for services
 9 provided pursuant to this Agreement, CONTRACTOR may make written application to
 10 ADMINISTRATOR to retain such revenues; provided, however, the application must specify that the
 11 fees and insurance shall be utilized exclusively to provide mental health services. ADMINISTRATOR
 12 may, at its sole discretion, approve any such retention of revenues. Approval by ADMINISTRATOR
 13 shall be in writing to CONTRACTOR and shall specify the amount of said revenues to be retained and
 14 the quantity of services to be provided by CONTRACTOR. Fees received from private resources on
 15 behalf of Medi-Cal eClients shall not be eligible for retention by CONTRACTOR.

16 D. The parties agree that the above budget was established based on an average Medi-Cal eClient
 17 caseload of approximately forty-nine percent (49%) to be maintained by CONTRACTOR.
 18 CONTRACTOR agrees to accept COUNTY ~~r~~Referrals that may result in an increase in this average.
 19 COUNTY acknowledges that costs relating to pharmacy and lab services may increase as a result of an
 20 increase in average non-Medi-Cal population being served. COUNTY and CONTRACTOR shall
 21 monitor utilization of these services and COUNTY may increase CONTRACTOR's Maximum
 22 Obligation in accordance with this Agreement.

23 24 **III. PAYMENTS**

25 A. COUNTY shall pay CONTRACTOR monthly, in arrears, at the provisional amount of \$75,443
 26 per month. All payments are interim payments only, and subject to Final Settlement in accordance with
 27 the Cost Report paragraph of the Agreement for which CONTRACTOR shall be reimbursed for the
 28 actual cost of providing the services, which may include Indirect Administrative Costs, as identified in
 29 Paragraph II.A. of this Exhibit A; provided, however, the total of such payments does not exceed
 30 COUNTY's Total Maximum Obligation and, provided further, CONTRACTOR's costs are
 31 reimbursable pursuant to county, state, and/or federal regulations. ADMINISTRATOR may, at its
 32 discretion, pay supplemental billings for any month for which the provisional amount specified above
 33 has not been fully paid.

34 1. In support of the monthly billing, CONTRACTOR shall submit a monthly Expenditure and
 35 Revenue Report as specified in the Reports paragraph of this Exhibit A to the Agreement.
 36 ADMINISTRATOR shall use the Expenditure and Revenue Report to determine payment to
 37 CONTRACTOR as specified in subparagraphs A.2. and A.3. below.

1 2. If, at any time, CONTRACTOR'S Expenditure and Revenue Reports indicate that the
2 provisional amount payments exceed the actual cost of providing services, ADMINISTRATOR may
3 reduce COUNTY payments to CONTRACTOR by an amount not to exceed the difference between the
4 year-to-date provisional amount payments to CONTRACTOR and the year-to-date actual cost incurred
5 or by CONTRACTOR.

6 3. If, at any time, CONTRACTOR'S Expenditure and Revenue Reports indicate that the
7 provisional amount payments are less than the actual cost of providing services, ADMINISTRATOR
8 may authorize an increase in the provisional amount payment to CONTRACTOR by an amount not to
9 exceed the difference between the year-to-date provisional amount payments to CONTRACTOR and
10 the year-to-date actual cost incurred billed by CONTRACTOR.

11 B. CONTRACTOR'S billing shall be on a form approved or supplied by COUNTY and provide
12 such information as is required by ADMINISTRATOR. Billings are due the tenth (10th) business day
13 of each month; and payments to CONTRACTOR should be released by COUNTY no later than twenty-
14 one (21) calendar days after receipt of the correctly completed billing form.

15 C. All billings to COUNTY shall be supported, at CONTRACTOR'S facility, by source
16 documentation including, but not limited to, ledgers, journals, time sheets, invoices, bank statements,
17 cancelled checks, receipts, receiving records and records of services provided.

18 D. ADMINISTRATOR may withhold or delay any payment; if CONTRACTOR fails to comply
19 with any provision of this Agreement.

20 E. COUNTY shall not reimburse CONTRACTOR for services provided beyond the expiration
21 and/or termination of this Agreement, except as may otherwise be provided under this Agreement, or
22 specifically agreed upon in a subsequent Agreement.

23 F. CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify
24 Subparagraph III. above.

25
26 **IV. SERVICES**

27 **A. FACILITIES**

28 1. CONTRACTOR shall maintain one (1) facility which meets the minimum requirements for
29 Medi-Cal eligibility for the provision of ~~In-Home-Crisis Stabilization~~ Residential Services for Children
30 at the following location or any other location approved by ADMINISTRATOR:

31
32 500 S. Main Street, Suite 1100
33 Orange, CA 92868
34

35 2. CONTRACTOR shall maintain regularly scheduled service hours; of five (5) days a week
36 throughout the year; and maintain the capability to provide services twenty-four (24)-hours a day,
37 seven (7) days a week, and three hundred sixty-five (365) days per year. Services should be adapted to

1 accommodate eClient needs during after-school hours on weekdays, and on weekends, if necessary.
 2 Services should be provided in a manner that would accommodate ~~clients~~ those Clients that may be
 3 unable to participate during regular working hours.

4 3. ~~CONTRACTOR'S~~ CONTRACTOR shall, provide Clients and/or their family members with
 5 twenty-four (24)-hours a day, seven (7) days a week, and three hundred and sixty-five (365) days a year,
 6 access to their assigned Family Stabilization Team or a substitute acceptable to ADMINISTRATOR.

7 3. CONTRACTOR's holiday schedule shall be consistent with COUNTY's holiday schedule
 8 unless otherwise approved in writing by ADMINISTRATOR.

9 ~~4. CONTRACTOR must provide client and their families 24 hours a day, 7 days a week, 365~~
 10 ~~days per year access to their assigned Family Stabilization Team or a substitute acceptable to~~
 11 ~~ADMINISTRATOR.~~

12 ~~5. Upon COUNTY'S~~ 4. Upon COUNTY's certification of the provider's
 13 existing site, the CONTRACTOR shall be responsible for making any necessary changes to meet Medi-
 14 Cal site standards.

15 ~~6. CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to amend~~
 16 ~~Subparagraph IV. A.~~

17 ~~B. SERVICES IN HOME CRISIS STABILIZATION~~

18 B. IN-HOME CRISIS STABILIZATION SERVICES: Crisis Residential Services (CRS) means
 19 an alternative to providing acute psychiatric hospital services for individuals who otherwise would
 20 require hospitalization. CRS are provided in normalized living environments, integrated into residential
 21 communities and are less expensive than acute psychiatric hospitals. The CRS programs follow a social
 22 rehabilitation model that integrates aspects of emergency psychiatric care, psychosocial rehabilitation,
 23 milieu therapy, case management, and practical social work.

24 1. CONTRACTOR shall deliver in-home crisis intervention services to Severely Emotionally
 25 Disturbed (SED) children and their families identified by HCA the COUNTY as eligible for ~~in-home~~
 26 ~~crisis stabilization~~ these services.

27 2. CONTRACTOR shall assess potential eClients meeting the following criteria unless
 28 written exception is granted by COUNTY:

29 a. Orange County residents.

30 b. displaying behaviors or a history indicative of ~~SED~~ Seriously Emotionally (SED)
 31 Disabled as defined by the California Welfare and Institutions Code 5000.3.

32 c. between the ages of zero (0-to) through eighteen (18) and their families.

33 d. at risk of hospitalization and/or out-of home placement.

34 e. unserved or underserved because of linguistic or cultural isolation.

35 3. CONTRACTOR shall engage the child and the child's family in the home whenever
 36 possible.

37 4. CONTRACTOR shall provide an ~~in-home crisis stabilization program using~~ In-Home Crisis

1 Stabilization Program through a three-phase model. The initial phase shall include assessments of the
 2 SED child and family, with the goal of identifying short-term or immediate needs as well as de-
 3 escalation of the child and family. The In-Home Crisis Stabilization Program shall form a team
 4 consisting of a mental health worker and a mental health professional that shall develop a service plan
 5 with input from the child and the child's family. During phase two, the team shall be responsible for
 6 ensuring the family is developing appropriate coping skills and developing the family's support systems,
 7 while promoting open communication among family members. The goal of phase three shall be to
 8 prepare the child and the child's family for progression toward long-term ~~care~~ resolution and treatment.

9 5. CONTRACTOR ~~shall~~ will coordinate referrals with other existing wraparound and mental
 10 health services to ensure that all eClients and their families are given access to the most appropriate
 11 level and type of services. Other services may include Wraparound Orange County (WOC), Mental
 12 Health Services Act (MHSA) ~~Full-Service-Partnership~~ full service partnership (FSP) programs for
 13 ~~children~~ TAY or adults; and other COUNTY mental health services.

14 6. CONTRACTOR shall not refuse eClient referrals if CONTRACTOR has available space
 15 and appropriate staffing to take additional eClients, unless otherwise approved by ADMINISTRATOR.

16 7. CONTRACTOR shall provide ~~in-home-crisis-stabilization~~ contact within two (2) hours of
 17 Client's referral for services.

18 8. CONTRACTOR shall provide In-Home Crisis Stabilization Services to a minimum of one
 19 hundred ~~forty-five (145) clients including~~ sixty (160) Clients during the term of this Agreement. Services
 20 would include the following: crisis intervention, individual and family therapy, and case management
 21 hours to eligible eClients, as specified in ~~this~~ Services Paragraph of this Agreement.

22 ~~8-9.~~ CONTRACTOR shall ensure that all clinical documentation is completed promptly and is
 23 reflected on the eClient's chart with twenty-four (24) hours after the completion of services.

24 ~~9.~~ 10. CONTRACTOR shall input all IRIS data following COUNTY procedure and
 25 practice. All statistical data used to monitor CONTRACTOR will be compiled using only COUNTY
 26 IRIS reports, if available, and if applicable.

27 ~~10.~~ 11. CONTRACTOR shall review the financial status of all enrollees using the Universal
 28 Method of Determining Ability to Pay (UMDAP), unless otherwise approved in writing by COUNTY.

29 ~~11.~~ 12. CONTRACTOR shall maximize collection of Medi-Cal and other third party payors
 30 whenever appropriate and follow all state and COUNTY procedures for doing so.

31 ~~12. CONTRACTOR shall provide face-to-face contact within two hours (2) of client's referral~~
 32 ~~for services however no later than twenty four (24) hours based on the client's documented request.~~

33 C. CONTRACTOR shall attend:

34 1. Case conferences, as requested by ~~County staff~~ ADMINISTRATOR to address any aspect
 35 of clinical care.

36 2. Monthly ~~COUNTY staff~~ meetings with ~~CYS Program staff and~~ ADMINISTRATOR to
 37 discuss contractual and other issues related to, but not limited to compliance with policies and

1 | procedures, statistics and clinical services.

2 | 3 Clinical staff training for individuals by COUNTY representatives. Such training shall be
3 | conducted by CONTRACTOR and/or ~~COUNTY administrative staff~~ ADMINISTRATOR.

4 | 4. Quarterly QIC meetings.

5 | D. CONTRACTOR shall not engage in, or permit any of its employees or subcontractors, to
6 | conduct research activity on COUNTY eClients without obtaining prior written authorization from
7 | ADMINISTRATOR.

8 | E.- CONTRACTOR shall not conduct any proselytizing activities, regardless of funding sources,
9 | with respect to any person who has been referred to CONTRACTOR by COUNTY under the terms of
10 | this Agreement. Further, CONTRACTOR agrees that the funds provided hereunder shall not be used to
11 | promote, directly or indirectly, any religion, religious creed or cult, denomination or sectarian
12 | institution, or religious belief.

13 | F. CONTRACTOR shall conduct Supervisory Review at sixty (60) calendar day and six (6) month
14 | intervals, in accordance with procedures developed by COUNTY. CONTRACTOR shall ensure that all
15 | chart documentation complies with all federal, state and local guidelines and standards.

16 | G. CONTRACTOR shall input all IRIS data following COUNTY procedure and practice. All
17 | statistical data used to monitor CONTRACTOR will be compiled using only COUNTY IRIS reports, if
18 | available, and if applicable.

19 | H. PERFORMANCE OUTCOMES – CONTRACTOR ~~sha~~will complete Performance Outcome
20 | Measures as required by state and/or ~~ADMINISTRATOR~~COUNTY. The expected outcomes for the
21 | Monitoring Plan are to enable eClients to adaptively function at a higher and more appropriate level and
22 | to provide a quantifiable and repeatable measure to assess overall program effectiveness. The
23 | CONTRACTOR ~~shall~~will cooperate in data collection in order to develop baseline figures for future
24 | evaluation and report performance in terms of eClient satisfaction, length of stay and duration of
25 | services.

26 | — H. I. COUNTY RESPONSIBILITIES

27 | 1. COUNTY may designate a ~~CONTRACT CONSULTANT~~ Contract Consultant who shall:
28 | a. Provide, or cause to be provided, training and ongoing consultation to
29 | CONTRACTOR'S s staff to assist CONTRACTOR in ensuring compliance with CYS Standards of Care
30 | practices, policies and procedures, ~~Charting Manual and State Rehabilitation Manual requirements~~ DMH
31 | State Contract, documentation standards as per the current CYS Annual Provider Training, Title IX, the
32 | Stare EPSDT Documentation Manual, the State TBS Documentation Manual, and Chapter 26.5 of the
33 | Government Code which describes, but is not limited to the requirements for AB3632 and Medi-Cal.

34 | b. Assist CONTRACTOR in monitoring CONTRACTOR'S s program to ensure
35 | compliance with workload standards, productivity and Medi-Cal documentation.

36 | c. Review eClient charts to assist CONTRACTOR in ensuring compliance with CYS
37 | policies and procedures and Medi-Cal requirements.

- d. Reviews and approves all ~~Referrals~~ of potential ~~e~~Clients to alternate services.
 - e. Reviews and approves all admissions, discharges from the program and extended stays in the program.
2. COUNTY'S Central Quality Review and Training shall:
- a. Make available, training to CONTRACTOR'S staff in CYS charting procedures.
 - b. Conduct periodic reviews of ~~e~~Client charts to monitor CONTRACTOR's compliance with CYS policies and procedures and Medi-Cal requirements.
 - c. Monitor CONTRACTOR'S completion of corrective action plans filed in response to Medi-Cal and other reviews.
 - d. Monitor CONTRACTOR'S degree of compliance with COUNTY Standards of Care and CYS Policies and Procedures, including but not limited to; those pertaining to Quality Improvement, Medication Monitoring and Supervisory Review.

~~I~~. QUALITY IMPROVEMENT

- 1. CONTRACTOR shall agree to adopt and comply with the written Quality Improvement Implementation Plan and procedures provided by ADMINISTRATOR which describe the requirements for quality improvement, supervisory review, and medication monitoring.
- 2. CONTRACTOR shall agree to adopt and comply with the ~~written~~ documentation standards as per the current CYS Charting Annual Provider Training, DMH State Contract, Title IX, the State EPSDT Documentation Manual or its equivalent, the State TBS Documentation Manual, and the State Rehabilitation requirements, Chapter 26.5 of the Government Code as provided by ADMINISTRATOR; which describes, but is not limited to; the requirements for AB3632 and Medi-Cal ~~and CYS charting standards~~.
- 3. CONTRACTOR shall regularly review their Charting, IRIS data input, and billing systems to ensure compliance with COUNTY and state policies and procedures and establish mechanisms to prevent inaccurate claim submissions.
- 4. CONTRACTOR shall maintain on file at the facility minutes and records of all quality improvement meetings and processes. Such records and minutes shall also be subject to regular review by ADMINISTRATOR in the manner specified in the Quality Improvement Implementation Plan and CYS policies and procedures.
- 5. CONTRACTOR shall allow ADMINISTRATOR to attend, and if necessary conduct, QIC and medication monitoring meetings.
- 6. CONTRACTOR shall participate in any clinical case review and implement any recommendations made by COUNTY to improve ~~e~~Client care.

~~J. Tokens~~ K. TOKENS – ADMINISTRATOR will provide CONTRACTOR the necessary number of Electronic Tokens for appropriate individual staff to access the HCA IRIS at no cost to the CONTRACTOR.

- 1. CONTRACTOR recognizes Tokens are assigned to a specific individual staff member with

1 a unique password. Tokens and passwords shall not be shared with anyone.

2 2. CONTRACTOR shall maintain an inventory of the Tokens, by serial number and the staff
3 member to whom each is assigned.

4 3. CONTRACTOR shall indicate in the monthly staffing report, the serial number of the
5 Electronic Token for each staff member assigned a Token.

6 4. CONTRACTOR shall return to ADMINISTRATOR all Tokens under the following
7 conditions:

8 a. Token of each staff member who is no longer performing work related to this
9 Agreement.

10 b. Token of each staff member who no longer requires access to the HCA IRIS.

11 c. Token of each staff member who leaves employment of CONTRACTOR.

12 d. Tokens that are malfunctioning.

13 5. ADMINISTRATOR will issue Tokens for CONTRACTOR'S staff members who require
14 access to the IRIS upon initial training or as a replacement for malfunctioning Tokens.

15 6. CONTRACTOR shall reimburse the COUNTY for the actual cost of Tokens lost, stolen, or
16 damaged through acts of negligence.

17 ~~K.L.~~ NATIONAL PROVIDER IDENTIFIER (NPI) - The standard unique health identifier adopted
18 by the Secretary of Health and Human Services under Health Insurance Portability and Accountability
19 Act (HIPAA) of 1996 for health care providers.

20 1. All HIPAA covered healthcare providers, individuals and organizations must obtain an NPI
21 for use to identify themselves in HIPAA standard transactions. The NPI is assigned to individuals for
22 life.

23 2. CONTRACTOR, including each employee that provides services under this Agreement,
24 shall obtain a National Provider Identifier (NPI) upon commencement of this Agreement or prior to
25 providing services under this Agreement. CONTRACTOR shall report to ADMINISTRATOR, on a
26 form approved or supplied by ADMINISTRATOR, all NPI as soon as they are available.

27 ~~L.M.~~ NOTICE OF PRIVACY PRACTICES (NPP) - CONTRACTOR shall provide the NPP for
28 the County of Orange, as the Mental Health Plan, at the time of the first service provided under this
29 Agreement to individuals who are covered by Medi-Cal and have not previously received services at a
30 County operated clinic. CONTRACTOR shall also provide, upon request, the NPP for the County of
31 Orange, as the Mental Health Plan, to any individual who received services under this Agreement.

32 ~~M.N.~~ CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify
33 Subparagraph IV.

34
35 **V. STAFFING**

36 A. CONTRACTOR shall, at a minimum, provide the following staffing pattern expressed in Full-
37 Time Equivalents (FTEs) continuously throughout the term of this Agreement. One (1) FTE shall be

1 equal to an average of forty (40) hours work per week to provide ~~In-Home Crisis Stabilization~~ FSW
 2 services:

PROGRAM	FTE
Director of Clinical Operations	0.20
Program Director	1.00
Program Supervisor	1.00
<u>Data Entry Clerks</u>	<u>2.00</u>
Mental Health Professionals	4.00
Mental Health Workers	4.00
—Data Entry Clerk <u>Licensed Clinical Social</u> <u>Worker</u>	<u>2.00</u> <u>0.01</u>
	<u>12.20</u>
TOTAL FTEs	<u>12.21</u>

16 B. CONTRACTOR shall include bilingual/bicultural services to meet the needs of threshold
 17 languages as determined by COUNTY. Whenever possible, bilingual/bicultural therapists should be
 18 retained. Any clinical vacancies occurring at a time when bilingual and bicultural composition of the
 19 clinical staffing does not meet the above requirement must be filled with bilingual and bicultural staff
 20 unless ADMINISTRATOR consents, in writing, to the filling of those positions with non-bilingual staff.
 21 Salary savings resulting from such vacant positions may not be used to cover costs other than salaries
 22 and employees benefits unless otherwise authorized in writing, in advance, by ADMINISTRATOR.

23 C. CONTRACTOR shall establish a written Code of Conduct for employees, volunteers, interns
 24 and members of the Board of Directors which shall include, but not be limited to, standards related to
 25 the use of drugs and/or alcohol; staff-eClient relationships; prohibition of sexual contact with eClients;
 26 and conflict of interest. Prior to providing any services pursuant to this Agreement, all members of the
 27 Board of Directors, employees, volunteers and interns shall agree in writing to maintain the standards
 28 set forth in the Code of Conduct. A copy of the Code of Conduct shall be provided to each eClient upon
 29 admission and shall be posted in writing in a prominent place.

30 D. CONTRACTOR shall ~~make its best effort to~~ provide services pursuant to this Agreement in a
 31 manner that is culturally and linguistically appropriate for the population(s) served. CONTRACTOR
 32 shall maintain documentations of such efforts which may include; but not be limited to: records of
 33 participation in COUNTY-sponsored or other applicable training; recruitment and hiring policies and
 34 procedures; copies of literature in multiple languages and formats, as appropriate; and descriptions of
 35 measures taken to enhance accessibility for, and sensitivity to, persons who are physically challenged.

36 E. WORKLOAD STANDARDS

37 1. One (1) Direct Service Hour (DSH) shall be equal to sixty (60) minutes of direct eClient

1 service.

2 2. The CONTRACTOR shall provide, at a minimum, an average of one hundred (100) DSHs
3 per month per FTE, ~~or one thousand two~~ twelve hundred (1,200) DSHs per year per FTE ~~Mental Health~~
4 ~~Professional and Mental Health Worker~~, or agreed upon productivity levels which shall include mental
5 health, case management, crisis intervention, individual and family therapy and case management hours
6 ~~to eligible clients, as specified in this Service Paragraph.~~
7 and other support services and is inclusive of both billable and non-billable services.

8 3. CONTRACTOR shall, during the term of this Agreement, provide a minimum of nine
9 thousand six hundred (9,600) ~~direct service hours~~ DSH for eClient related services. _____

10 F. CONTRACTOR shall recruit, hire, train, and maintain staff ~~who~~ that are ~~persons~~ individuals in
11 recovery. These individuals shall not be currently receiving services directly from CONTRACTOR.
12 Documentation may include, but not be limited to, the following: records attesting to efforts made in
13 recruitment and hiring practices and identification of measures taken to enhance accessibility for
14 potential staff in these categories.

15 G. CONTRACTOR may augment the above paid staff with volunteers or interns upon written
16 approval of ADMINISTRATOR.

17 — 1. CONTRACTOR shall meet minimum requirements for supervision of each student
18 intern as required by the state Licensing Board and/or school program descriptions or work contracts.

19 — 2. CONTRACTOR shall provide a minimum of two (2) hours per week supervision to
20 each student intern providing mental health services and one (1) hour of supervision for each ten (10)
21 hours of treatment for student interns providing substance abuse services. CONTRACTOR shall
22 provide supervision to volunteers as specified in the respective job descriptions or work contracts.

23 — 3. A student intern is a person enrolled in an accredited graduate program accumulating
24 clinically supervised work experience hours as part of field work, internship, or practicum requirements.
25 Acceptable graduate programs include all programs that assist the student in meeting the educational
26 requirements in becoming a Licensed Marriage and Family Therapist, a Licensed Clinical Social
27 Worker, or a Licensed Clinical Psychologist.

28 — 4. Student intern services shall not comprise more than twenty percent (20%) of total
29 services provided.

30 H. CONTRACTOR shall maintain personnel files for each staff person, including the Executive
31 Director and other administrative positions, which shall include, but not be limited to, an application for
32 employment, qualifications for the position, documentation of bicultural/bilingual capabilities (if
33 applicable), pay rate and evaluations justifying pay increases.

34 I. All positions are required to maintain a log delineating hours worked and allocated to each
35 program of CONTRACTOR.

36 J. CONTRACTOR shall submit a staff vacancy report to ADMINISTRATOR within five (5)
37 ~~working~~ business days following the termination, resignation, or notice of resignation of any clinical

1 employee. The report shall include the employee's name, position title, date of resignation, and a
2 description of the recruitment activity to replace the employee.

3 K. CONTRACTOR shall notify ADMINISTRATOR, in writing, within seventy-two (72) hours, of
4 any staffing vacancies that occur during the term of this Agreement.

5 L. CONTRACTOR shall provide ~~training~~ a minimum of sixteen (16) hours of training annually on
6 the wraparound model, as exemplified by WOC, its applications and ~~supervision~~-related topics to ~~all~~
7 ~~direct service staff~~ in the FSW. This training shall be coordinated with WOC and ~~student interns.~~ ~~may~~
8 ~~include such other topics identified by the COUNTY.~~

9 ~~M. CONTRACTOR shall ensure that all staff hired or designated to provide services under this~~
10 ~~Agreement complete twenty (20) hours of training and attend in-service trainings provided by~~ provide
11 training to service staff covering suicide assessment and crisis intervention or indications of suicidal risk
12 (depending on scope of practice), developing safety plans, maintaining healthy boundaries, reporting
13 child abuse, dealing with difficult Clients, meeting facilitation and medication, confidentiality,
14 identification of strengths, promoting life skills, and such other topics identified by the COUNTY.
15 Formal training sessions may also be used to cover these topics but cannot substitute for weekly
16 supervision hours.

17 ~~N. CONTRACTOR and outside agencies~~ shall provide a minimum of one (1) hour of individual or
18 two (2) hours of group supervision weekly to FSW direct service staff covering suicide assessment and
19 crisis intervention, developing safety plans, maintaining healthy boundaries, reporting child abuse,
20 dealing with difficult Clients, meeting facilitation and medication, confidentiality, identification of
21 strengths, promoting life skills and such other topics identified by the COUNTY. Formal training
22 sessions may also be used to cover these topics but cannot substitute for weekly supervision hours.

23 ~~MO.~~ CONTRACTOR shall maintain a current signature-list including each supervisor and
24 provider of direct services who signs chart documentation. The list shall include the printed/type staff
25 name and title, followed by the legal signature with title as it appear on all chart documents. For
26 licensed or registered clinical staff, the name must match the name on the license or registration.

27 ~~NP.~~ CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify
28 Subparagraph V.

30 VI. REPORTS

31 A. CONTRACTOR shall maintain records and make statistical reports as required by
32 ADMINISTRATOR and the California State Department of Mental Health on forms provided by either
33 agency.

34 B. FISCAL

35 1. CONTRACTOR shall submit monthly Expenditure and Revenue Reports to
36 ADMINISTRATOR. These reports shall be on a form acceptable to, or provided by,
37 ADMINISTRATOR and shall report actual costs and revenues for CONTRACTOR's program(s) or cost

1 center(s) per period described in the Services paragraph of Exhibit A to this Agreement. Such reports
 2 shall also include ~~Direct Service Hours (DSHs)~~ DSH and number of eClients by program. ~~The~~ Such
 3 reports shall be received by ADMINISTRATOR no later than ~~the 20th day~~ twenty (20) calendar days
 4 following the end of the month ~~being reported.~~ or by date approved by ADMINISTRATOR.
 5 CONTRACTOR must request in writing any extensions to the due date of the monthly required reports.
 6 Approvals from the ADMINISTRATOR will not exceed ~~more than~~ five (5) calendar days.

7 2. CONTRACTOR shall submit Year-End Projection Reports to ADMINISTRATOR. These
 8 reports shall be on a form acceptable to, or provided by, ADMINISTRATOR and shall report
 9 anticipated year-end actual costs and revenues for CONTRACTOR's program described in the Services
 10 paragraph of Exhibit A to this Agreement. Such reports shall include actual monthly costs and revenue
 11 to date and anticipated monthly costs and revenue to the end of the fiscal year. Year-End Projection
 12 Reports shall be submitted in conjunction with the Monthly Expenditure and Revenue Reports. Such
 13 reports shall be received by ADMINISTRATOR no later than twenty (20) calendar days of every
 14 month, except July 2010, or by date approved by ADMINISTRATOR. Deviations to any approved
 15 budget line items must be approved in advance and in writing by ADMINISTRATOR and annotated on
 16 the monthly Expenditure and Revenue Report, or those cost deviations may be subject to disallowance.
 17 CONTRACTOR must request in writing any extensions to the due date of the monthly required reports.
 18 Approvals from the ADMINISTRATOR will not exceed five (5) calendar days.

19 3. CONTRACTOR shall report the utilization of their Flexible Fund monthly on a form
 20 acceptable to, or provided by ADMINISTRATOR. The Flexible Fund report shall be received by
 21 ADMINISTRATOR no later than twenty three (23) calendar days following the end of the month
 22 reported or by date approved by ADMINISTRATOR. CONTRACTOR must request in writing any
 23 extensions to the due date of the monthly required reports. Approvals from the ADMINISTRATOR
 24 will not exceed five (5) calendar days.

25 C. STAFFING - CONTRACTOR shall submit monthly Staffing Reports to ADMINISTRATOR.
 26 These reports shall be on a form acceptable to, or provided by, ADMINISTRATOR and shall, at a
 27 minimum, report ~~the actual FTEs of the positions stipulated in the Staffing subparagraph of this Exhibit~~
 28 ~~A to the Agreement~~ actual staff hours worked by position, DSH provided by position, case load by
 29 position, and shall include the employees' names, licensure status, bilingual and bicultural capabilities,
 30 budgeted monthly salary, actual salary, and hire and/or termination date, and any other pertinent
 31 information as may be required by ADMINISTRATOR. The reports shall be received by
 32 ADMINISTRATOR no later than twenty (20) calendar days following the end of the month being
 33 reported or date approved by ADMINISTRATOR. Deviations to any approved salary budgets/FTEs or
 34 actual salaries/FTEs exceeding approved amounts must be approved in advance and in writing by
 35 ADMINISTRATOR and annotated on the monthly Staffing Report, or those cost deviations may be
 36 subject to disallowance. CONTRACTOR must request in writing any extensions to the due date of the
 37 monthly required reports. Approvals from the ADMINISTRATOR will not exceed five (5) calendar

1 days.

2 D. PROGRAMMATIC - CONTRACTOR; shall submit monthly programmatic reports to
3 ADMINISTRATOR, which shall be received by ADMINISTRATOR no later than fifteen (15) calendar
4 days following the end of the month being reported. Programmatic reports shall include a description of
5 CONTRACTOR'S progress in implementing the provisions of this Agreement, report of placement and
6 movement of Clients along the continuum of services using guidelines for monthly report of the number
7 of active-cases participants, voluntary and involuntary hospitalizations, special incidences, vocational
8 programs, educational programs, DSH by staff, chart compliance, number of client's-admitted/contacts
9 per member, number of Referrals and Clients discharged, from the program, in addition to any pertinent
10 facts or interim findings, staff changes, status of Llicenses and/or Ccertifications, changes in population
11 served and reasons for any such changes. CONTRACTOR shall state whether it is or is not progressing
12 satisfactorily in achieving all the terms of this Agreement.

13 E. PERFORMANCE OUTCOMES – COUNTY shall develop and provide CONTRACTOR with
14 performance outcome measure guidelines for the purpose of evaluating the impact and/or contribution
15 of CONTRACTOR's services on the well-being of ~~the~~ Orange County residents being served under the
16 terms of this Agreement.

17 F. ADDITIONAL REPORTS – Upon ADMINISTRATOR's request, CONTRACTOR shall make
18 such additional reports as required by ADMINISTRATOR concerning CONTRACTOR's activities as
19 they affect the services hereunder. ADMINISTRATOR will be specific as to the nature of information
20 requested and allow thirty (30) calendar days for CONTRACTOR to respond. —

21 G. CONTRACTOR shall advise ADMINISTRATOR of any special incidents, conditions or issues
22 that adversely affect the quality and/or accessibility of eClient-related services provided by, and/or
23 under contract with; the COUNTY.

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