

~~AGREEMENT FOR PROVISION OF~~
AMENDMENT NO. 2
TO
CONTRACT NO. MA-042-20010847
FOR
 TRANSITIONAL AGE YOUTH AND
 YOUNG ADULT
 MENTAL HEALTH OUTREACH SERVICES
 BETWEEN
 COUNTY OF ORANGE
 AND
 THE LAGUNA PLAYHOUSE
 DECEMBER 15, 2019 THROUGH JUNE 30, 2022

~~THIS AGREEMENT~~

This Amendment ("Amendment No. 2") to Contract No. MA-042-20010847 for Transitional Age Youth and Young Adult Mental Health Outreach Services is made and entered into ~~this 15th day of December 2019 (effective date), is by and~~ on July 1, 2022 ("Effective Date") between The Laguna Playhouse ("Contractor"), with a place of business at 606 Laguna Canyon Road, Laguna Beach, CA 92651, and the ~~COUNTY OF ORANGE~~ County of Orange, a political subdivision of the State of California (~~COUNTY~~) ~~and THE LAGUNA PLAYHOUSE, a California nonprofit corporation (CONTRACTOR). COUNTY and CONTRACTOR~~ ("County"), through its Health Care Agency, with a place of business at 405 W. 5th Street, Santa Ana, CA 92701. Contractor and County may sometimes be referred to ~~herein~~ individually as "Party" or collectively as "Parties." ~~This Agreement shall be administered by the Director of the COUNTY's Health Care Agency or.~~

RECITALS

WHEREAS, the Parties executed Contract No. MA-042-20010847 for Transitional Age Youth and Young Adult Mental Health Outreach Services, effective December 15, 2019 through June 30, 2022, in an ~~authorized designee ("ADMINISTRATOR")~~ amount not to exceed \$469,204 ("Contract"); and

WITNESSETH:

~~WHEREAS, COUNTY wishes to contract with CONTRACTOR for the provision of Transitional Age Youth and Young Adult Mental Health Outreach Services described herein to the residents of Orange County; and~~

~~WHEREAS, CONTRACTOR is agreeable to the rendering of such services on the terms and conditions hereinafter set forth:~~

~~WHEREAS~~, on September 15, 2020, the Parties entered into Amendment No. 1 to add Federal Emergency Management Agency (FEMA) provisions to the Contract for COVID-19 related needs

1 for the term of July 1, 2020 through December 30, 2020 to allow invoicing for COVID-19 related
2 expenditures; and

3 WHEREAS, the Parties now desire to enter into this Amendment No. 2 to amend Paragraph VI.
4 and Exhibit A of the Contract and to renew the Contract for one year.

5 NOW, THEREFORE, ~~in consideration of the mutual covenants, benefits, Contractor and promises~~
6 ~~contained herein, COUNTY and CONTRACTOR do hereby~~ County agree to amend the Contract as
7 follows:

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REFERENCED CONTRACT PROVISIONS

Term: December 15, 2019 through June 30, ~~2022~~2023

~~Period One means the period from December 15, 2019 through June 30, 2020~~

~~Period Two means the period from July 1, 2020 through June 30, 2021~~

~~Period Three means the period from July 1, 2021 through June 30, 2022~~

Period Four means the period from July 1, 2022 through June 30, 2023

Maximum Obligation:

Period One Maximum Obligation: \$ 110,204

Period Two Maximum Obligation: 179,500

Period Three Maximum Obligation: 179,500

Period Four Maximum Obligation: 179,500

TOTAL MAXIMUM OBLIGATION: ~~\$ 469,204~~ \$ 648,704

~~Basis for Reimbursement: Actual Cost~~

~~Payment Method: Monthly in Arrears~~

~~CONTRACTOR DUNS Number: 11-443-1802~~

~~CONTRACTOR TAX ID Number: 95-1509841~~

~~Notices to COUNTY Paragraph VI. Cost Report, subparagraph A. (but not including subparagraphs A.1, A.2 and CONTRACTOR:~~

~~COUNTY: County A.3) of Orange~~

~~Health Care Agency~~

~~the Contract Services~~

~~405 West 5th Street, Suite 600~~

~~Santa Ana, CA 92701-4637~~

~~CONTRACTOR: The Laguna Playhouse~~

~~606 Laguna Canyon Road~~

~~Laguna Beach, CA 92651~~

- 1 Ellen Richard, Executive Director
- 2 erichard@lagunaplayhouse.com
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I. ACRONYMS

~~3. The following standard definitions are for reference purposes only and may or may not apply in their is deleted in its entirety throughout this Agreement and replaced with the following:~~

~~A. ARRA American Recovery and Reinvestment Act~~

~~B. CAP Corrective Action Plan~~

~~C. CCC California Civil Code~~

~~D. CCR California Code of Regulations~~

~~E. CEO County Executive Office~~

~~F. CFR Code of Federal Regulations~~

~~G. CHPP COUNTY HIPAA Policies and Procedures~~

~~H. COI Certificate of Insurance~~

~~I. CRN Crisis Response Network~~

~~J. DHCS Department of Health Care Services~~

~~K. DRS Designated Record Set~~

~~L. EOC Equal Opportunity Clause~~

~~M. EOE Equal Opportunity Employer~~

~~N. GAAP General Accepted Accounting Principles~~

~~O. HCA Health Care Agency~~

~~P. HHS Health and Human Services~~

~~Q. HIPAA Health Insurance Portability and Accountability Act of 1996, Public Law 104-191~~

~~R. HSC California Health and Safety Code~~

~~S. ISO Insurance Services Office~~

~~T. MHSA Mental Health Services Act~~

~~U. NPP Notice of Privacy Practices~~

~~V. OIG Office of Inspector General~~

~~W. OMB Office of Management and Budget~~

~~X. OPM Federal Office of Personnel Management~~

~~Y. PC State of California Penal Code~~

~~Z. PEI Prevention and Early Intervention~~

~~AA. PHI Protected Health Information~~

~~AB. PII Personally Identifiable Information~~

~~AC. P&P Policy and Procedure~~

~~AD. PRA Public Record Act~~

~~AE. SIR Self Insured Retention~~

~~AF. SFTS Safe from the Start~~

~~AG. TOT Train the Trainer~~

~~AH. USC United States Code~~

- ~~1 AI. VPE Violence Prevention Education~~
- ~~2 AJ. WIC State of California Welfare and Institutions Code~~

~~3 **II. ALTERATION OF TERMS**~~

~~4 A. This Agreement, together with Exhibits A, B and C attached hereto and incorporated herein, fully~~
~~5 expresses the complete understanding of COUNTY and CONTRACTOR with respect to the subject~~
~~6 matter of this Agreement.~~

~~7 B. Unless otherwise expressly stated in this Agreement, no addition to, or alteration of the terms of~~
~~8 this Agreement or any Exhibits, whether written or verbal, made by the Parties, their officers, employees~~
~~9 or agents shall be valid unless made in the form of a written amendment to this Agreement, which has~~
~~been formally approved and executed by both Parties.~~

~~10 **III. ASSIGNMENT OF DEBTS**~~

~~11 Unless this Agreement is followed without interruption by another Agreement between the Parties~~
~~12 hereto for the same services and substantially the same scope, at the termination of this Agreement,~~
~~13 CONTRACTOR shall assign to COUNTY any debts owing to CONTRACTOR by or on behalf of persons~~
~~14 receiving services pursuant to this Agreement. CONTRACTOR shall immediately notify by mail each of~~
~~15 the respective Parties, specifying the date of assignment, the County of Orange as assignee, and the~~
~~address to which payments are to be sent. Payments received by CONTRACTOR from or on behalf of~~
~~16 said persons, shall be immediately given to COUNTY.~~

~~17 **IV. COMPLIANCE**~~

~~18 A. COMPLIANCE PROGRAM ADMINISTRATOR has established a Compliance Program for~~
~~19 the purpose of ensuring adherence to all rules and regulations related to federal and state health care~~
~~20 programs.~~

~~21 1. ADMINISTRATOR shall provide CONTRACTOR with a copy of the policies and~~
~~22 procedures relating to ADMINISTRATOR's Compliance Program, Code of Conduct and access to~~
~~23 General Compliance and Annual Provider Trainings.~~

~~24 2. CONTRACTOR has the option to provide ADMINISTRATOR with proof of its own~~
~~25 compliance program, code of conduct and any compliance related policies and procedures.~~
~~26 CONTRACTOR's compliance program, code of conduct and any related policies and procedures shall be~~
~~27 verified by ADMINISTRATOR's Compliance Department to ensure they include all required elements~~
~~by ADMINISTRATOR's Compliance Officer as described in this Compliance Paragraph to this~~
~~Agreement. These elements include:~~

- ~~a. Designation of a Compliance Officer and/or compliance staff.~~
- ~~b. Written standards, policies and/or procedures.~~

~~c. Compliance related training and/or education program and proof of completion.~~

~~d. Communication methods for reporting concerns to the Compliance Officer.~~

~~e. Methodology for conducting internal monitoring and auditing.~~

~~f. Methodology for detecting and correcting offenses.~~

~~g. Methodology/Procedure for enforcing disciplinary standards.~~

~~3. If CONTRACTOR does not provide proof of its own compliance program to ADMINISTRATOR, CONTRACTOR shall internally comply with ADMINISTRATOR's Compliance Program and Code of Conduct, the CONTRACTOR shall submit to the ADMINISTRATOR within thirty (30) calendar days of execution of this Agreement a signed acknowledgement that CONTRACTOR will internally comply with ADMINISTRATOR's Compliance Program and Code of Conduct. CONTRACTOR shall have as many Covered Individuals it determines necessary complete ADMINISTRATOR's annual compliance training to ensure proper compliance.~~

~~4. If CONTRACTOR elects to have its own compliance program, code of conduct and any Compliance related policies and procedures reviewed by ADMINISTRATOR, then CONTRACTOR shall submit a copy of its compliance program, code of conduct and all relevant policies and procedures to ADMINISTRATOR within thirty (30) calendar days of execution of this Agreement. ADMINISTRATOR's Compliance Officer, or designee, shall review said documents within a reasonable time, which shall not exceed forty five (45) calendar days, and determine if contractor's proposed compliance program and code of conduct contain all required elements to the ADMINISTRATOR's satisfaction as consistent with the HCA's Compliance Program and Code of Conduct. ADMINISTRATOR shall inform CONTRACTOR of any missing required elements and CONTRACTOR shall revise its compliance program and code of conduct to meet ADMINISTRATOR's required elements within thirty (30) calendar days after ADMINISTRATOR's Compliance Officer's determination and resubmit the same for review by the ADMINISTRATOR.~~

~~5. Upon written confirmation from ADMINISTRATOR's compliance officer that the CONTRACTOR's compliance program, code of conduct and any compliance related policies and procedures contain all required elements, CONTRACTOR shall ensure that all Covered Individuals relative to this Agreement are made aware of CONTRACTOR's compliance program, code of conduct, related policies and procedures and contact information for the ADMINISTRATOR's Compliance Program.~~

~~B. SANCTION SCREENING—CONTRACTOR shall screen all Covered Individuals employed or retained to provide services related to this Agreement monthly to ensure that they are not designated as Ineligible Persons, as pursuant to this Agreement. Screening shall be conducted against the General Services Administration's Excluded Parties List System or System for Award Management, the Health and Human Services/Office of Inspector General List of Excluded Individuals/Entities, and the California Medi-Cal Suspended and Ineligible Provider List, the Social Security Administration's Death Master File, and/or any other list or system as identified by ADMINISTRATOR.~~

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2 ~~1. For purposes of this Compliance Paragraph, Covered Individuals includes all employees,~~
 3 ~~interns, volunteers, contractors, subcontractors, agents, and other persons who provide health care items~~
 4 ~~or services or who perform billing or coding functions on behalf of ADMINISTRATOR.~~
 5 ~~CONTRACTOR shall ensure that all Covered Individuals relative to this Agreement are made aware of~~
 6 ~~ADMINISTRATOR's Compliance Program, Code of Conduct and related policies and procedures (or~~
 7 ~~CONTRACTOR's own compliance program, code of conduct and related policies and procedures if~~
 8 ~~CONTRACTOR has elected to use its own).~~

9 ~~2. An Ineligible Person shall be any individual or entity who:~~

10 ~~a. is currently excluded, suspended, debarred or otherwise ineligible to participate in federal~~
 11 ~~and state health care programs; or~~

12 ~~b. has been convicted of a criminal offense related to the provision of health care items or~~
 13 ~~services and has not been reinstated in the federal and state health care programs after a period of~~
 14 ~~exclusion, suspension, debarment, or ineligibility.~~

15 ~~3. CONTRACTOR shall screen prospective Covered Individuals prior to hire or engagement.~~
 16 ~~CONTRACTOR shall not hire or engage any Ineligible Person to provide services relative to this~~
 17 ~~Agreement.~~

18 ~~4. CONTRACTOR shall screen all current Covered Individuals and subcontractors monthly to~~
 19 ~~ensure that they have not become Ineligible Persons. CONTRACTOR shall also request that its~~
 20 ~~subcontractors use their best efforts to verify that they are eligible to participate in all federal and State of~~
 21 ~~California health programs and have not been excluded or debarred from participation in any federal or~~
 22 ~~state health care programs, and to further represent to CONTRACTOR that they do not have any Ineligible~~
 23 ~~Person in their employ or under contract.~~

24 ~~5. Covered Individuals shall be required to disclose to CONTRACTOR immediately any~~
 25 ~~debarment, exclusion or other event that makes the Covered Individual an Ineligible Person.~~
 26 ~~CONTRACTOR shall notify ADMINISTRATOR immediately if a Covered Individual providing services~~
 27 ~~directly relative to this Agreement becomes debarred, excluded or otherwise becomes an Ineligible~~
 28 ~~Person.~~

29 ~~6. CONTRACTOR acknowledges that Ineligible Persons are precluded from providing federal~~
 30 ~~and state funded health care services by contract with COUNTY in the event that they are currently~~
 31 ~~sanctioned or excluded by a federal or state law enforcement regulatory or licensing agency. If~~
 32 ~~CONTRACTOR becomes aware that a Covered Individual has become an Ineligible Person,~~
 33 ~~CONTRACTOR shall remove such individual from responsibility for, or involvement with, COUNTY~~
 34 ~~business operations related to this Agreement.~~

35 ~~7. CONTRACTOR shall notify ADMINISTRATOR immediately if a Covered Individual or~~
 36 ~~entity is currently excluded, suspended or debarred, or is identified as such after being sanction screened.~~
 37 ~~Such individual or entity shall be immediately removed from participating in any activity~~

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2 associated with this Agreement. ADMINISTRATOR will determine appropriate repayment from, or
3 sanction(s) to CONTRACTOR for services provided by ineligible person or individual.

4 CONTRACTOR shall promptly return any overpayments within forty-five (45) business days after the
5 overpayment is verified by ADMINISTRATOR.

6 ~~C. GENERAL COMPLIANCE TRAINING~~ ADMINISTRATOR shall make General Compliance
7 Training available to Covered Individuals.

8 ~~1. CONTRACTORS that have acknowledged to comply with ADMINISTRATOR's~~
9 ~~Compliance Program shall use its best efforts to encourage completion by all Covered Individuals;~~
10 ~~provided, however, that at a minimum CONTRACTOR shall assign at least one (1) designated~~
11 ~~representative to complete the General Compliance Training when offered.~~

12 ~~2. Such training will be made available to Covered Individuals within thirty (30) calendar days~~
13 ~~of employment or engagement.~~

14 ~~3. Such training will be made available to each Covered Individual annually.~~

15 ~~4. ADMINISTRATOR will track training completion while CONTRACTOR shall provide~~
16 ~~copies of training certification upon request.~~

17 ~~5. Each Covered Individual attending a group training shall certify, in writing, attendance at~~
18 ~~compliance training. ADMINISTRATOR shall provide instruction on group training completion while~~
19 ~~CONTRACTOR shall retain the training certifications. Upon written request by ADMINISTRATOR,~~
20 ~~CONTRACTOR shall provide copies of the certifications.~~

21 ~~D. SPECIALIZED PROVIDER TRAINING~~ ADMINISTRATOR shall make Specialized Provider
22 Training, where appropriate, available to Covered Individuals.

23 ~~1. CONTRACTOR shall ensure completion of Specialized Provider Training by all Covered~~
24 ~~Individuals relative to this Agreement. This includes compliance with federal and state healthcare~~
25 ~~program regulations and procedures or instructions otherwise communicated by regulatory agencies;~~
26 ~~including the Centers for Medicare and Medicaid Services or their agents.~~

27 ~~2. Such training will be made available to Covered Individuals within thirty (30) calendar days~~
of employment or engagement.

~~3. Such training will be made available to each Covered Individual annually.~~

~~4. ADMINISTRATOR will track online completion of training while CONTRACTOR shall~~
provide copies of the certifications upon request.

~~5. Each Covered Individual attending a group training shall certify, in writing, attendance at~~
compliance training. ADMINISTRATOR shall provide instructions on completing the training in a group
setting while CONTRACTOR shall retain the certifications. Upon written request by
ADMINISTRATOR, CONTRACTOR shall provide copies of the certifications.

~~E. MEDICAL BILLING, CODING, AND DOCUMENTATION COMPLIANCE STANDARDS~~

~~1. CONTRACTOR shall take reasonable precaution to ensure that the coding of health care~~

1 ~~claims, billings and/or invoices for same are prepared and submitted in an accurate and timely manner~~
 2 ~~and are consistent with federal, state and county laws and regulations. This includes compliance with~~
 3 ~~federal and state health care program regulations and procedures or instructions otherwise communicated~~
 4 ~~by regulatory agencies including the Centers for Medicare and Medicaid Services or their agents.~~

4 ~~2. CONTRACTOR shall not submit any false, fraudulent, inaccurate and/or fictitious claims for~~
 5 ~~payment or reimbursement of any kind.~~

5 ~~3. CONTRACTOR shall bill only for those eligible services actually rendered which are also~~
 6 ~~fully documented. When such services are coded, CONTRACTOR shall use proper billing codes which~~
 7 ~~accurately describes the services provided and must ensure compliance with all billing and documentation~~
 8 ~~requirements.~~

8 ~~4. CONTRACTOR shall act promptly to investigate and correct any problems or errors in~~
 9 ~~coding of claims and billing, if and when, any such problems or errors are identified.~~

10 ~~5. CONTRACTOR shall promptly return any overpayments within forty five (45) business~~
 11 ~~days after the overpayment is verified by the ADMINISTRATOR.~~

11 ~~6. CONTRACTOR shall meet the HCA MHP Quality Management Program Standards and~~
 12 ~~participate in the quality improvement activities developed in the implementation of the Quality~~
 13 ~~Management Program.~~

13 ~~7. CONTRACTOR shall comply with the provisions of the ADMINISTRATOR's Cultural~~
 14 ~~Competency Plan submitted and approved by the state. ADMINISTRATOR shall update the Cultural~~
 15 ~~Competency Plan and submit the updates to the State for review and approval annually. (CCR, Title 9,~~
 16 ~~§1810.410.subds.(c) (d)).~~

16 ~~F. Failure to comply with the obligations stated in this Compliance Paragraph shall constitute a~~
 17 ~~breach of the Agreement on the part of CONTRACTOR and grounds for COUNTY to terminate the~~
 18 ~~Agreement. Unless the circumstances require a sooner period of cure, CONTRACTOR shall have thirty~~
 19 ~~(30) calendar days from the date of the written notice of default to cure any defaults grounded on this~~
 20 ~~Compliance Paragraph prior to ADMINISTRATOR's right to terminate this Agreement on the basis of~~
 21 ~~such default.~~

~~V. CONFIDENTIALITY~~

22 ~~A. CONTRACTOR shall maintain the confidentiality of all records, including billings and any audio~~
 23 ~~and/or video recordings, in accordance with all applicable federal, state and county codes and regulations,~~
 24 ~~as they now exist or may hereafter be amended or changed.~~

24 ~~B. Prior to providing any services pursuant to this Agreement, all members of the Board of Directors~~
 25 ~~or its designee or authorized agent, employees, consultants, subcontractors, volunteers and interns of the~~
 26 ~~CONTRACTOR shall agree, in writing, with CONTRACTOR to maintain the confidentiality of any and~~
 27 ~~all information and records which may be obtained in the course of providing such services. This~~
 28 ~~Agreement shall specify that it is effective irrespective of all subsequent resignations or terminations of~~

~~CONTRACTOR members of the Board of Directors or its designee or authorized agent, employees, consultants, subcontractors, volunteers and interns.~~

VI. COST REPORT

~~“A. CONTRACTOR shall submit separate Cost Reports for Period One, each Period Two and Period Three, or for a portion thereof, to COUNTY no later than sixty (60) calendar days following the period for which they are prepared or termination of this Agreement. CONTRACTOR shall prepare the individual and/or consolidated Cost Report in accordance with all applicable federal, state and COUNTY requirements, GAAP and the Special Provisions Paragraph of this Agreement. CONTRACTOR shall allocate direct and indirect costs to and between programs, cost centers, services, and funding sources in accordance with such requirements and consistent with prudent business practice, which costs and allocations shall be supported by source documentation maintained by CONTRACTOR, and available at any time to ADMINISTRATOR upon reasonable notice. In the event CONTRACTOR has multiple agreements for mental health services that are administered by HCA, consolidation of the individual Cost Reports into a single consolidated Cost Report may be required, as stipulated by ADMINISTRATOR. CONTRACTOR shall submit a consolidated Cost Report to COUNTY no later than five (5) business days following approval by ADMINISTRATOR of all individual Cost Reports to be incorporated into a consolidated Cost Report.”~~

~~1. If CONTRACTOR fails to submit an accurate and complete Cost Report within the time period specified above, ADMINISTRATOR shall have sole discretion to impose one or both of the following:~~

~~a. CONTRACTOR may be assessed a late penalty of five hundred dollars (\$500) for each business day after the above specified due date that the accurate and complete Cost Report is not submitted. Imposition of the late penalty shall be at the sole discretion of the ADMINISTRATOR. The late penalty shall be assessed separately on each outstanding Cost Report due COUNTY by CONTRACTOR.~~

~~b. ADMINISTRATOR may withhold or delay any or all payments due CONTRACTOR pursuant to any or all agreements between COUNTY and CONTRACTOR until such time that the accurate and complete Cost Report is delivered to ADMINISTRATOR.~~

~~2. CONTRACTOR may request, in advance and in writing, an extension of the due date of the Cost Report setting forth good cause for justification of the request. Approval of such requests shall be at the sole discretion of ADMINISTRATOR and shall not be unreasonably denied.~~

~~3. In the event that CONTRACTOR does not submit an accurate and complete Cost Report within one hundred and eighty (180) calendar days following the termination of this Agreement, and CONTRACTOR has not entered into a subsequent or new agreement for any other services with COUNTY, then all amounts paid to CONTRACTOR by COUNTY during the term of the Agreement shall be immediately reimbursed to COUNTY.~~

~~B. The individual and/or consolidated Cost Report prepared for each period shall be the final financial and statistical report submitted by CONTRACTOR to COUNTY, and shall serve as the basis for final settlement to CONTRACTOR for that period. CONTRACTOR shall document that costs are~~

1 reasonable and allowable and directly or indirectly related to the services to be provided hereunder. The
2 Cost Report shall be the final financial record for subsequent audits, if any.

3 ~~— C. Final settlement shall be based upon the actual and reimbursable costs for services hereunder, less
4 applicable revenues and any late penalty, not to exceed COUNTY’s Maximum Obligation as set forth in
5 the Referenced Contract Provisions of this Agreement. CONTRACTOR shall not claim expenditures to
6 COUNTY which are not reimbursable pursuant to applicable federal, state and COUNTY laws,
7 regulations and requirements. Any payment made by COUNTY to CONTRACTOR, which is
8 subsequently determined to have been for an unreimbursable expenditure or service, shall be repaid by
9 CONTRACTOR to COUNTY in cash, or other authorized form of payment, within thirty (30) calendar
10 days of submission of the Cost Report or COUNTY may elect to reduce any amount owed
11 CONTRACTOR by an amount not to exceed the reimbursement due COUNTY.~~

12 ~~— D. If the Cost Report indicates the actual and reimbursable costs of services provided pursuant to
13 this Agreement, less applicable revenues and late penalty, are lower than the aggregate of interim monthly
14 payments to CONTRACTOR, CONTRACTOR shall remit the difference to COUNTY. Such
15 reimbursement shall be made, in cash, or other authorized form of payment, with the submission of the
16 Cost Report. If such reimbursement is not made by CONTRACTOR within thirty (30) calendar days after
17 submission of the Cost Report, COUNTY may, in addition to any other remedies, reduce any amount
18 owed CONTRACTOR by an amount not to exceed the reimbursement due COUNTY.~~

19 ~~— E. If the Cost Report indicates the actual and reimbursable costs of services provided pursuant to
20 this Agreement, less applicable revenues and late penalty, are higher than the aggregate of interim monthly
21 payments to CONTRACTOR, COUNTY shall pay CONTRACTOR the difference, provided such
22 payment does not exceed the Maximum Obligation of COUNTY.~~

23 ~~— F. All Cost Reports shall contain the following attestation, which may be typed directly on or
24 attached to the Cost Report:~~

25 "I HEREBY CERTIFY that I have executed the accompanying Cost Report and
26 supporting documentation prepared by _____ for the cost report period
27 beginning _____ and ending _____ and that, to the best of my
knowledge and belief, costs reimbursed through this Agreement are reasonable and
allowable and directly or indirectly related to the services provided and that this Cost
Report is a true, correct, and complete statement from the books and records of
(provider name) in accordance with applicable instructions, except as noted. I also
hereby certify that I have the authority to execute the accompanying Cost Report.

Signed _____

Name _____

Title _____

Date _____"

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VII. DELEGATION, ASSIGNMENT AND SUBCONTRACTS

~~A. CONTRACTOR may not delegate the obligations hereunder, either in whole or in part, without prior written consent of COUNTY. CONTRACTOR shall provide written notification of CONTRACTOR's intent to delegate the obligations hereunder, either in whole or part, to ADMINISTRATOR not less than sixty (60) calendar days prior to the effective date of the delegation. Any attempted assignment or delegation in derogation of this paragraph shall be void.~~

~~B. CONTRACTOR agrees that if there is a change or transfer in ownership of CONTRACTOR's business prior to completion of this Agreement, and COUNTY agrees to an assignment of the Agreement, the new owners shall be required under the terms of sale or other instruments of transfer to assume CONTRACTOR's duties and obligations contained in this Agreement and complete them to the satisfaction of COUNTY. CONTRACTOR may not assign the rights hereunder, either in whole or in part, without the prior written consent of COUNTY.~~

~~1. If CONTRACTOR is a nonprofit organization, any change from a nonprofit corporation to any other corporate structure of CONTRACTOR, including a change in more than fifty percent (50%) of the composition of the Board of Directors within a two (2) month period of time, shall be deemed an assignment for purposes of this paragraph, unless CONTRACTOR is transitioning from a community clinic/health center to a Federally Qualified Health Center and has been so designated by the Federal Government. Any attempted assignment or delegation in derogation of this subparagraph shall be void.~~

~~2. If CONTRACTOR is a for profit organization, any change in the business structure, including but not limited to, the sale or transfer of more than ten percent (10%) of the assets or stocks of CONTRACTOR, change to another corporate structure, including a change to a sole proprietorship, or a change in fifty percent (50%) or more of Board of Directors or any governing body of CONTRACTOR at one time shall be deemed an assignment pursuant to this paragraph. Any attempted assignment or delegation in derogation of this subparagraph shall be void.~~

~~3. If CONTRACTOR is a governmental organization, any change to another structure, including a change in more than fifty percent (50%) of the composition of its governing body (i.e. Board of Supervisors, City Council, School Board) within a two (2) month period of time, shall be deemed an assignment for purposes of this paragraph. Any attempted assignment or delegation in derogation of this subparagraph shall be void.~~

~~4. Whether CONTRACTOR is a nonprofit, for profit, or a governmental organization, CONTRACTOR shall provide written notification of CONTRACTOR's intent to assign the obligations hereunder, either in whole or part, to ADMINISTRATOR not less than sixty (60) calendar days prior to the effective date of the assignment.~~

~~5. Whether CONTRACTOR is a nonprofit, for profit, or a governmental organization,~~

~~CONTRACTOR shall provide written notification within thirty (30) calendar days to ADMINISTRATOR when there is change of less than fifty percent (50%) of Board of Directors or any governing body of CONTRACTOR at one time.~~

~~6. COUNTY reserves the right to immediately terminate the Agreement in the event COUNTY determines, in its sole discretion, that the assignee is not qualified or is otherwise unacceptable to COUNTY for the provision of services under the Agreement.~~

~~C. CONTRACTOR's obligations undertaken pursuant to this Agreement may be carried out by means of subcontracts, provided such subcontractors are approved in advance by ADMINISTRATOR, meet the requirements of this Agreement as they relate to the service or activity under subcontract, include any provisions that ADMINISTRATOR may require, and are authorized in writing by ADMINISTRATOR prior to the beginning of service delivery.~~

~~1. After approval of the subcontractor, ADMINISTRATOR may revoke the approval of the subcontractor upon five (5) calendar days' written notice to CONTRACTOR if the subcontractor subsequently fails to meet the requirements of this Agreement or any provisions that ADMINISTRATOR has required. ADMINISTRATOR may disallow subcontractor expenses reported by CONTRACTOR.~~

~~2. No subcontract shall terminate or alter the responsibilities of CONTRACTOR to COUNTY pursuant to this Agreement.~~

~~3. ADMINISTRATOR may disallow, from payments otherwise due CONTRACTOR, amounts claimed for subcontracts not approved in accordance with this paragraph.~~

~~4. This provision shall not be applicable to service agreements usually and customarily entered into by CONTRACTOR to obtain or arrange for supplies, technical support, and professional services provided by consultants.~~

~~D. CONTRACTOR shall notify COUNTY in writing of any change in the CONTRACTOR's status with respect to name changes that do not require an assignment of the Agreement. CONTRACTOR is also obligated to notify COUNTY in writing if the CONTRACTOR becomes a party to any litigation against COUNTY, or a party to litigation that may reasonably affect the CONTRACTOR's performance under the Contract, as well as any potential conflicts of interest between CONTRACTOR and County that may arise prior to or during the period of Agreement performance. While CONTRACTOR will be required to provide this information without prompting from COUNTY any time there is a change in CONTRACTOR's name, conflict of interest or litigation status, CONTRACTOR must also provide an update to COUNTY of its status in these areas whenever requested by COUNTY.~~

VIII. EMPLOYEE ELIGIBILITY VERIFICATION

~~CONTRACTOR attests that it shall fully comply with all federal and state statutes and regulations regarding the employment of aliens and others and to ensure that employees, subcontractors, and consultants performing work under this Agreement meet the citizenship or alien status requirements set forth in federal statutes and regulations. CONTRACTOR shall obtain, from all employees,~~

1 ~~subcontractors, and consultants performing work hereunder, all verification and other documentation of~~
 2 ~~employment eligibility status required by federal or state statutes and regulations including, but not limited~~
 3 ~~to, the Immigration Reform and Control Act of 1986, 8 USC §1324 et seq., as they currently exist and as~~
 4 ~~they may be hereafter amended. CONTRACTOR shall retain all such documentation for all covered~~
 5 ~~employees, subcontractors, and consultants for the period prescribed by the law.~~

~~IX. EQUIPMENT~~

6 ~~— A. Unless otherwise specified in writing by ADMINISTRATOR, Equipment is defined as all~~
 7 ~~property of a Relatively Permanent nature with significant value, purchased in whole or in part by~~
 8 ~~ADMINISTRATOR to assist in performing the services described in this Agreement. “Relatively~~
 9 ~~Permanent” is defined as having a useful life of one (1) year or longer. Equipment which costs \$5,000 or~~
 10 ~~over, including freight charges, sales taxes, and other taxes, and installation costs are defined as Capital~~
 11 ~~Assets. Equipment which costs between \$600 and \$5,000, including freight charges, sales taxes and other~~
 12 ~~taxes, and installation costs, or electronic equipment that costs less than \$600 but may contained PHI or~~
 13 ~~PHI, are defined as Controlled Equipment. Controlled Equipment includes, but is not limited to phones,~~
 14 ~~tablets, audio/visual equipment, computer equipment, and lab equipment. The cost of Equipment~~
 15 ~~purchased, in whole or in part, with funds paid pursuant to this Agreement shall be depreciated according~~
 16 ~~to GAAP.~~

17 ~~— B. CONTRACTOR shall obtain ADMINISTRATOR’s written approval prior to purchase of any~~
 18 ~~Equipment with funds paid pursuant to this Agreement. Upon delivery of Equipment, CONTRACTOR~~
 19 ~~shall forward to ADMINISTRATOR, copies of the purchase order, receipt, and other supporting~~
 20 ~~documentation, which includes delivery date, unit price, tax, shipping and serial numbers.~~
 21 ~~CONTRACTOR shall request an applicable asset tag for said Equipment and shall include each purchased~~
 22 ~~asset in an Equipment inventory.~~

23 ~~— C. Upon ADMINISTRATOR’s prior written approval, CONTRACTOR may expense to COUNTY~~
 24 ~~the cost of the approved Equipment purchased by CONTRACTOR. To “expense,” in relation to~~
 25 ~~Equipment, means to charge the proportionate cost of Equipment in the fiscal year in which it is purchased.~~
 26 ~~Title of expensed Equipment shall be vested with COUNTY.~~

27 ~~— D. CONTRACTOR shall maintain an inventory of all Equipment purchased in whole or in part with~~
 28 ~~funds paid through this Agreement, including date of purchase, purchase price, serial number, model and~~
 29 ~~type of Equipment. Such inventory shall be available for review by ADMINISTRATOR, and shall~~
 30 ~~include the original purchase date and price, useful life, and balance of depreciated Equipment cost, if~~
 31 ~~any.~~

32 ~~— E. CONTRACTOR shall cooperate with ADMINISTRATOR in conducting periodic physical~~
 33 ~~inventories of all Equipment. Upon demand by ADMINISTRATOR, CONTRACTOR shall return any~~
 34 ~~or all Equipment to COUNTY.~~

35 ~~— F. CONTRACTOR must report any loss or theft of Equipment in accordance with the procedure~~

1 approved by ADMINISTRATOR and the Notices Paragraph of this Agreement. In addition,
2 CONTRACTOR must complete and submit to ADMINISTRATOR a notification form when items of
3 Equipment are moved from one location to another or returned to COUNTY as surplus.

4 — G. Unless this Agreement is followed without interruption by another agreement between the Parties
5 for substantially the same type and scope of services, at the termination of this Agreement for
6 any cause, CONTRACTOR shall return to COUNTY all Equipment purchased with funds paid through
7 this Agreement.

8 — H. CONTRACTOR shall maintain and administer a sound business program for ensuring the proper
9 use, maintenance, repair, protection, insurance, and preservation of COUNTY Equipment.

10 X. FACILITIES, PAYMENTS AND SERVICES

11 — A. CONTRACTOR agrees to provide the services, staffing, facilities, and supplies in accordance
12 with this Agreement. COUNTY shall compensate, and authorize, when applicable, said services.
13 CONTRACTOR shall operate continuously throughout the term of this Agreement with at least the
14 minimum number and type of staff which meet applicable federal and state requirements, and which are
15 necessary for the provision of the services hereunder.

16 — B. In the event that CONTRACTOR is unable to provide the services, staffing, facilities, or supplies
17 as required, ADMINISTRATOR may, at its sole discretion, reduce the Maximum Obligation. The
18 reduction to the Maximum Obligation shall be in an amount proportionate to the number of days in which
19 CONTRACTOR was determined to be unable to provide services, staffing, facilities or supplies.

20 XI. INDEMNIFICATION AND INSURANCE

21 — A. CONTRACTOR agrees to indemnify, defend with counsel approved in writing by COUNTY,
22 and hold COUNTY, its elected and appointed officials, officers, employees, agents and those special
23 districts and agencies for which COUNTY's Board of Supervisors acts as the governing Board
24 ("COUNTY INDEMNITEES") harmless from any claims, demands or liability of any kind or nature,
25 including but not limited to personal injury or property damage, arising from or related to the services,
26 products or other performance provided by CONTRACTOR pursuant to this Agreement. If judgment is
27 entered against CONTRACTOR and COUNTY by a court of competent jurisdiction because of the
concurrent active negligence of COUNTY or COUNTY INDEMNITEES, CONTRACTOR and
COUNTY agree that liability will be apportioned as determined by the court. Neither Party shall request
a jury apportionment.

— B. Prior to the provision of services under this Agreement, CONTRACTOR agrees to purchase all
required insurance at CONTRACTOR's expense, including all endorsements required herein, necessary
to satisfy COUNTY that the insurance provisions of this Agreement have been complied with.
CONTRACTOR agrees to keep such insurance coverage, Certificates of Insurance, and endorsements on
deposit with COUNTY during the entire term of this Agreement. In addition, all subcontractors

1 #

2 performing work on behalf of CONTRACTOR pursuant to this Agreement shall obtain insurance subject
3 to the same terms and conditions as set forth herein for CONTRACTOR.

4 ~~C. CONTRACTOR shall ensure that all subcontractors performing work on behalf of~~
5 ~~CONTRACTOR pursuant to this Agreement shall be covered under CONTRACTOR's insurance as an~~
6 ~~Additional Insured or maintain insurance subject to the same terms and conditions as set forth herein for~~
7 ~~CONTRACTOR. CONTRACTOR shall not allow subcontractors to work if subcontractors have less~~
8 ~~than the level of coverage required by COUNTY from CONTRACTOR under this Agreement. It is the~~
9 ~~obligation of CONTRACTOR to provide notice of the insurance requirements to every subcontractor and~~
10 ~~to receive proof of insurance prior to allowing any subcontractor to begin work. Such proof of insurance~~
11 ~~must be maintained by CONTRACTOR through the entirety of this Agreement for inspection by~~
12 ~~COUNTY representative(s) at any reasonable time.~~

13 ~~D. All SIRs shall be clearly stated on the COI. Any SIR in an amount in excess of fifty thousand~~
14 ~~dollars (\$50,000) shall specifically be approved by the CEO/Office of Risk Management upon review of~~
15 ~~CONTRACTOR's current audited financial report. If CONTRACTOR's SIR is approved,~~
16 ~~CONTRACTOR, in addition to, and without limitation of, any other indemnity provision(s) in this~~
17 ~~Agreement, agrees to all of the following:~~

18 ~~1. In addition to the duty to indemnify and hold the COUNTY harmless against any and all~~
19 ~~liability, claim, demand or suit resulting from CONTRACTOR's, its agents, employee's or~~
20 ~~subcontractor's performance of this Agreement, CONTRACTOR shall defend the COUNTY at its sole~~
21 ~~cost and expense with counsel approved by Board of Supervisors against same; and~~

22 ~~2. CONTRACTOR's duty to defend, as stated above, shall be absolute and irrespective of any~~
23 ~~duty to indemnify or hold harmless; and~~

24 ~~3. The provisions of California Civil Code Section 2860 shall apply to any and all actions to~~
25 ~~which the duty to defend stated above applies, and the CONTRACTOR's SIR provision shall be~~
26 ~~interpreted as though the CONTRACTOR was an insurer and the COUNTY was the insured.~~

27 ~~E. If CONTRACTOR fails to maintain insurance acceptable to the COUNTY for the full term of~~
this Agreement, the COUNTY may terminate this Agreement.

~~F. QUALIFIED INSURER~~

1. The policy or policies of insurance must be issued by an insurer with a minimum rating of A-
(Secure A.M. Best's Rating) and VIII (Financial Size Category as determined by the most current edition
of the **Best's Key Rating Guide/Property-Casualty/United States or ambest.com**). It is preferred, but
not mandatory, that the insurer be licensed to do business in the state of California (California Admitted
Carrier).

2. If the insurance carrier does not have an A.M. Best Rating of A-/VIII, the CEO/Office of
Risk Management retains the right to approve or reject a carrier after a review of the company's
performance and financial ratings.

1 #
2 ~~G. The policy or policies of insurance maintained by CONTRACTOR shall provide the minimum~~
3 ~~limits and coverage as set forth below:~~

<u>Coverage</u>	<u>Minimum Limits</u>
Commercial General Liability	\$1,000,000 per occurrence
	\$2,000,000 aggregate
Automobile Liability including coverage	\$1,000,000 per occurrence
for owned, non-owned and hired vehicles	
Workers' Compensation	Statutory
Employers' Liability Insurance	\$1,000,000 per occurrence
Sexual Misconduct Liability	\$1,000,000 per occurrence

17 ~~H. REQUIRED COVERAGE FORMS~~

- 18 ~~1. The Commercial General Liability coverage shall be written on ISO form CG 00 01, or a~~
- 19 ~~substitute form providing liability coverage at least as broad.~~
- 20 ~~2. The Business Automobile Liability coverage shall be written on ISO form CA 00 01,~~
- 21 ~~CA 00 05, CA 00 12, CA 00 20, or a substitute form providing coverage at least as broad.~~

21 ~~I. REQUIRED ENDORSEMENTS~~

- 22 ~~1. The Commercial General Liability policy shall contain the following endorsements, which~~
- 23 ~~shall accompany the COI:~~
 - 24 ~~a. An Additional Insured endorsement using ISO form CG 20 26 04 13 or a form at least as~~
 - 25 ~~broad naming the *County of Orange, its elected and appointed officials, officers, agents and employees*~~
 - 26 ~~as Additional Insureds, or provide blanket coverage, which will state **AS REQUIRED BY WRITTEN**~~
 - 27 ~~**AGREEMENT.**~~
 - 28 ~~b. A primary non-contributing endorsement using ISO form CG 20 01 04 13, or a form at~~
 - 29 ~~least as broad evidencing that the CONTRACTOR's insurance is primary and any insurance or self-~~

1 ~~insurance maintained by the County of Orange shall be excess and non-contributing.~~

2 ~~— J. All insurance policies required by this Agreement shall waive all rights of subrogation against~~
 3 ~~the County of Orange, its elected and appointed officials, officers, agents and employees when acting~~
 4 ~~within the scope of their appointment or employment.~~

5 ~~— K. The Workers' Compensation policy shall contain a waiver of subrogation endorsement waiving~~
 6 ~~all rights of subrogation against the *County of Orange, its elected and appointed officials,*~~
 7 ~~*officers, agents and employees,* or provide blanket coverage, which will state **AS REQUIRED BY**~~
 8 ~~**WRITTEN AGREEMENT.**~~

9 ~~— L. All insurance policies required by this Agreement shall waive all rights of subrogation against~~
 10 ~~the County of Orange, its elected and appointed officials, officers, agents and employees when acting~~
 11 ~~within the scope of their appointment or employment.~~

12 ~~— M. CONTRACTOR shall notify COUNTY in writing within thirty (30) days of any policy~~
 13 ~~cancellation and within ten (10) days for non-payment of premium and provide a copy of the cancellation~~
 14 ~~notice to COUNTY. Failure to provide written notice of cancellation shall constitute a breach of~~
 15 ~~CONTRACTOR's obligation hereunder and ground for COUNTY to suspend or terminate this~~
 16 ~~Agreement.~~

17 ~~— N. The Commercial General Liability policy shall contain a "severability of interests" clause also~~
 18 ~~known as a "separation of insureds" clause (standard in the ISO CG 0001 policy).~~

19 ~~— O. Insurance certificates should be forwarded to the agency/department address listed on the~~
 20 ~~solicitation.~~

21 ~~— P. If the Contractor fails to provide the insurance certificates and endorsements within seven (7)~~
 22 ~~days of notification by CEO/Purchasing or the agency/department purchasing division, award may be~~
 23 ~~made to the next qualified vendor.~~

24 ~~— Q. COUNTY expressly retains the right to require CONTRACTOR to increase or decrease insurance~~
 25 ~~of any of the above insurance types throughout the term of this Agreement. Any increase or decrease in~~
 26 ~~insurance will be as deemed by County of Orange Risk Manager as appropriate to adequately protect~~
 27 ~~COUNTY.~~

~~— R. COUNTY shall notify CONTRACTOR in writing of changes in the insurance requirements. If~~
~~CONTRACTOR does not deposit copies of acceptable Certificate of Insurance and endorsements with~~
~~COUNTY incorporating such changes within thirty (30) calendar days of receipt of such notice,~~
~~this Agreement may be in breach without further notice to CONTRACTOR, and COUNTY shall be~~
~~entitled to all legal remedies.~~

~~— S. The procuring of such required policy or policies of insurance shall not be construed to limit~~
~~CONTRACTOR's liability hereunder nor to fulfill the indemnification provisions and requirements of this~~
~~Agreement, nor act in any way to reduce the policy coverage and limits available from the insurer.~~

~~— T. SUBMISSION OF INSURANCE DOCUMENTS~~

~~— 1. The COI and endorsements shall be provided to COUNTY as follows:~~

~~1 a. Prior to the start date of this Agreement.
2 b. No later than the expiration date for each policy.
3 c. Within thirty (30) calendar days upon receipt of written notice by COUNTY regarding
4 changes to any of the insurance requirements as set forth in the Coverage Subparagraph above.~~

~~5 2. The COI and endorsements shall be provided to the COUNTY at the address as specified in
6 the Referenced Contract Provisions of this Agreement.~~

~~7 3. If CONTRACTOR fails to submit the COI and endorsements that meet the insurance
8 provisions stipulated in this Agreement by the above specified due dates, ADMINISTRATOR shall have
9 sole discretion to impose one or both of the following:~~

~~10 a. ADMINISTRATOR may withhold or delay any or all payments due CONTRACTOR
11 pursuant to any and all Agreements between COUNTY and CONTRACTOR until such time that the
12 required COI and endorsements that meet the insurance provisions stipulated in this Agreement are
13 submitted to ADMINISTRATOR.~~

~~14 b. CONTRACTOR may be assessed a penalty of one hundred dollars (\$100) for each late
15 COI or endorsement for each business day, pursuant to any and all Agreements between COUNTY and
16 CONTRACTOR, until such time that the required COI and endorsements that meet the insurance
17 provisions stipulated in this Agreement are submitted to ADMINISTRATOR.~~

~~18 c. If CONTRACTOR is assessed a late penalty, the amount shall be deducted from
19 CONTRACTOR's monthly invoice.~~

~~20 4. In no cases shall assurances by CONTRACTOR, its employees, agents, including any
21 insurance agent, be construed as adequate evidence of insurance. COUNTY will only accept valid COIs
22 and endorsements, or in the interim, an insurance binder as adequate evidence of insurance coverage.~~

~~**XII. INSPECTIONS AND AUDITS**~~

~~23 A. ADMINISTRATOR, any authorized representative of COUNTY, any authorized representative
24 of the State of California, the Secretary of the United States Department of Health and Human Services,
25 the Comptroller General of the United States, or any other of their authorized representatives, shall to the
26 extent permissible under applicable law have access to any books, documents, and records, including but
27 not limited to, financial statements, general ledgers, relevant accounting systems, medical and Client
records, of CONTRACTOR that are directly pertinent to this Agreement, for the purpose of responding
to a beneficiary complaint or conducting an audit, review, evaluation, or examination, or making
transcripts during the periods of retention set forth in the Records Management and Maintenance
Paragraph of this Agreement. Such persons may at all reasonable times inspect or otherwise evaluate the
services provided pursuant to this Agreement, and the premises in which they are provided.~~

~~B. CONTRACTOR shall actively participate and cooperate with any person specified in
Subparagraph A. above in any evaluation or monitoring of the services provided pursuant to this
Agreement, and shall provide the above mentioned persons adequate office space to conduct such~~

1 ~~evaluation or monitoring.~~

2 ~~— C. AUDIT RESPONSE~~

3 ~~1. Following an audit report, in the event of non-compliance with applicable laws and~~
 4 ~~regulations governing funds provided through this Agreement, COUNTY may terminate this Agreement~~
 5 ~~as provided for in the Termination Paragraph or direct CONTRACTOR to immediately implement~~
 6 ~~appropriate corrective action. A CAP shall be submitted to ADMINISTRATOR in writing within thirty~~
 7 ~~(30) calendar days after receiving notice from ADMINISTRATOR.~~

8 ~~2. If the audit reveals that money is payable from one Party to the other, that is, reimbursement~~
 9 ~~by CONTRACTOR to COUNTY, or payment of sums due from COUNTY to CONTRACTOR, said~~
 10 ~~funds shall be due and payable from one Party to the other within sixty (60) calendar days of receipt of~~
 11 ~~the audit results. If reimbursement is due from CONTRACTOR to COUNTY, and such reimbursement~~
 12 ~~is not received within said sixty (60) calendar days, COUNTY may, in addition to any other remedies~~
 13 ~~provided by law, reduce any amount owed CONTRACTOR by an amount not to exceed the~~
 14 ~~reimbursement due COUNTY.~~

15 ~~— D. CONTRACTOR shall retain a licensed certified public accountant, who will prepare and file with~~
 16 ~~ADMINISTRATOR, an annual, independent, organization-wide audit of related expenditures as may be~~
 17 ~~required during the term of this Agreement.~~

18 ~~— E. CONTRACTOR shall forward to ADMINISTRATOR a copy of any audit report within fourteen~~
 19 ~~(14) calendar days of receipt. Such audit shall include, but not be limited to, management, financial,~~
 20 ~~programmatic or any other type of audit of CONTRACTOR's operations, whether or not the cost of such~~
 21 ~~operation or audit is reimbursed in whole or in part through this Agreement.~~

22 ~~**XIII. LICENSES AND LAWS**~~

23 ~~— A. CONTRACTOR, its officers, agents, employees, affiliates, and subcontractors shall, throughout~~
 24 ~~the term of this Agreement, maintain all necessary licenses, permits, approvals, certificates, accreditations,~~
 25 ~~waivers, and exemptions necessary for the provision of the services hereunder and required by the laws,~~
 26 ~~regulations and requirements of the United States, the State of California, COUNTY, and all other~~
 27 ~~applicable governmental agencies. CONTRACTOR shall notify ADMINISTRATOR immediately and in~~
 writing of its inability to obtain or maintain, irrespective of the pendency of any hearings or appeals,
 permits, licenses, approvals, certificates, accreditations, waivers and exemptions. Said inability shall be
 cause for termination of this Agreement.

~~— B. ENFORCEMENT OF CHILD SUPPORT OBLIGATIONS~~

~~1. CONTRACTOR certifies it is in full compliance with all applicable federal and State~~
 reporting requirements regarding its employees and with all lawfully served Wage and Earnings
 Assignment Orders and Notices of Assignments and will continue to be in compliance throughout the
 term of the Agreement with the County of Orange. Failure to comply shall constitute a material breach of

1 the Agreement and failure to cure such breach within sixty (60) calendar days of notice from the COUNTY
2 shall constitute grounds for termination of the Agreement.

3 ~~2. CONTRACTOR agrees to furnish to ADMINISTRATOR within thirty (30) calendar days of
4 the award of this Agreement:~~

5 ~~a. In the case of an individual CONTRACTOR, his/her name, date of birth, social security
6 number, and residence address;~~

7 ~~b. In the case of a CONTRACTOR doing business in a form other than as an individual,
8 the name, date of birth, social security number, and residence address of each individual who owns an
9 interest of ten percent (10%) or more in the contracting entity;~~

10 ~~3. It is expressly understood that this data will be transmitted to governmental agencies charged
11 with the establishment and enforcement of child support orders, or as permitted by federal and/or state
12 statute.~~

13 ~~C. CONTRACTOR shall comply with all applicable governmental laws, regulations, and
14 requirements as they exist now or may be hereafter amended or changed. These laws, regulations, and
15 requirements shall include, but not be limited to, the following:~~

- 16 ~~1. ARRA of 2009.~~
- 17 ~~2. Trafficking Victims Protection Act of 2000.~~
- 18 ~~3. WIC, Division 5, Community Mental Health Services.~~
- 19 ~~4. WIC, Division 6, Admissions and Judicial Commitments.~~
- 20 ~~5. WIC, Division 7, Mental Institutions.~~
- 21 ~~6. HSC, §§1250 et seq., Health Facilities.~~
- 22 ~~7. PC, §§11164-11174.3, Child Abuse and Neglect Reporting Act.~~
- 23 ~~8. CCR, Title 9, Rehabilitative and Developmental Services.~~
- 24 ~~9. CCR, Title 17, Public Health.~~
- 25 ~~10. CCR, Title 22, Social Security.~~
- 26 ~~11. CFR, Title 42, Public Health.~~
- 27 ~~12. CFR, Title 45, Public Welfare.~~
- ~~13. USC Title 42, Public Health and Welfare.~~
- ~~14. Federal Social Security Act, Title XVIII and Title XIX Medicare and Medicaid.~~
- ~~15. 42 USC §12101 et seq., Americans with Disabilities Act of 1990.~~
- ~~16. 42 USC §1857, et seq., Clean Air Act.~~
- ~~17. 33 USC 84, §308 and §§1251 et seq., the Federal Water Pollution Control Act.~~
- ~~18. 31 USC 7501.70, Federal Single Audit Act of 1984.~~
- ~~19. Policies and procedures set forth in Mental Health Services Act.~~
- ~~20. Policies and procedures set forth in DHCS Letters.~~
- ~~21. HIPAA privacy rule, as it may exist now, or be hereafter amended, and if applicable.~~
- ~~22. 31 USC 7501-7507, as well as its implementing regulations under 2 CFR Part 200, Uniform~~

1 ~~Administrative Requirements, Cost Principles, and Audit Requirements for Federal Awards.~~

2
3 ~~**XIV. LITERATURE, ADVERTISEMENTS, AND SOCIAL MEDIA**~~

4 ~~— A. Any written information or literature, including educational or promotional materials, distributed~~
5 ~~by CONTRACTOR to any person or organization for purposes directly or indirectly related to this~~
6 ~~Agreement must be approved at least thirty (30) days in advance and in writing by ADMINISTRATOR~~
7 ~~before distribution. For the purposes of this Agreement, distribution of written materials shall include,~~
8 ~~but not be limited to, pamphlets, brochures, flyers, newspaper or magazine ads, and electronic media such~~
9 ~~as the Internet.~~

10 ~~— B. Any advertisement through radio, television broadcast, or the Internet, for educational or~~
11 ~~promotional purposes, made by CONTRACTOR for purposes directly or indirectly related to this~~
12 ~~Agreement must be approved in advance at least thirty (30) days and in writing by ADMINISTRATOR.~~

13 ~~— C. If CONTRACTOR uses social media (such as Facebook, Twitter, YouTube or other publicly~~
14 ~~available social media sites) in support of the services described within this Agreement, CONTRACTOR~~
15 ~~shall develop social media policies and procedures and have them available to ADMINISTRATOR upon~~
16 ~~reasonable notice. CONTRACTOR shall inform ADMINISTRATOR of all forms of social media used~~
17 ~~to either directly or indirectly support the services described within this Agreement. CONTRACTOR~~
18 ~~shall comply with COUNTY Social Media Use Policy and Procedures as they pertain to any social media~~
19 ~~developed in support of the services described within this Agreement. CONTRACTOR shall also include~~
20 ~~any required funding statement information on social media when required by ADMINISTRATOR.~~

21 ~~— D. Any information as described in Subparagraphs A. and B. above shall not imply endorsement by~~
22 ~~COUNTY, unless ADMINISTRATOR consents thereto in writing.~~

23 ~~**XV. MAXIMUM OBLIGATION**~~

24 ~~— A. The Total Maximum Obligation of COUNTY for services provided in accordance with this~~
25 ~~Agreement, and the separate Maximum Obligations for each period, are specified in the Referenced~~
26 ~~Contract Provisions of this Agreement, except as allowed for in Subparagraph B. below.~~

27 ~~— B. ADMINISTRATOR may amend the Maximum Obligation by an amount not to exceed ten~~
percent (10%) of the first full year of funding for this Agreement.

~~**XVI. MINIMUM WAGE LAWS**~~

~~— A. Pursuant to the United States of America Fair Labor Standards Act of 1938, as amended, and~~
State of California Labor Code, §1178.5, CONTRACTOR shall pay no less than the greater of the federal
or California Minimum Wage to all its Covered Individuals (as defined within the “Compliance”
paragraph of this Agreement) that directly or indirectly provide services pursuant to this Agreement, in
any manner whatsoever. CONTRACTOR shall require and verify that all of its Covered Individuals
providing services pursuant to this Agreement be paid no less than the greater of the federal or California

1 ~~Minimum Wage.~~

2 ~~— B. CONTRACTOR shall comply and verify that its Covered Individuals comply with all other~~
 3 ~~federal and State of California laws for minimum wage, overtime pay, record keeping, and child labor~~
 4 ~~standards pursuant to providing services pursuant to this Agreement.~~

4 #

5 ~~— C. Notwithstanding the minimum wage requirements provided for in this clause, CONTRACTOR,~~
 6 ~~where applicable, shall comply with the prevailing wage and related requirements, as provided for in~~
 7 ~~accordance with the provisions of Article 2 of Chapter 1, Part 7, Division 2 of the Labor Code of the State~~
 8 ~~of California (§§1770, et seq.), as it now exists or may hereafter be amended.~~

8 ~~**XVII. NONDISCRIMINATION**~~

9 ~~— A. EMPLOYMENT~~

10 ~~1. During the term of this Agreement, CONTRACTOR and its Covered Individuals (as defined~~
 11 ~~in the “Compliance” paragraph of this Agreement) shall not unlawfully discriminate against any employee~~
 12 ~~or applicant for employment because of his/her race, religious creed, color, national origin, ancestry,~~
 13 ~~physical disability, mental disability, medical condition, genetic information, marital status, sex, gender,~~
 14 ~~gender identity, gender expression, age, sexual orientation, or military and veteran status. Additionally,~~
 15 ~~during the term of this Agreement, CONTRACTOR and its Covered Individuals shall require in its~~
 16 ~~subcontracts that subcontractors shall not unlawfully discriminate against any employee or applicant for~~
 17 ~~employment because of his/her race, religious creed, color, national origin, ancestry, physical disability,~~
 18 ~~mental disability, medical condition, genetic information, marital status, sex, gender, gender identity,~~
 19 ~~gender expression, age, sexual orientation, or military and veteran status.~~

20 ~~2. CONTRACTOR and its Covered Individuals shall not discriminate against employees or~~
 21 ~~applicants for employment in the areas of employment, promotion, demotion or transfer; recruitment or~~
 22 ~~recruitment advertising, layoff or termination; rate of pay or other forms of compensation; and selection~~
 23 ~~for training, including apprenticeship.~~

24 ~~3. CONTRACTOR shall not discriminate between employees with spouses and employees with~~
 25 ~~domestic partners, or discriminate between domestic partners and spouses of those employees, in the~~
 26 ~~provision of benefits.~~

27 ~~4. CONTRACTOR shall post in conspicuous places, available to employees and applicants for~~
 employment, notices from ADMINISTRATOR and/or the United States Equal Employment Opportunity
 Commission setting forth the provisions of the EOC.

5. All solicitations or advertisements for employees placed by or on behalf of CONTRACTOR
 and/or subcontractor shall state that all qualified applicants will receive consideration for employment
 without regard to race, religious creed, color, national origin, ancestry, physical disability, mental
 disability, medical condition, genetic information, marital status, sex, gender, gender identity, gender
 expression, age, sexual orientation, or military and veteran status. Such requirements shall be deemed

1 ~~fulfilled by use of the term EOE.~~

2 ~~6. Each labor union or representative of workers with which CONTRACTOR and/or~~
 3 ~~subcontractor has a collective bargaining agreement or other contract or understanding must post a notice~~
 4 ~~advising the labor union or workers' representative of the commitments under this~~
 5 ~~#~~

6 ~~Nondiscrimination Paragraph and shall post copies of the notice in conspicuous places, available to~~
 7 ~~employees and applicants for employment.~~

8 ~~B. SERVICES, BENEFITS AND FACILITIES—CONTRACTOR and/or subcontractor shall not~~
 9 ~~discriminate in the provision of services, the allocation of benefits, or in the accommodation in facilities~~
 10 ~~on the basis of race, religious creed, color, national origin, ancestry, physical disability, mental disability,~~
 11 ~~medical condition, genetic information, marital status, sex, gender, gender identity, gender expression,~~
 12 ~~age, sexual orientation, or military and veteran status in accordance with Title IX of the Education~~
 13 ~~Amendments of 1972 as they relate to 20 USC §1681—§1688; Title VI of the Civil Rights Act of 1964~~
 14 ~~(42 USC §2000d); the Age Discrimination Act of 1975 (42 USC §6101); Title 9, Division 4, Chapter 6,~~
 15 ~~Article 1 (§10800, et seq.) of the CCR; and Title II of the Genetic Information Nondiscrimination Act of~~
 16 ~~2008, 42 USC 2000ff, et seq. as applicable, and all other pertinent rules and regulations promulgated~~
 17 ~~pursuant thereto, and as otherwise provided by state law and regulations, as all may now exist or be~~
 18 ~~hereafter amended or changed. For the purpose of this Nondiscrimination paragraph, discrimination~~
 19 ~~includes, but is not limited to the following based on one or more of the factors identified above:~~

20 ~~1. Denying a Client or potential Client any service, benefit, or accommodation.~~

21 ~~2. Providing any service or benefit to a Client which is different or is provided in a different~~
 22 ~~manner or at a different time from that provided to other Clients.~~

23 ~~3. Restricting a Client in any way in the enjoyment of any advantage or privilege enjoyed by~~
 24 ~~others receiving any service and/or benefit.~~

25 ~~4. Treating a Client differently from others in satisfying any admission requirement or~~
 26 ~~condition, or eligibility requirement or condition, which individuals must meet in order to be provided~~
 27 ~~any service and/or benefit.~~

28 ~~5. Assignment of times or places for the provision of services.~~

29 ~~C. COMPLAINT PROCESS—CONTRACTOR shall establish procedures for advising all Clients~~
 30 ~~through a written statement that CONTRACTOR's and/or subcontractor's Clients may file all complaints~~
 31 ~~alleging discrimination in the delivery of services with CONTRACTOR, subcontractor, and~~
 32 ~~ADMINISTRATOR.~~

33 ~~1. Whenever possible, problems shall be resolved informally and at the point of service.~~
 34 ~~CONTRACTOR shall establish an internal informal problem resolution process for Clients not able to~~
 35 ~~resolve such problems at the point of service. Clients may initiate a grievance or complaint directly with~~
 36 ~~CONTRACTOR either orally or in writing.~~

37 ~~2. Within the time limits procedurally imposed, the complainant shall be notified in writing as~~

1 to the findings regarding the alleged complaint and, if not satisfied with the decision, may file an appeal.

2 ~~— D. PERSONS WITH DISABILITIES — CONTRACTOR and/or subcontractor agree to comply with~~
 3 ~~the provisions of §504 of the Rehabilitation Act of 1973, as amended, (29 USC 794 et seq., as implemented~~
 4 ~~in 45 CFR 84.1 et seq.), and the Americans with Disabilities Act of 1990 as amended~~
 5 ~~(42 USC 12101 et seq.; as implemented in 29 CFR 1630), as applicable, pertaining to the prohibition of~~
 6 ~~discrimination against qualified persons with disabilities in all programs or activities, and if applicable,~~
 7 ~~as implemented in Title 45, CFR, §84.1 et seq., as they exist now or may be hereafter amended together~~
 8 ~~with succeeding legislation.~~

9 ~~— E. RETALIATION — Neither CONTRACTOR nor subcontractor, nor its employees or agents shall~~
 10 ~~intimidate, coerce or take adverse action against any person for the purpose of interfering with rights~~
 11 ~~secured by federal or state laws, or because such person has filed a complaint, certified, assisted or~~
 12 ~~otherwise participated in an investigation, proceeding, hearing or any other activity undertaken to enforce~~
 13 ~~rights secured by federal or state law.~~

14 ~~— F. In the event of non-compliance with this paragraph or as otherwise provided by federal and state~~
 15 ~~law, this Agreement may be canceled, terminated or suspended in whole or in part and CONTRACTOR~~
 16 ~~or subcontractor may be declared ineligible for further contracts involving federal, state or COUNTY~~
 17 ~~funds.~~

18 XVIII. NOTICES

19 ~~— A. Unless otherwise specified, all notices, claims, correspondence, reports and/or statements~~
 20 ~~authorized or required by this Agreement shall be effective:~~

21 ~~1. When written and deposited in the United States mail, first class postage prepaid and~~
 22 ~~addressed as specified in the Referenced Contract Provisions of this Agreement or as otherwise directed~~
 23 ~~by ADMINISTRATOR;~~

24 ~~2. When faxed, transmission confirmed;~~

25 ~~3. When sent by Email; or~~

26 ~~4. When accepted by U.S. Postal Service Express Mail, Federal Express, United Parcel Service,~~
 27 ~~or any other expedited delivery service.~~

~~— B. Termination Notices shall be addressed as specified in the Referenced Contract Provisions of this~~
 28 ~~Agreement or as otherwise directed by ADMINISTRATOR and shall be effective when faxed,~~
 29 ~~transmission confirmed, or when accepted by U.S. Postal Service Express Mail, Federal Express, United~~
 30 ~~Parcel Service, or any other expedited delivery service.~~

31 ~~— C. CONTRACTOR shall notify ADMINISTRATOR, in writing, within twenty four (24) hours of~~
 32 ~~becoming aware of any occurrence of a serious nature, which may expose COUNTY to liability. Such~~
 33 ~~occurrences shall include, but not be limited to, accidents, injuries, or acts of negligence, or loss or damage~~
 34 ~~to any COUNTY property in possession of CONTRACTOR.~~

35 ~~— D. For purposes of this Agreement, any notice to be provided by COUNTY may be given by~~

1 ~~ADMINISTRATOR.~~

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4 ~~**XIX. NOTIFICATION OF DEATH**~~

5 ~~— A. Upon becoming aware of the death of any person served pursuant to this Agreement, CONTRACTOR shall immediately notify ADMINISTRATOR.~~

6 ~~— B. All Notifications of Death provided to ADMINISTRATOR by CONTRACTOR shall contain the name of the deceased, the date and time of death, the nature and circumstances of the death, and the name(s) of CONTRACTOR’s officers or employees with knowledge of the incident.~~

8 ~~— 1. TELEPHONE NOTIFICATION — CONTRACTOR shall notify ADMINISTRATOR by telephone immediately upon becoming aware of the death due to non-terminal illness of any person served pursuant to this Agreement; notice need only be given during normal business hours.~~

9 ~~— 2. WRITTEN NOTIFICATION~~

10 ~~— a. NON TERMINAL ILLNESS — CONTRACTOR shall hand deliver, fax, and/or send via encrypted email to ADMINISTRATOR a written report within sixteen (16) hours after becoming aware of the death due to non-terminal illness of any person served pursuant to this Agreement.~~

11 ~~— b. TERMINAL ILLNESS — CONTRACTOR shall notify ADMINISTRATOR by written report hand delivered, faxed, sent via encrypted email, within forty-eight (48) hours of becoming aware of the death due to terminal illness of any person served pursuant to this Agreement.~~

12 ~~— c. When notification via encrypted email is not possible or practical CONTRACTOR may hand deliver or fax to a known number said notification.~~

13 ~~— C. If there are any questions regarding the cause of death of any person served pursuant to this Agreement who was diagnosed with a terminal illness, or if there are any unusual circumstances related to the death, CONTRACTOR shall immediately notify ADMINISTRATOR in accordance with this Notification of Death Paragraph.~~

14 ~~**XX. NOTIFICATION OF PUBLIC EVENTS AND MEETINGS**~~

15 ~~— A. CONTRACTOR shall notify ADMINISTRATOR of any public event or meeting funded in whole or in part by the COUNTY, except for those events or meetings that are intended solely to serve Clients or occur in the normal course of business.~~

16 ~~— B. CONTRACTOR shall notify ADMINISTRATOR at least thirty (30) business days in advance of any applicable public event or meeting. The notification must include the date, time, duration, location and purpose of the public event or meeting. Any promotional materials or event related flyers must be approved by ADMINISTRATOR prior to distribution.~~

17 ~~**XXI. RECORDS MANAGEMENT AND MAINTENANCE**~~

1 ~~— A. CONTRACTOR, its officers, agents, employees and subcontractors shall, throughout the term of~~
2 ~~this Agreement, prepare, maintain and manage records appropriate to the services provided and in~~
3 ~~accordance with this Agreement and all applicable requirements.~~

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4 ~~— B. CONTRACTOR shall ensure appropriate financial records related to cost reporting, expenditure,~~
5 ~~revenue, billings, etc., are prepared and maintained accurately and appropriately.~~

6 ~~— C. CONTRACTOR shall ensure all appropriate state and federal standards of documentation,~~
7 ~~preparation, and confidentiality of records related to Client records are met at all times.~~

8 ~~— D. CONTRACTOR shall retain all financial records for a minimum of seven (7) years from the~~
9 ~~commencement of the contract, unless a longer period is required due to legal proceedings such as~~
10 ~~litigations and/or settlement of claims.~~

11 ~~— E. CONTRACTOR shall make records pertaining to the costs of services, Client fees, charges,~~
12 ~~billings, and revenues available at one (1) location within the limits of the County of Orange.~~

13 ~~— F. If CONTRACTOR is unable to meet the record location criteria above, ADMINISTRATOR may~~
14 ~~provide written approval to CONTRACTOR to maintain records in a single location, identified by~~
15 ~~CONTRACTOR.~~

16 ~~— G. CONTRACTOR may be required to retain all records involving litigation proceedings and~~
17 ~~settlement of claims for a longer term as directed by ADMINISTRATOR.~~

18 ~~— H. CONTRACTOR shall notify ADMINISTRATOR of any PRA requests related to, or arising out~~
19 ~~of, this Agreement, within forty eight (48) hours. CONTRACTOR shall provide ADMINISTRATOR all~~
20 ~~information that is requested by the PRA request.~~

21 **XXII. RESEARCH AND PUBLICATION**

22 ~~— CONTRACTOR shall not utilize information and/or data received from COUNTY, or arising out of,~~
23 ~~or developed, as a result of this Agreement for the purpose of personal or professional research, or for~~
24 ~~publication.~~

25 **XXIII. SEVERABILITY**

26 ~~— If a court of competent jurisdiction declares any provision of this Agreement or application thereof to~~
27 ~~any person or circumstances to be invalid or if any provision of this Agreement contravenes any federal,~~
28 ~~state or county statute, ordinance, or regulation, the remaining provisions of this Agreement or the~~
29 ~~application thereof shall remain valid, and the remaining provisions of this Agreement shall remain in full~~
30 ~~force and effect, and to that extent the provisions of this Agreement are severable.~~

31 **XXIV. SPECIAL PROVISIONS**

32 ~~— A. CONTRACTOR shall not use the funds provided by means of this Agreement for the following~~
33 ~~purposes:~~

- ~~1. Making cash payments to intended recipients of services through this Agreement.~~
- ~~2. Lobbying any governmental agency or official. CONTRACTOR shall file all certifications and reports in compliance with this requirement pursuant to Title 31, USC, §1352 (e.g., limitation on use of appropriated funds to influence certain federal contracting and financial transactions).~~
- ~~3. Fundraising.~~
- ~~4. Purchase of gifts, meals, entertainment, awards, or other personal expenses for CONTRACTOR's staff, volunteers, interns, consultants, subcontractors, and members of the Board of Directors or governing body.~~
- ~~5. Reimbursement of CONTRACTOR's members of the Board of Directors or governing body for expenses or services.~~
- ~~6. Making personal loans to CONTRACTOR's staff, volunteers, interns, consultants, subcontractors, and members of the Board of Directors or governing body, or its designee or authorized agent, or making salary advances or giving bonuses to CONTRACTOR's staff.~~
- ~~7. Paying an individual salary or compensation for services at a rate in excess of the current Level I of the Executive Salary Schedule as published by the OPM. The OPM Executive Salary Schedule may be found at www.opm.gov.~~
- ~~8. Severance pay for separating employees.~~
- ~~9. Paying rent and/or lease costs for a facility prior to the facility meeting all required building codes and obtaining all necessary building permits for any associated construction.~~
- ~~10. Supplanting current funding for existing services.~~
- ~~B. Unless otherwise specified in advance and in writing by ADMINISTRATOR, CONTRACTOR shall not use the funds provided by means of this Agreement for the following purposes:~~
 - ~~1. Funding travel or training (excluding mileage or parking).~~
 - ~~2. Making phone calls outside of the local area unless documented to be directly for the purpose of Client care.~~
 - ~~3. Payment for grant writing, consultants, certified public accounting, or legal services.~~
 - ~~4. Purchase of artwork or other items that are for decorative purposes and do not directly contribute to the quality of services to be provided pursuant to this Agreement.~~
 - ~~5. Purchasing or improving land, including constructing or permanently improving any building or facility, except for tenant improvements.~~
 - ~~6. Providing inpatient hospital services or purchasing major medical equipment.~~
 - ~~7. Satisfying any expenditure of non-federal funds as a condition for the receipt of federal funds (matching).~~
 - ~~8. Purchase of gifts, meals, entertainment, awards, or other personal expenses for CONTRACTOR's Clients.~~

XXV. STATUS OF CONTRACTOR

1 ~~CONTRACTOR is, and shall at all times be deemed to be, an independent contractor and shall be~~
 2 ~~wholly responsible for the manner in which it performs the services required of it by the terms of this~~
 3 ~~Agreement. CONTRACTOR is entirely responsible for compensating staff, subcontractors, and~~
 4 ~~consultants employed by CONTRACTOR. This Agreement shall not be construed as creating the~~
 5 ~~relationship of employer and employee, or principal and agent, between COUNTY and CONTRACTOR~~
 6 ~~or any of CONTRACTOR's employees, agents, consultants, volunteers, interns, or subcontractors.~~
 7 ~~CONTRACTOR assumes exclusively the responsibility for the acts of its employees, agents, consultants,~~
 8 ~~volunteers, interns, or subcontractors as they relate to the services to be provided during the course and~~
 9 ~~scope of their employment. CONTRACTOR, its agents, employees, consultants, volunteers, interns, or~~
 10 ~~subcontractors, shall not be entitled to any rights or privileges of COUNTY's employees and shall not be~~
 11 ~~considered in any manner to be COUNTY's employees.~~

10 ~~XXVI. TERM~~

11 ~~A. The term of this Agreement shall commence as specified in the Referenced Contract Provisions~~
 12 ~~of this Agreement or the execution date, whichever is later. This Agreement shall terminate as specified~~
 13 ~~in the Referenced Contract Provisions of this Agreement unless otherwise sooner terminated as provided~~
 14 ~~in this Agreement. CONTRACTOR shall be obligated to perform such duties as would normally extend~~
 15 ~~beyond this term, including but not limited to, obligations with respect to confidentiality, indemnification,~~
 16 ~~audits, reporting, and accounting.~~

17 ~~B. Any administrative duty or obligation to be performed pursuant to this Agreement on a weekend~~
 18 ~~or holiday may be performed on the next regular business day.~~

17 ~~XXVII. TERMINATION~~

18 ~~A. CONTRACTOR shall be responsible for meeting all programmatic and administrative contracted~~
 19 ~~objectives and requirements as indicated in this Agreement. CONTRACTOR shall be subject to the~~
 20 ~~issuance of a CAP for the failure to perform to the level of contracted objectives, continuing to not meet~~
 21 ~~goals and expectations, and/or for non-compliance. If CAPs are not completed within timeframe as~~
 22 ~~determined by ADMINISTRATOR notice, payments may be reduced or withheld until CAP is resolved~~
 23 ~~and/or the Agreement could be terminated.~~

24 ~~B. COUNTY may terminate this Agreement immediately, upon written notice, on the occurrence of~~
 25 ~~any of the following events:~~

26 ~~1. The loss by CONTRACTOR of legal capacity.~~

27 ~~2. Cessation of services.~~

~~3. The delegation or assignment of CONTRACTOR's services, operation or administration to~~
 another entity without the prior written consent of COUNTY.

~~4. The neglect by any physician or licensed person employed by CONTRACTOR of any duty~~
 required pursuant to this Agreement.

~~5. The loss of accreditation or any license required by the Licenses and Laws Paragraph of this Agreement.~~

~~6. The continued incapacity of any physician or licensed person to perform duties required pursuant to this Agreement.~~

~~7. Unethical conduct or malpractice by any physician or licensed person providing services pursuant to this Agreement; provided, however, COUNTY may waive this option if CONTRACTOR removes such physician or licensed person from serving persons treated or assisted pursuant to this Agreement.~~

~~C. CONTINGENT FUNDING~~

~~1. Any obligation of COUNTY under this Agreement is contingent upon the following:~~

~~a. The continued availability of federal, state and county funds for reimbursement of COUNTY's expenditures, and~~

~~b. Inclusion of sufficient funding for the services hereunder in the applicable budget(s) approved by the Board of Supervisors.~~

~~2. In the event such funding is subsequently reduced or terminated, COUNTY may suspend, terminate or renegotiate this Agreement upon thirty (30) calendar days' written notice given CONTRACTOR. If COUNTY elects to renegotiate this Agreement due to reduced or terminated funding, CONTRACTOR shall not be obligated to accept the renegotiated terms.~~

~~D. In the event this Agreement is suspended or terminated prior to the completion of the term as specified in the Referenced Contract Provisions of this Agreement, ADMINISTRATOR may, at its sole discretion, reduce the Not To Exceed Amount of this Agreement to be consistent with the reduced term of the Agreement.~~

~~E. In the event this Agreement is terminated CONTRACTOR shall do the following:~~

~~1. Comply with termination instructions provided by ADMINISTRATOR in a manner which is consistent with recognized standards of quality care and prudent business practice.~~

~~2. Obtain immediate clarification from ADMINISTRATOR of any unsettled issues of contract performance during the remaining contract term.~~

~~3. Until the date of termination, continue to provide the same level of service required by this Agreement.~~

~~4. If Clients are to be transferred to another facility for services, furnish ADMINISTRATOR, upon request, all Client information and records deemed necessary by ADMINISTRATOR to effect an orderly transfer.~~

~~5. Assist ADMINISTRATOR in effecting the transfer of Clients in a manner consistent with Client's best interests.~~

~~6. If records are to be transferred to COUNTY, pack and label such records in accordance with directions provided by ADMINISTRATOR.~~

~~7. Return to COUNTY, in the manner indicated by ADMINISTRATOR, any equipment and~~

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~~IN WITNESS WHEREOF, the Parties have executed this Agreement, in the County of Orange, State of California.~~

~~THE LAGUNA PLAYHOUSE~~

~~BY: _____ DATED: _____~~

~~TITLE: _____~~

~~COUNTY OF ORANGE~~

~~BY: _____ DATED: _____~~

~~HEALTH CARE AGENCY~~

4. Exhibit A, Paragraph II. Budget, subparagraph A. of the Contract is deleted in its entirety and replaced with the following:

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=

~~APPROVED AS TO FORM
OFFICE OF THE COUNTY COUNSEL
ORANGE COUNTY, CALIFORNIA~~

~~BY: _____ DATED: _____~~

~~DEPUTY~~

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~~If the contracting party is a corporation, two (2) signatures are required: one (1) signature by the Chairman of the Board, the President or any Vice President; and one (1) signature by the Secretary, any Assistant Secretary, the Chief Financial Officer or any Assistant Treasurer. If the contract is signed by one (1) authorized individual only, a copy of the corporate resolution or by laws whereby the Board of Directors has empowered said authorized individual to act on its behalf by his or her signature alone is required by ADMINISTRATOR.~~

~~EXHIBIT A~~
~~AGREEMENT FOR PROVISION OF~~
~~TRANSITIONAL AGE YOUTH AND YOUNG ADULT~~
~~MENTAL HEALTH OUTREACH SERVICES~~
~~BETWEEN~~
~~COUNTY OF ORANGE~~
~~AND~~
~~THE LAGUNA PLAYHOUSE~~
~~DECEMBER 15, 2019 THROUGH JUNE 30, 2022~~

~~**I. COMMON TERMS AND DEFINITIONS**~~

~~A. The parties agree to the following terms and definitions, and to those terms and definitions which, for convenience, are set forth elsewhere in the Agreement.~~

~~1. Activity Form means a data collection form used to track each activity in which the group and/or individual participate.~~

~~2. Admission means completion of the entry and/or intake process for program Participants.~~

~~3. Assessment means a professional review and evaluation of an individual’s behavioral health needs and conditions in order to determine the most appropriate course of services.~~

~~4. At Risk means a state of high stressor and low protective factor that would increase likelihood of development of a mental illness.~~

~~5. Behavioral Health Condition means diminished cognitive, emotional, or social abilities, but not to the extent that the criteria for a mental disorder are met.~~

~~6. Case Management means the delivery of individual guidance and support services. Case management services include; but are not limited to, referrals and linkages to needed services such as; resources, coaching, and assistance with translation and transportation.~~

~~7. Case Management Service Plan means a plan developed to address a participant’s goals and objectives identified during screening and assessment. The plan should include participant developed goals, referrals and linkages to appropriate services, and progress and/or milestones achieved.~~

~~8. Community Defined Evidence “validates practices that have a community defined evidence base for effectiveness in achieving mental health outcomes for underserved communities. It also defines a process underway to nationally develop specific criteria by which practices’ effectiveness may be documented using community defined evidence that eventually will allow the procedure to have an equal standing with evidence based practices currently defined in the peer reviewed literature.” [National Network to Eliminate Disparities Latino Work Group] cited by California DMH, PEI Resource Materials.~~

~~9. Consumer means an individual who is utilizing services for the treatment and/or support of a mental health condition.~~

1 ~~10. Early Intervention means the act of intervening, interfering or interceding at the manifestation~~
 2 ~~of a Behavioral Health Condition, with the intent of measurably improving the condition or to prevent a~~
 3 ~~Behavioral Health Condition from getting worse.~~

4 ~~11. Engagement means the process by which a trusting relationship between a worker and~~
 5 ~~Participant is established with the goal to link the Participant to appropriate services.~~

6 ~~12. Enrollment means the data entry of Participant's information in the program's Prevention and~~
 7 ~~Intervention Database for purposes of record keeping, quality improvement, and outcome evaluation.~~

8 ~~13. Evaluation means the systematic investigation of the value and impact of an intervention or~~
 9 ~~program.~~

10 ~~14. Evidence-based Practice means the range of treatment and services of well-documented~~
 11 ~~effectiveness. An evidence-based practice has quantitative and qualitative data showing positive~~
 12 ~~outcomes and has been subject to expert/peer review that has determined that a particular approach or~~
 13 ~~strategy has a significant level of evidence of effectiveness.~~

14 ~~15. Family Member means any traditional and/or non-traditional support system, significant~~
 15 ~~other, or natural support designated by the Participant.~~

16 ~~16. Follow-up means ensuring that the Participants have been linked to the referred service and/or~~
 17 ~~successfully transitioned from one service to another.~~

18 ~~17. Group Intervention means the delivery of services to more than one individual or family.~~

19 ~~18. Individual Intervention means any strategies or services rendered to a participant on a person-~~
 20 ~~to-person level. Examples include, but are not limited to; education, case management, short term~~
 21 ~~therapy and life coaching to address individualized goals and objectives.~~

22 ~~19. Information Dissemination means the distribution of a collection of facts or data.~~

23 ~~20. Intake means the initial meeting between a Participant and a worker to evaluate a Participant's~~
 24 ~~issue(s) of concern and determine how a program could best meet his/her needs.~~

25 ~~21. Level of Well-being means the state of satisfaction, happiness, and/or in-control that a~~
 26 ~~Participant feels about his/her present situation/condition as measured by a validated instrument/scale.~~

27 ~~22. Linkage means when an individual is connected to programs or services through warm hand-~~
 28 ~~off or follow up to ensure the connection is made.~~

29 ~~23. Media Events means culturally relevant activities conducted by CONTRACTOR which are~~
 30 ~~coordinated with and publicized by the media, including radio and TV appearances.~~

31 ~~24. MHSA means the law that provides funding for expanded community mental health services,~~
 32 ~~also known as Proposition 63.~~

33 ~~25. NPP means a document that notifies individuals of uses and disclosures of PHI that may be~~
 34 ~~made by or on behalf of the health plan or health care provider as set forth in the HIPAA of 1996.~~

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37 ~~26. Outreach means contact with potential participants to link them to appropriate mental health~~

1 and supportive services; which may include activities to educate the community about services offered
2 and requirements for participation in the program.

3 ~~27. Participant means an individual enrolled in a program who engages in activities aimed at
preventing and/or eliminating the development of Behavioral Health Condition.~~

4 ~~28. PII means any information that could be readily used to identify a specific person, including
5 but not limited to: name, address, telephone number, email address, driver's license number, Social
6 Security number, bank account information, credit card information, or any combination of data that could
be used to identify a specific person, such as birth date, zip code, mother's maiden name and gender.~~

7 ~~29. Prevention means the group or individual interventions that occur before the initial onset of
8 a Behavioral Health Condition. Prevention promotes positive cognitive, social, and emotional
9 development and encourages a state of well-being that allows the individuals to function well in the face
of changing and sometimes challenging circumstances.~~

10 ~~30. PEI Plan means the most recent County of Orange MHSA Prevention and Early Intervention
Plan approved by the Orange County MHSA Steering Committee and Board of Supervisors.~~

11 ~~31. Program Protocol means the written program description, goals, objectives, and policies
12 established by CONTRACTOR for the program provided pursuant to this Agreement.~~

13 ~~32. Promising Practice means programs and strategies that have some quantitative data showing
14 positive outcomes over a period of time, but do not have enough research or replication to support
15 generalized outcomes. It has an evaluation component/plan in place to move towards demonstration of
effectiveness; however, it does not yet have evaluation data available to demonstrate positive outcomes.
16 [The Association of Maternal and Child Health Programs] cited by California DMH, PEI Resource
Materials.~~

17 ~~33. PHI means individually identifiable health information usually transmitted by electronic
18 media maintained in any medium as defined in the regulations or for an entity, such as a health plan,
transmitted or maintained in any other medium. It is created or received by a covered entity and relates
19 to the past, present, or future physical or Behavioral Health Condition of an individual, provision of health
20 care to an individual, or the past, present, or future payment for health care provided to an individual.~~

21 ~~34. Referral means an individual receives information or contacts for services or programs, or an
unsuccessful Linkage attempt.~~

22 ~~35. Short term individual counseling to Participants means an interpersonal, theory based
23 process of helping persons who are basically psychologically healthy, resolve developmental and
24 situational problems. Counseling activities are guided by ethical and legal standards and go through
25 distinct stages from initiation to termination. Counseling will be provided by professionally trained
26 counselors or interns under supervised practice to individuals who exhibit early signs and symptoms of
emotional and behavioral issues that without intervention could develop into full blown mental disorders.~~

27 ~~36. Training means the action or method used to transfer skills and/or knowledge to a target
audience.~~

~~37. Triage means a process that constitutes of sorting individuals on a services continuum that is based on an established level of risk and need based on screening of Participants.~~

~~38. Unduplicated Participant means an individual who is counted only once, despite how many programs the individual is enrolled in during a contractual agreement period. For example, if a Participant receives individual and group services, they can only be counted once.~~

~~39. Units of Service means the number and/or type of activities the CONTRACTOR will fulfill during a contractual agreement period.~~

~~B. CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify the Common Terms and Definitions Paragraph of this Exhibit A to the Agreement.~~

H. BUDGET

-A. COUNTY shall pay CONTRACTOR in accordance with the Payments Paragraph in this Exhibit A to the Agreement and the following budgets, which are set forth for informational purposes only and may be adjusted by mutual agreement, in writing, by ADMINISTRATOR and CONTRACTOR.

		<u>PE</u>	<u>P</u>	<u>TO</u>
		<u>RI</u>	<u>E</u>	<u>TA</u>
	<u>PERIOD</u>	<u>OD</u>	<u>RI</u>	<u>L</u>
	<u>ONE</u>	<u>TW</u>	<u>Q</u>	
	<u>FOUR</u>	<u>Q</u>	<u>D</u>	
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			<u>H</u>	
			<u>R</u>	
			<u>E</u>	
			<u>E</u>	
ADMINISTRATIVE COSTS	<u>COST</u>			
Salaries	\$ 3,318	\$	\$ 15,568	
		6,12		
		5		
Benefits	995	\$	4,671	
	<u>1,838</u>	<u>1,83</u>		
		<u>8</u>		

1	SUBTOTAL	\$	\$	\$	7,963	\$ 20,239
2	ADMINISTRATIV	4,3	7,9			
3	E COSTS <u>COST</u>	13	63			
4	PROGRAM COSTS <u>COST</u>					
5	Salaries	\$ 48,140		\$	\$	\$ 225,890
6				88,875	88,8	
7	Benefits	14,442		26,662	26,6	67,766
8					62	
9	Services and	39,813			73,5	186,813
10	Supplies			<u>73,500</u>	<u>00</u>	
11	One time start up costs			<u>12,975</u>	<u>0</u>	<u>0</u> <u>12,</u>
12						<u>975</u>
13	SUBTOTAL	\$ 115,370		\$	\$	\$ 493,444
14	PROGRAM			189,037	189,	
15	COSTS <u>COST</u>				037	
16		\$ 119,683		\$	\$	\$ 513,683
17	TOTAL GROSS			<u>\$197,000</u>	197,	
18	COSTS <u>COST</u>				000	
19	REVENUE					
20	DONATIONS	\$ 9,479		\$	\$	\$ 44,479
21	<u>Donations</u>			17,500	17,5	
22					00	
23	MHSA	<u>110,204</u>		<u>179,500</u>	<u>179,</u>	<u>469,204</u>
24					<u>500</u>	
25	TOTAL REVENUE	\$ 119,683		\$	\$	\$ 513,683
26				197,000	197,	
27					000	

1	TOTAL	\$ 110,204	== \$	\$	\$ 469,204
2	MAXIMUM		179,500"	179,	
3	<u>OBLIGATION</u>			500	
4	<u>OUNT NOT TO</u>				
5	<u>EXCEED</u>				

6 ~~B. BUDGET/STAFFING MODIFICATIONS~~ ~~CONTRACTOR~~ may request to shift funds
7 between budgeted line items for the purpose of meeting specific program needs or for providing continuity
8 of care to its Participants, by utilizing a Budget/Staffing Modification Request form provided by
9 ADMINISTRATOR. ~~CONTRACTOR~~ shall submit a properly completed Budget/Staffing Modification
10 Request to ADMINISTRATOR for consideration, in advance, which shall include a justification narrative
11 specifying the purpose of the request, the amount of said funds to be shifted, and the sustaining annual
12 impact of the shift as may be applicable to the current contract period and/or future contract periods.
13 ~~CONTRACTOR~~ shall obtain written approval of any Budget/Staffing Modification Request(s) from
14 ADMINISTRATOR prior to implementation by ~~CONTRACTOR~~. Failure of ~~CONTRACTOR~~ to obtain
15 written approval from ADMINISTRATOR for any proposed Budget/Staffing Modification Request(s)
16 may result in disallowance of those costs.

17 ~~C. FINANCIAL RECORDS~~ ~~CONTRACTOR~~ shall prepare and maintain accurate and complete
18 financial records of its cost and operating expenses. Such records will reflect the actual cost of the type
19 of service for which payment is claimed. Any apportionment of or distribution of costs, including indirect
20 costs, to or between programs or cost centers of ~~CONTRACTOR~~ shall be documented, and will be made
21 in accordance with GAAP.

22 ~~D. CONTRACTOR~~ shall provide effective Administrative management of the budget, staffing,
23 recording, and reporting portion of the Agreement with the COUNTY. If administrative responsibilities
24 are delegated to subcontractors, ~~CONTRACTOR~~ must ensure that any subcontractor(s) possess the
25 qualifications and capacity to perform all delegated responsibilities. These responsibilities include, but
26 are not limited, to the following:

27 ~~1. Designate the responsible position(s) in your organization for managing the funds allocated
to the program;~~

~~2. Maximize the use of the allocated funds;~~

~~3. Ensure timely and accurate reporting of monthly expenditures;~~

~~4. Maintain appropriate staffing levels;~~

~~5. Request budget and/or staffing modifications to the Agreement;~~

~~6. Effectively communicate and monitor the program for its success;~~

~~7. Track and report expenditures electronically;~~

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~~8. Maintain electronic and telephone communication between CONTRACTOR and~~

1 ~~ADMINISTRATOR; and~~

2 ~~9. Act quickly to identify and solve problems.~~

3 ~~E. CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify the Budget~~
4 ~~Paragraph of this Exhibit A to the Agreement.~~

5 ~~**III. PAYMENTS**~~

6 ~~1. Exhibit A, Paragraph III. Payments, subparagraph A. (but not including subparagraphs A.1,~~
7 ~~A.2 and A.3) of the Contract is deleted in its entirety and replaced with the following:~~

8 ~~“A. COUNTY shall pay CONTRACTOR monthly, in arrears, the provisional amounts of~~
9 ~~\$16,954 per month for period one and amount of \$14,958 per month for periods two and~~
10 ~~three. Period Four, as specified in the Referenced Contract Provisions of the Agreement. All~~
11 ~~payments are interim payments only, and subject to Final Settlement final settlement in accordance~~
12 ~~with the Cost Report Paragraph of the Agreement for which CONTRACTOR shall be reimbursed~~
13 ~~for the actual cost of providing the services hereunder; provided, however, the total of such~~
14 ~~payments does not exceed COUNTY’s Maximum Obligation as specified in the Referenced~~
15 ~~Contract Provisions of the Agreement and, provided further, CONTRACTOR’s costs are~~
16 ~~reimbursable pursuant to COUNTY, state, and/or federal regulations. ADMINISTRATOR may, at~~
17 ~~its discretion, pay supplemental invoices for any month for which the provisional amount specified~~
18 ~~above has not been fully paid.”~~

19 ~~1. In support of the monthly invoice, CONTRACTOR shall submit an Expenditure and Revenue~~
20 ~~Report as specified in the Reports Paragraph of this Exhibit A to the Agreement.~~
21 ~~ADMINISTRATOR shall use the Expenditure and Revenue Report to determine payment to~~
22 ~~CONTRACTOR as specified in Subparagraphs A.2. and A.3., below.~~

23 ~~2. If, at any time, CONTRACTOR’s Expenditure and Revenue Reports indicate that the~~
24 ~~provisional amount payments exceed the actual cost of providing services, ADMINISTRATOR may~~
25 ~~reduce payments to CONTRACTOR by an amount not to exceed the difference between the~~
26 ~~year to date provisional amount payments to CONTRACTOR’s and the year to date actual cost incurred~~
27 ~~by CONTRACTOR.~~

~~3. If, at any time, CONTRACTOR’s Expenditure and Revenue Reports indicate that the provisional~~
~~amount payments are less than the actual cost of providing services, ADMINISTRATOR may authorize~~
~~an increase in the provisional amount payment to CONTRACTOR by an amount not to exceed the~~
~~difference between the year to date provisional amount payments to CONTRACTOR and the year to~~
~~date actual cost incurred by CONTRACTOR.~~

~~B. CONTRACTOR’s invoices shall be on a form approved or supplied by ADMINISTRATOR and~~
~~provide such information as is required by ADMINISTRATOR. Invoices are due the tenth (10th) day of~~
~~each month. Invoices received after the due date may not be paid within the same month.~~
~~Payments to CONTRACTOR should be released by COUNTY no later than thirty (30) calendar days after~~
~~receipt of the correctly completed invoice.~~

~~#~~

~~C. All invoices to COUNTY shall be supported at CONTRACTOR’s facility, by source~~

1 ~~documentation including, but not limited to, ledgers, journals, time sheets, invoices, bank statements,~~
2 ~~canceled checks, receipts, receiving records, and records of services provided.~~

3 ~~— D. ADMINISTRATOR may withhold or delay any payment if CONTRACTOR fails to comply with~~
4 ~~any provision of the Agreement.~~

5 ~~— E. COUNTY shall not reimburse CONTRACTOR for services provided beyond the expiration~~
6 ~~and/or termination of the Agreement, except as may otherwise be provided under the Agreement, or~~
7 ~~specifically agreed upon in a subsequent Agreement.~~

8 ~~— F. CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify the~~
9 ~~Payments Paragraph of this Exhibit A to the Agreement.~~

10 **IV. REPORTS**

11 ~~— A. FISCAL~~

12 ~~1. CONTRACTOR shall submit monthly Expenditure and Revenue Reports to~~
13 ~~ADMINISTRATOR. These reports shall be on a form acceptable to, or provided by, ADMINISTRATOR~~
14 ~~and shall report actual costs and revenues for CONTRACTOR's program described in the Services~~
15 ~~Paragraph of this Exhibit A to the Agreement. Any changes, modifications, or deviations to any approved~~
16 ~~budget line item must be approved in advance and in writing by ADMINISTRATOR and annotated on~~
17 ~~the monthly Expenditure and Revenue Report, or said cost~~
18 ~~deviations may be subject to disallowance. Such reports shall be received by ADMINISTRATOR no~~
19 ~~later than twenty (20) calendar days following the end of the month being reported.~~

20 ~~2. CONTRACTOR shall submit Year End Projection Reports to ADMINISTRATOR. These~~
21 ~~reports shall be on a form acceptable to, or provided by, ADMINISTRATOR and shall report anticipated~~
22 ~~year end actual costs and revenues for CONTRACTOR's program described in the Services Paragraph of~~
23 ~~this Exhibit A to the Agreement. Such reports shall include actual monthly costs and revenue to date and~~
24 ~~anticipated monthly costs and revenue to the end of the fiscal year, and shall include a projection narrative~~
25 ~~justifying the year end projections. Year End Projection Reports shall be submitted in conjunction with~~
26 ~~the Monthly Expenditure and Revenue Reports.~~

27 ~~— B. STAFFING REPORT~~

~~1. CONTRACTOR shall submit monthly Staffing Reports to ADMINISTRATOR.~~
~~CONTRACTOR's reports shall contain required information, and be on a form acceptable to, or provided~~
~~by ADMINISTRATOR. CONTRACTOR shall submit these reports no later than twenty (20) calendar~~
~~days following the end of the month being reported.~~

~~— C. PROGRAMMATIC~~

~~1. CONTRACTOR shall submit monthly Programmatic Reports to ADMINISTRATOR. These~~
~~reports shall be in a format approved by ADMINISTRATOR and shall include but not be limited to,~~
~~description(s) of any performance objectives, outcomes, and or interim findings as directed by~~
~~ADMINISTRATOR. CONTRACTOR shall be prepared to present and discuss the programmatic reports~~

1 at the monthly meetings with ADMINISTRATOR, to include whether or not CONTRACTOR is
2 progressing satisfactorily and if not, specify what steps are being taken to achieve satisfactory progress.
3 ADMINISTRATOR may request programmatic reports for milestones in addition to those specified.
4 Such reports shall be received by ADMINISTRATOR no later than twenty (20) calendar days following
the end of the month being reported.

5 ~~— D. ADDITIONAL REPORTS~~

6 ~~1. Upon ADMINISTRATOR’s request, CONTRACTOR shall make such additional reports as
7 required by ADMINISTRATOR concerning CONTRACTOR’s activities as they affect the services
8 hereunder. ADMINISTRATOR shall be specific as to the nature of information requested and allow thirty
9 (30) calendar days for CONTRACTOR to respond.~~

10 ~~— E. CONTRACTOR shall advise ADMINISTRATOR of any special incidents, conditions, or issues
11 that adversely affect the quality or accessibility of services provided by, or under contract with, the
12 COUNTY as identified in the Health Care Agency’s policy and procedures.~~

13 ~~— F. CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify the Reports
14 Paragraph of this Exhibit A to the Agreement.~~

15 ~~**V. SERVICES**~~

16 ~~— A. FACILITIES~~

17 ~~1. CONTRACTOR shall maintain facility/(ies) for the provision of Transitional Age Youth
18 (TAY) Mental Health and Young Adults Mental Health Outreach services to the TAY and young adult
19 (young adult) population described herein at the following location(s), or any other location approved, in
20 advance, in writing, by ADMINISTRATOR. The facility shall include space to support the services
21 identified within the Agreement.~~

22 The Laguna Playhouse
23 606 Laguna Canyon Road
24 Laguna Beach, Ca. 92651

25 ~~2. CONTRACTOR shall maintain regularly scheduled service hours, Monday through Friday
26 10:00 a.m. — 6:00 p.m. throughout the year. Youth Theatre performances followed by panel discussions
27 shall be Monday through Sunday from 9:00 a.m. through 10:00 p.m. depending on the time of the
performance. CONTRACTOR’s holiday schedule shall be consistent with COUNTY’s holiday schedule
unless otherwise approved in writing by ADMINISTRATOR.~~

28 ~~— B. MENTAL HEALTH OUTREACH SERVICES~~

29 ~~1. CONTRACTOR shall provide Mental Health Outreach Services to TAY and young adults
30 who are between the ages of sixteen (16) and (twenty five) 25 years of age in all Orange County.
31 Specifically, services shall be targeted to reach at risk and underserved TAY and young adults who are in~~

1 ~~the colleges and universities as well as those TAY and young adult who are not part of traditional~~
 2 ~~educational institutions and may be particularly hard to reach; these may include but not be limited to: at~~
 3 ~~risk transitional age youth and young adult foster youth, participants from social services or juvenile~~
 4 ~~justice system; veterans; monolingual non-English speakers; recent immigrants; refugees; homeless~~
 5 ~~individuals; deaf and hard of hearing individuals; lesbian, gay, bisexual and transgender, questioning, and~~
 6 ~~intersex (LGBTQI).~~

7 ~~2. CONTRACTOR shall provide community mental health outreach services to increase help~~
 8 ~~seeking behaviors, promote awareness about mental health issues, increase access to resources, build~~
 9 ~~resiliency and protective factors; and reduce risk factors, stressors and stigma related to mental illness;~~
 10 ~~and increase support through, information and resources in the community. Services will provide access~~
 11 ~~to education and awareness regarding mental health issues.~~

12 ~~3. PEER COMPONENT CONTRACTOR shall ensure that service provisions at all levels,~~
 13 ~~including Theater Hope outreach, the Life Stories program and New Generation play performances and~~
 14 ~~panel discussions, shall include a peer component. CONTRACTOR shall identify and train peers who~~
 15 ~~will actively outreach and engage program Participants.~~

16 ~~4. Mental health outreach services and activities shall raise awareness and educate the TAY and~~
 17 ~~young adults regarding mental health issues and available services and resources. Services will focus on~~
 18 ~~improving help-seeking behaviors, increase access to resources that prevent the development of mental~~
 19 ~~health conditions or prevent conditions from becoming worse. CONTRACTOR shall focus on building~~
 20 ~~rapport and trust with TAYs and young adults who may not be aware of available behavioral health~~
 21 ~~resources and would otherwise be unserved or under served. CONTRACTOR shall utilize innovative~~
 22 ~~strategies to reach this target population. These strategies may include theater and performance arts to~~
 23 ~~outreach and engage the TAY and young adults.~~

24 ~~5. CONTRACTOR shall team with local colleges and universities, local leaders and mental~~
 25 ~~health organizations to reach TAY and young adults who are in hard to reach communities and utilize~~
 26 ~~traditional and nontraditional outreach methods/strategies to reach TAY and young adults and raise~~
 27 ~~awareness, educate and reach individuals including but not limited to:~~

~~a. Community and College/University Outreach: CONTRACTOR shall conduct outreach~~
 to community organizations, colleges and universities serving TAY and young adults through Laguna
 Playhouse's Project Theater Hope program designed specifically to identify and outreach youth
 underserved TAY and young adults. CONTRACTOR shall identify a comprehensive list of all such
 organizations and organize meaningful activities for TAY and young adults including but not limited to,
 tours, meet and greets and educational sessions to engage these youth and create a safe space.

~~b. CONTRACTOR shall establish active partnerships through outreach efforts and~~
 presentations to twenty (20) Human Service organizations and five (5) local colleges that may include
 UCI, Cal State Fullerton, Orange Coast College, Coastline Community College, Cypress College,
 Saddleback Community College, Santiago Canyon College, Santa Ana College and Irvine Valley College.

1 ~~CONTRACTOR shall seek active collaborations with additional colleges and universities in Orange~~
2 ~~County.~~

3 ~~_____ c. Community and College/University Collaborations: CONTRACTOR shall actively~~
4 ~~collaborate with community-based organizations as approved by ADMINISTRATOR specifically for the~~
5 ~~purposes of community networking and educational activities specifically designed for TAY and young~~
6 ~~adults. CONTRACTOR shall also ensure that there is active collaboration with other community agencies~~
7 ~~and organizations serving TAY and young adults that include, but are not limited to, agencies serving~~
8 ~~homeless and displaced youth, agencies serving LGBTQI, youth veterans and military families,~~
9 ~~individuals with chronic disease or disabilities, individuals impacted by addiction and individuals~~
10 ~~impacted by domestic violence and foster youth. CONTRACTOR shall ensure that these partnerships~~
11 ~~will be made with the purpose of outreaching to these organizations, bringing awareness regarding mental~~
12 ~~health issues among TAY and young adults and what resources are available in the community to address~~
13 ~~these concerns and address any gaps. CONTRACTOR shall create Memoranda of Understanding with~~
14 ~~the above-mentioned agencies as necessary.~~

15 ~~_____ 6. Play Performances and Panel Discussion: CONTRACTOR shall invite organizations that~~
16 ~~serve TAY and young adults to view the theatre performances organized by the New Generation~~
17 ~~Productions, the theater aspect of Laguna Playhouse. The plays will highlight on a variety of mental~~
18 ~~health topics that will focus on TAY and young adults. After each play performance CONTRACTOR~~
19 ~~shall engage attendees in panel discussions that focus on mental health topics, stigma reduction and help-~~
20 ~~seeking behaviors. The panel discussion will be facilitated by mental health professionals who will also~~
21 ~~provide the youth actors and attendees at the performance with resources and linkages to appropriate~~
22 ~~service providers.~~

23 ~~_____ 7. Outreach and engagement through the Life Stories program: CONTRACTOR shall conduct~~
24 ~~targeted outreach at community health organizations, colleges, universities, and other service partner~~
25 ~~venues to engage participants and recruit them for the Life Stories program which will be primarily be~~
26 ~~conducted at these community and college/university locations. The Life Stories program is a ten (10)~~
27 ~~through twelve (12) week evidence-based program designed for creative self-expression through the~~
28 ~~creation of original dramatic works where participants use their own life experiences as inspiration. The~~
29 ~~Life Stories program will be designed to connect with the hardest to reach TAY and young adults who~~
30 ~~may be experiencing life events and engage them in creative self-expression. CONTRACTOR shall~~
31 ~~ensure that the Life Stories program will utilize a trained and certified facilitator who will facilitate the~~
32 ~~workshops. Participants will learn to create informal and formal life stories in the workshop. These~~
33 ~~stories will then be transformed into theater, which will be performed for the youth, their families and the~~
34 ~~community at large.~~

35 ~~_____ 8. CONTRACTOR shall collaborate and partner with family resource centers, social service~~
36 ~~agencies, Health Care Agency services, non-profit organizations and community organizations, Orange~~
37 ~~County Department of Education, health care agencies, etc., to reach hard to reach TAY and young adults~~

1 not on college campuses throughout the county at community locations other than the designated facility.
2 Other locations may include, but not be limited to, ministries, community centers, religious organizations
3 (mosques, churches, temples, etc.) business locations, coffee shops, health fairs, shelters, gathering places
4 for the homeless, hospitals and other community locations appropriate for the provision of services.

5 ~~9. Referrals and Linkages: CONTRACTOR shall provide appropriate referrals and linkages to
6 program Participants to mental health resources including mental health prevention and early intervention
7 services and other supportive services as needed. A service is considered a referral when a Participant
8 receives program and contact information for services or programs (health care, behavioral health, and/or
9 other support services). Linkage is when a Participant is connected to services through a warm hand-off
10 or follow-up to ensure the connection was made. CONTRACTOR shall obtain Participants' consent prior
11 to linking or transferring Participants to another service provider or community resources.~~

12 ~~10. CONTRACTOR shall provide a website and/or social networking site to promote services as
13 well as access to mental health resources and services.~~

14 ~~11. TRANSPORTATION SUPPORT CONTRACTOR shall provide transportation support to
15 bring Participants to performance venues.~~

16 ~~12. CONTRACTOR shall distribute behavioral health resources and materials, which may
17 include but not be limited to brochures, pamphlets, handouts, and fact sheets to individuals, groups and
18 organizations.~~

19 ~~a. CONTRACTOR shall make every reasonable effort to accommodate Participants'
20 developmental, cultural and linguistic needs. In the event that such needs cannot be immediately met,
21 CONTRACTOR shall seek assistance from other Outreach and Engagement Service providers,
22 community resources or COUNTY.~~

23 2. Exhibit A, Paragraph V. Services, subparagraph C. of the Contract is deleted in its entirety and
24 replaced with the following:

25 “C. UNITS OF SERVICE

26 ~~CONTRACTOR shall achieve, track and record at a minimum, the following units of
27 service.~~

Units of Service	Annual Contracted Units (prorated for Period 1)
Community agency partnerships	Minimum 20
Local college partnerships	Minimum 5
Organizations served through Life Stories	At least 10
Individuals served	2,000
Youth Theatre	Minimum 5”

Performances	
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~~D. OUTCOME MEASURES~~

~~1. CONTRACTOR shall complete all surveys, tools and pre/post tests for measurement of outcomes of services, as requested by the ADMINISTRATOR. Measures may include, but are not limited to, the PROMIS Global Mental Health, Personal Growth Initiative Scale II (PGIS-II), Stigma Reduction Survey, follow up measures, Educational Feedback Survey and Participant Satisfaction Survey.~~

~~2. CONTRACTOR shall strive to meet the following outcome measure goals for their program and applicable to the population being served:~~

~~a. On average, Participants will report a significant improvement in quality of life.~~

~~b. On average, Participants will demonstrate a significant increase in behavioral health competencies in at least one area.~~

~~c. On average, Participants will demonstrate a significant decrease in the severity of behavioral health symptoms.~~

~~d. A minimum of thirty (30) percent of referrals will result in a linkage to a supportive service.~~

~~3. CONTRACTOR shall track and implement ADMINISTRATOR approved outcome measures across all services. Outcome measures shall include, but not limited to, the increase in awareness and knowledge about behavioral health conditions, increase in knowledge about community resources available to help with behavioral health and co-occurring issues, improvement in quality of life, and service satisfaction.~~

~~4. CONTRACTOR shall utilize ADMINISTRATOR approved forms to collect pertinent data, which would be entered and analyzed for Participant's level of satisfaction, program management, and quality improvement purposes. In addition, CONTRACTOR shall utilize any data collection systems for tracking Participant enrollment, demographics, trends, and service utilization.~~

~~5. CONTRACTOR shall develop a system to track and record the following demographics: number of individuals served based on age groups; race and ethnicity; primary language; individuals who identify as LGBTQI; veterans; and others such as hearing impaired.~~

~~6. CONTRACTOR shall, on an ongoing basis and in partnership with ADMINISTRATOR, develop, modify, and incorporate different/additional outcome measurements, as approved by ADMINISTRATOR.~~

~~7. CONTRACTOR shall provide ADMINISTRATOR with monthly data reports, or as needed upon request of ADMINISTRATOR.~~

~~8. CONTRACTOR shall conduct on-going evaluations of the program and provide analysis to ADMINISTRATOR on a regular basis and in a format agreeable to ADMINISTRATOR.~~

~~E. CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify the Services~~

~~Exhibit A, Paragraph of this Exhibit A to the Agreement.~~

1 #
 2 3. ~~VI. VI.~~ Staffing, subparagraph A. of the Contract is deleted in its entirety and replaced with the following:

3 "A. CONTRACTOR shall, at a minimum, provide the following staffing pattern expressed in Full-
 4 Time Equivalents (FTEs) continuously throughout the term of the Agreement. One (1) FTE shall be equal to an average of forty (40) hours work per week.

PROGRAM ADMINISTRATION	<u>DIRECT ADMINISTRATION</u>	<u>FTEs</u>	<u>FTEs</u>
<u>Community Outreach Manager</u>		<u>0.03</u>	
<u>Associate Director of Youth Theatre, Education and Outreach</u>		<u>0.05</u>	
<u>Assistant Controller</u>		<u>0.03</u>	
<u>DIRECT ADMINISTRATION SUBTOTAL</u>		<u>0.11</u>	
 <u>DIRECT PROGRAM</u>			
<u>Director of Youth Theatre, Education and Outreach</u>		<u>0.25</u>	
<u>Associate Director of Youth Theatre, Education and Outreach</u>		<u>0.38</u>	
<u>Community Outreach Manager</u>		<u>0.20</u>	
<u>Program Instructor(s)</u>		<u>0.68</u>	
<u>DIRECT PROGRAM SUBTOTAL</u>		<u>1.51</u>	
 <u>TOTAL FTE's</u>		 <u>1.62"</u>	
Community Outreach Manager			0.03
Associate Director of Youth Theatre, Education and Outreach			0.05
Assistant Controller			0.03
PROGRAM ADMINISTRATION SUBTOTAL			0.11
 DIRECT PROGRAM			
Director of Youth Theatre, Education and Outreach			0.25
Associate Director of Youth Theatre, Education and Outreach			0.38
Community Outreach Manager			0.20
Program Instructor(s)			0.68
PROGRAM ADMINISTRATION SUBTOTAL			1.51

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TOTAL FTEs

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This Amendment No. 2 modifies the Contract, including all previous amendments, only as expressly set forth herein. Wherever there is a conflict in the terms or conditions between this Amendment No. 2 and the Contract, including all previous amendments, the terms and conditions of this Amendment No. 2 shall prevail. In all other respects, the terms and conditions of the Contract, including all previous amendments, not specifically changed by this Amendment No. 2 remain in full force and effect.

SIGNATURE PAGE FOLLOWS

1 manner that is culturally and linguistically appropriate for the population(s) served. CONTRACTOR
 2 shall maintain documents of such efforts which may include, but not be limited to: records of participation
 3 in COUNTY sponsored or other applicable training; recruitment and hiring P&Ps; copies of literature in
 4 multiple languages and formats, as appropriate; and descriptions of measures taken to enhance
 accessibility for, and sensitivity to, individuals who are physically challenged.

5 ~~— D. CONTRACTOR is highly encouraged to augment the above paid staff with qualified and trained
 6 volunteers and/or interns upon written approval of ADMINISTRATOR. CONTRACTOR shall~~

7 ~~provide ongoing supervision to volunteers and/or interns consistent with the prevailing educational and
 8 practice standards or as specified by ADMINISTRATOR.~~

9 ~~— E. CONTRACTOR shall maintain personnel files for each staff member, both administrative and
 10 programmatic, both direct and indirect, which shall include, but not be limited to, an application for
 11 employment, qualifications for the position, documentation of bicultural/bilingual capabilities (if
 applicable), pay rate and evaluations justifying pay increases.~~

12 ~~— F. CONTRACTOR shall establish clear P&Ps pertaining to staff's work location options (i.e. office
 13 vs. field/home) and equipment usage (e.g., cell phones, texting devices, and computers). The P&Ps shall
 address at the minimum the following:~~

- 14 ~~1. Eligibility and selection criteria;~~
- 15 ~~2. Staff's field/home on-duty conduct and responsibilities;~~
- 16 ~~3. Supervision plan of staff and equipment including emergency procedure; and~~
- 17 ~~4. Confidentiality and records keeping.~~

18 ~~— G. CONTRACTOR shall notify ADMINISTRATOR, in writing, within seventy two (72) hours, of
 19 any staffing vacancies that occur during the term of the Agreement. CONTRACTOR's notification shall
 20 include at a minimum the following information: employee name(s), position title(s), date(s) of
 21 resignation, date(s) of hire, and a description of recruitment activity.~~

22 ~~— H. CONTRACTOR shall notify ADMINISTRATOR, in writing, at least seven (7) days in advance,
 23 of any new staffing changes; including promotions, temporary FTE changes and internal or external
 24 temporary staffing assignment requests that occur during the term of the Agreement.~~

25 ~~— I. CONTRACTOR shall ensure that all staff, albeit paid or unpaid, complete necessary training
 26 prior to discharging duties associated with their titles and any other training necessary to assist the
 27 CONTRACTOR and COUNTY to be in compliance with prevailing standards of practice as well as State
 and Federal regulatory requirements.~~

~~— J. CONTRACTOR shall provide ongoing supervision throughout all shifts to all staff, albeit paid
 or unpaid, direct line staff or supervisors/directors, to enhance service quality and program effectiveness.
 Supervision methods should include debriefings and consultation as needed, individual supervision or
 one on one support, and team meetings. Supervision should be provided by a supervisor who has
 extensive knowledge regarding mental health issues.~~

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~~— K. CONTRACTOR shall ensure that all staff are trained and have a clear understanding of CONTRACTOR’s administrative and program P&Ps. CONTRACTOR shall provide signature confirmation of its P&P training for each staff member and place in their personnel files.~~

~~— L. CONTRACTOR shall not engage in, or permit any of its employees or subcontractors, to conduct research activity on COUNTY Participants without obtaining prior written authorization from ADMINISTRATOR.~~

~~— M. CONTRACTOR and ADMINISTRATOR may mutually agree, in writing, to modify the Staffing Paragraph of this Exhibit A to the Agreement.~~

EXHIBIT B

TO AGREEMENT FOR PROVISION OF

TRANSITIONAL AGE YOUTH AND YOUNG ADULT

MENTAL HEALTH OUTREACH SERVICES

BETWEEN

COUNTY OF ORANGE

AND

THE LAGUNA PLAYHOUSE

DECEMBER 15, 2019 THROUGH JUNE 30, 2022

I. BUSINESS ASSOCIATE CONTRACT

~~A. GENERAL PROVISIONS AND RECITALS~~

~~1. The parties agree that the terms used, but not otherwise defined below in Subparagraph B., shall have the same meaning given to such terms under the Health Insurance Portability and Accountability Act of 1996, Public Law 104-191 (“HIPAA”), the Health Information Technology for Economic and Clinical Health Act, Public Law 111-005 (“the HITECH Act”), and their implementing regulations at 45 CFR Parts 160 and 164 (“the HIPAA regulations”) as they may exist now or be hereafter amended.~~

~~2. The parties agree that a business associate relationship under HIPAA, the HITECH Act, and the HIPAA regulations between the CONTRACTOR and COUNTY arises to the extent that CONTRACTOR performs, or delegates to subcontractors to perform, functions or activities on behalf of COUNTY pursuant to, and as set forth in, the Agreement that are described in the definition of “Business Associate” in 45 CFR § 160.103.~~

~~3. The COUNTY wishes to disclose to CONTRACTOR certain information pursuant to the terms of the Agreement, some of which may constitute Protected Health Information (“PHI”), as defined below in Subparagraph B.10, to be used or disclosed in the course of providing services and activities pursuant to, and as set forth, in the Agreement.~~

~~4. The parties intend to protect the privacy and provide for the security of PHI that may be created, received, maintained, transmitted, used, or disclosed pursuant to the Agreement in compliance with the applicable standards, implementation specifications, and requirements of HIPAA, the HITECH Act, and the HIPAA regulations as they may exist now or be hereafter amended.~~

~~5. The parties understand and acknowledge that HIPAA, the HITECH Act, and the HIPAA regulations do not pre-empt any state statutes, rules, or regulations that are not otherwise pre-empted by other Federal law(s) and impose more stringent requirements with respect to privacy of PHI.~~

~~6. The parties understand that the HIPAA Privacy and Security rules, as defined below in Subparagraphs B.9 and B.14, apply to the CONTRACTOR in the same manner as they apply to a covered entity (COUNTY). CONTRACTOR agrees therefore to be in compliance at all times with the terms of this Business Associate Contract, as it exists now or be hereafter updated with notice to CONTRACTOR, and the applicable standards, implementation specifications, and requirements of the Privacy and the Security~~

1 ~~rules, as they may exist now or be hereafter amended, with respect to PHI and electronic PHI created,~~
 2 ~~received, maintained, transmitted, used, or disclosed pursuant to the Agreement.~~

3 ~~— B. DEFINITIONS~~

4 ~~1. “Administrative Safeguards” are administrative actions, and policies and procedures, to~~
 5 ~~manage the selection, development, implementation, and maintenance of security measures to protect~~
 6 ~~electronic PHI and to manage the conduct of CONTRACTOR’s workforce in relation to the protection of~~
 7 ~~that information.~~

8 ~~2. “Breach” means the acquisition, access, use, or disclosure of PHI in a manner not permitted~~
 9 ~~under the HIPAA Privacy Rule which compromises the security or privacy of the PHI.~~

10 ~~a. Breach excludes:~~

11 ~~1) Any unintentional acquisition, access, or use of PHI by a workforce member or~~
 12 ~~person acting under the authority of CONTRACTOR or COUNTY, if such acquisition, access, or use was~~
 13 ~~made in good faith and within the scope of authority and does not result in further use or disclosure in a~~
 14 ~~manner not permitted under the Privacy Rule.~~

15 ~~2) Any inadvertent disclosure by a person who is authorized to access PHI at~~
 16 ~~CONTRACTOR to another person authorized to access PHI at the CONTRACTOR, or organized health~~
 17 ~~care arrangement in which COUNTY participates, and the information received as a result of such~~
 18 ~~disclosure is not further used or disclosed in a manner not permitted under the HIPAA Privacy Rule.~~

19 ~~3) A disclosure of PHI where CONTRACTOR or COUNTY has a good faith belief that~~
 20 ~~an unauthorized person to whom the disclosure was made would not reasonably have been able to retains~~
 21 ~~such information.~~

22 ~~b. Except as provided in paragraph (a) of this definition, an acquisition, access, use, or~~
 23 ~~disclosure of PHI in a manner not permitted under the HIPAA Privacy Rule is presumed to be a breach~~
 24 ~~unless CONTRACTOR demonstrates that there is a low probability that the PHI has been compromised~~
 25 ~~based on a risk assessment of at least the following factors:~~

26 ~~1) The nature and extent of the PHI involved, including the types of identifiers and the~~
 27 ~~likelihood of re-identification;~~

~~2) The unauthorized person who used the PHI or to whom the disclosure was made;~~

~~3) Whether the PHI was actually acquired or viewed; and~~

~~4) The extent to which the risk to the PHI has been mitigated.~~

~~3. “Data Aggregation” shall have the meaning given to such term under the HIPAA Privacy Rule~~
~~in 45 CFR § 164.501.~~

~~4. “Designated Record Set” shall have the meaning given to such term under the HIPAA Privacy~~
~~Rule in 45 CFR § 164.501.~~

~~#~~

~~5. “Disclosure” shall have the meaning given to such term under the HIPAA regulations in 45~~
~~CFR § 160.103.~~

1 ~~6. "Health Care Operations" shall have the meaning given to such term under the HIPAA Privacy Rule in 45 CFR § 164.501.~~

2 ~~7. "Individual" shall have the meaning given to such term under the HIPAA Privacy Rule in 45~~
 3 ~~CFR § 160.103 and shall include a person who qualifies as a personal representative in accordance with~~
 4 ~~45 CFR § 164.502(g).~~

5 ~~8. "Physical Safeguards" are physical measures, policies, and procedures to protect~~
 6 ~~CONTRACTOR's electronic information systems and related buildings and equipment, from natural and~~
 7 ~~environmental hazards, and unauthorized intrusion.~~

8 ~~9. "The HIPAA Privacy Rule" shall mean the Standards for Privacy of Individually Identifiable~~
 9 ~~Health Information at 45 CFR Part 160 and Part 164, Subparts A and E.~~

10 ~~10. "Protected Health Information" or "PHI" shall have the meaning given to such term under the~~
 11 ~~HIPAA regulations in 45 CFR § 160.103.~~

12 ~~11. "Required by Law" shall have the meaning given to such term under the HIPAA Privacy Rule~~
 13 ~~in 45 CFR § 164.103.~~

14 ~~12. "Secretary" shall mean the Secretary of the Department of Health and Human Services or his~~
 15 ~~or her designee.~~

16 ~~13. "Security Incident" means attempted or successful unauthorized access, use, disclosure,~~
 17 ~~modification, or destruction of information or interference with system operations in an information system.~~
 18 ~~"Security incident" does not include trivial incidents that occur on a daily basis, such as scans, "pings", or~~
 19 ~~unsuccessful attempts to penetrate computer networks or servers maintained by CONTRACTOR.~~

20 ~~14. "The HIPAA Security Rule" shall mean the Security Standards for the Protection of electronic~~
 21 ~~PHI at 45 CFR Part 160, Part 162, and Part 164, Subparts A and C.~~

22 ~~15. "Subcontractor" shall have the meaning given to such term under the HIPAA regulations in~~
 23 ~~45 CFR § 160.103.~~

24 ~~16. "Technical safeguards" means the technology and the policy and procedures for its use that~~
 25 ~~protect electronic PHI and control access to it.~~

26 ~~17. "Unsecured PHI" or "PHI that is unsecured" means PHI that is not rendered unusable,~~
 27 ~~unreadable, or indecipherable to unauthorized individuals through the use of a technology or methodology~~
 28 ~~specified by the Secretary of Health and Human Services in the guidance issued on the HHS Web site.~~

29 ~~18. "Use" shall have the meaning given to such term under the HIPAA regulations in 45 CFR §~~
 30 ~~160.103.~~

31 ~~C. OBLIGATIONS AND ACTIVITIES OF CONTRACTOR AS BUSINESS ASSOCIATE:~~

32 ~~1. CONTRACTOR agrees not to use or further disclose PHI COUNTY discloses to~~
 33 ~~CONTRACTOR other than as permitted or required by this Business Associate Contract or as required~~
 34 ~~by law.~~

35 ~~2. CONTRACTOR agrees to use appropriate safeguards, as provided for in this Business~~
 36 ~~Associate Contract and the Agreement, to prevent use or disclosure of PHI COUNTY discloses to~~
 37

1 ~~CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY other than as provided for by this Business Associate Contract.~~

2 ~~_____ 3. CONTRACTOR agrees to comply with the HIPAA Security Rule at Subpart C of 45 CFR Part~~
 3 ~~164 with respect to electronic PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates,~~
 4 ~~receives, maintains, or transmits on behalf of COUNTY.~~

5 ~~_____ 4. CONTRACTOR agrees to mitigate, to the extent practicable, any harmful effect that is known~~
 6 ~~to CONTRACTOR of a Use or Disclosure of PHI by CONTRACTOR in violation of the requirements of~~
 7 ~~this Business Associate Contract.~~

8 ~~_____ 5. CONTRACTOR agrees to report to COUNTY immediately any Use or Disclosure of PHI not~~
 9 ~~provided for by this Business Associate Contract of which CONTRACTOR becomes aware.~~
 10 ~~CONTRACTOR must report Breaches of Unsecured PHI in accordance with Paragraph E below and as~~
 11 ~~required by 45 CFR § 164.410.~~

12 ~~_____ 6. CONTRACTOR agrees to ensure that any Subcontractors that create, receive, maintain, or~~
 13 ~~transmit PHI on behalf of CONTRACTOR agree to the same restrictions and conditions that apply through~~
 14 ~~this Business Associate Contract to CONTRACTOR with respect to such information.~~

15 ~~_____ 7. CONTRACTOR agrees to provide access, within fifteen (15) calendar days of receipt of a~~
 16 ~~written request by COUNTY, to PHI in a Designated Record Set, to COUNTY or, as directed by COUNTY,~~
 17 ~~to an Individual in order to meet the requirements under 45 CFR § 164.524. If CONTRACTOR maintains~~
 18 ~~an Electronic Health Record with PHI, and an individual requests a copy of such information in an~~
 19 ~~electronic format, CONTRACTOR shall provide such information in an electronic format.~~

20 ~~_____ 8. CONTRACTOR agrees to make any amendment(s) to PHI in a Designated Record Set that~~
 21 ~~COUNTY directs or agrees to pursuant to 45 CFR § 164.526 at the request of COUNTY or an Individual,~~
 22 ~~within thirty (30) calendar days of receipt of said request by COUNTY. CONTRACTOR agrees to notify~~
 23 ~~COUNTY in writing no later than ten (10) calendar days after said amendment is completed.~~

24 ~~_____ 9. CONTRACTOR agrees to make internal practices, books, and records, including policies and~~
 25 ~~procedures, relating to the use and disclosure of PHI received from, or created or received by~~
 26 ~~CONTRACTOR on behalf of, COUNTY available to COUNTY and the Secretary in a time and manner as~~
 27 ~~determined by COUNTY or as designated by the Secretary for purposes of the Secretary determining~~
 28 ~~COUNTY's compliance with the HIPAA Privacy Rule.~~

29 ~~_____ 10. CONTRACTOR agrees to document any Disclosures of PHI COUNTY discloses to~~
 30 ~~CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY, and~~
 31 ~~to make information related to such Disclosures available as would be required for COUNTY to respond~~
 32 ~~to a request by an Individual for an accounting of Disclosures of PHI in accordance with 45 CFR §~~
 33 ~~164.528.~~

34 ~~_____ 11. CONTRACTOR agrees to provide COUNTY or an Individual, as directed by COUNTY, in a~~
 35 ~~time and manner to be determined by COUNTY, that information collected in accordance with the~~
 36 ~~Agreement, in order to permit COUNTY to respond to a request by an Individual for an accounting of~~
 37 ~~Disclosures of PHI in accordance with 45 CFR § 164.528.~~

38 ~~_____ 12. CONTRACTOR agrees that to the extent CONTRACTOR carries out COUNTY's obligation~~
 39 ~~under the HIPAA Privacy and/or Security rules CONTRACTOR will comply with the requirements of 45~~
 40 ~~CFR Part 164 that apply to COUNTY in the performance of such obligation.~~

1 ~~_____ 13. If CONTRACTOR receives Social Security data from COUNTY provided to COUNTY by a~~
 2 ~~state agency, upon request by COUNTY, CONTRACTOR shall provide COUNTY with a list of all~~
 3 ~~employees, subcontractors and agents who have access to the Social Security data, including employees,~~
 4 ~~agents, subcontractors and agents of its subcontractors.~~

5 ~~_____ 14. CONTRACTOR will notify COUNTY if CONTRACTOR is named as a defendant in a criminal~~
 6 ~~proceeding for a violation of HIPAA. COUNTY may terminate the Agreement, if CONTRACTOR is found~~
 7 ~~guilty of a criminal violation in connection with HIPAA. COUNTY may terminate the Agreement, if a finding~~
 8 ~~or stipulation that CONTRACTOR has violated any standard or requirement of the privacy or security~~
 9 ~~provisions of HIPAA, or other security or privacy laws are made in any administrative or civil proceeding~~
 10 ~~in which CONTRACTOR is a party or has been joined. COUNTY will consider the nature and seriousness~~
 11 ~~of the violation in deciding whether or not to terminate the Agreement.~~

12 ~~_____ 15. CONTRACTOR shall make itself and any subcontractors, employees or agents assisting~~
 13 ~~CONTRACTOR in the performance of its obligations under the Agreement, available to COUNTY at no~~
 14 ~~cost to COUNTY to testify as witnesses, or otherwise, in the event of litigation or administrative~~
 15 ~~proceedings being commenced against COUNTY, its directors, officers or employees based upon claimed~~
 16 ~~violation of HIPAA, the HIPAA regulations or other laws relating to security and privacy, which involves~~
 17 ~~inactions or actions by CONTRACTOR, except where CONTRACTOR or its subcontractor, employee or~~
 18 ~~agent is a named adverse party.~~

19 ~~_____ 16. The Parties acknowledge that federal and state laws relating to electronic data security and~~
 20 ~~privacy are rapidly evolving and that amendment of this Business Associate Contract may be required to~~
 21 ~~provide for procedures to ensure compliance with such developments. The Parties specifically agree to~~
 22 ~~take such action as is necessary to implement the standards and requirements of HIPAA, the HITECH~~
 23 ~~Act, the HIPAA regulations and other applicable laws relating to the security or privacy of PHI. Upon~~
 24 ~~COUNTY's request, CONTRACTOR agrees to promptly enter into negotiations with COUNTY concerning~~
 25 ~~an amendment to this Business Associate Contract embodying written assurances consistent with the~~
 26 ~~standards and requirements of HIPAA, the HITECH Act, the HIPAA regulations or other applicable laws.~~
 27 ~~COUNTY may terminate the Agreement upon thirty (30) days written notice in the event:~~

~~_____ a. CONTRACTOR does not promptly enter into negotiations to amend this Business~~
 Associate Contract when requested by COUNTY pursuant to this Paragraph C; or

~~_____ b. CONTRACTOR does not enter into an amendment providing assurances regarding the~~
 safeguarding of PHI that COUNTY deems are necessary to satisfy the standards and requirements of
 HIPAA, the HITECH Act, and the HIPAA regulations.

~~_____ 17. CONTRACTOR shall work with COUNTY upon notification by CONTRACTOR to COUNTY~~
 of a Breach to properly determine if any Breach exclusions exist as defined in Subparagraph B.2.a above.

~~_____ D. SECURITY RULE~~

~~_____ 1. CONTRACTOR shall comply with the requirements of 45 CFR § 164.306 and establish and~~
 maintain appropriate Administrative, Physical and Technical Safeguards in accordance with 45 CFR §
 164.308, § 164.310, and § 164.312, with respect to electronic PHI COUNTY discloses to CONTRACTOR
 or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY. CONTRACTOR shall
 develop and maintain a written information privacy and security program that includes Administrative,
 Physical, and Technical Safeguards appropriate to the size and complexity of CONTRACTOR's
 operations and the nature and scope of its activities.

~~2. CONTRACTOR shall implement reasonable and appropriate policies and procedures to comply with the standards, implementation specifications and other requirements of 45 CFR Part 164, Subpart C, in compliance with 45 CFR § 164.316. CONTRACTOR will provide COUNTY with its current and updated policies upon request.~~

~~3. CONTRACTOR shall ensure the continuous security of all computerized data systems containing electronic PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY. CONTRACTOR shall protect paper documents containing PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY. These steps shall include, at a minimum:~~

~~a. Complying with all of the data system security precautions listed under Paragraphs E, below;~~

~~b. Achieving and maintaining compliance with the HIPAA Security Rule, as necessary in conducting operations on behalf of COUNTY;~~

~~c. Providing a level and scope of security that is at least comparable to the level and scope of security established by the Office of Management and Budget in OMB Circular No. A-130, Appendix III - Security of Federal Automated Information Systems, which sets forth guidelines for automated information systems in Federal agencies;~~

~~4. CONTRACTOR shall ensure that any subcontractors that create, receive, maintain, or transmit electronic PHI on behalf of CONTRACTOR agree through a contract with CONTRACTOR to the same restrictions and requirements contained in this Paragraph D of this Business Associate Contract.~~

~~5. CONTRACTOR shall report to COUNTY immediately any Security Incident of which it becomes aware. CONTRACTOR shall report Breaches of Unsecured PHI in accordance with Paragraph E below and as required by 45 CFR § 164.410.~~

~~6. CONTRACTOR shall designate a Security Officer to oversee its data security program who shall be responsible for carrying out the requirements of this paragraph and for communicating on security matters with COUNTY.~~

~~E. DATA SECURITY REQUIREMENTS~~

~~1. Personal Controls~~

~~a. Employee Training. All workforce members who assist in the performance of functions or activities on behalf of COUNTY in connection with Agreement, or access or disclose PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY, must complete information privacy and security training, at least annually, at CONTRACTOR's expense. Each workforce member who receives information privacy and security training must sign a certification, indicating the member's name and the date on which the training was completed. These certifications must be retained for a period of six (6) years following the termination of Agreement.~~

~~b. Employee Discipline. Appropriate sanctions must be applied against workforce members who fail to comply with any provisions of CONTRACTOR's privacy policies and procedures, including termination of employment where appropriate.~~

~~c. Confidentiality Statement. All persons that will be working with PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must sign a confidentiality statement that includes, at a minimum, General Use, Security and Privacy~~

1 ~~Safeguards, Unacceptable Use, and Enforcement Policies. The statement must be signed by the~~
2 ~~workforce member prior to access to such PHI. The statement must be renewed annually. The~~
3 ~~CONTRACTOR shall retain each person's written confidentiality statement for COUNTY inspection for a~~
4 ~~period of six (6) years following the termination of the Agreement.~~

5 ~~_____ d. Background Check. Before a member of the workforce may access PHI COUNTY~~
6 ~~discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of~~
7 ~~COUNTY, a background screening of that worker must be conducted. The screening should be~~
8 ~~commensurate with the risk and magnitude of harm the employee could cause, with more thorough~~
9 ~~screening being done for those employees who are authorized to bypass significant technical and~~
10 ~~operational security controls. The CONTRACTOR shall retain each workforce member's background~~
11 ~~check documentation for a period of three (3) years.~~

12 ~~_____ 2. Technical Security Controls~~

13 ~~_____ a. Workstation/Laptop encryption. All workstations and laptops that store PHI COUNTY~~
14 ~~discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of~~
15 ~~COUNTY either directly or temporarily must be encrypted using a FIPS 140-2 certified algorithm which is~~
16 ~~128bit or higher, such as Advanced Encryption Standard (AES). The encryption solution must be full disk~~
17 ~~unless approved by the COUNTY.~~

18 ~~_____ b. Server Security. Servers containing unencrypted PHI COUNTY discloses to~~
19 ~~CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must~~
20 ~~have sufficient administrative, physical, and technical controls in place to protect that data, based upon a~~
21 ~~risk assessment/system security review.~~

22 ~~_____ c. Minimum Necessary. Only the minimum necessary amount of PHI COUNTY discloses to~~
23 ~~CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY~~
24 ~~required to perform necessary business functions may be copied, downloaded, or exported.~~

25 ~~_____ d. Removable media devices. All electronic files that contain PHI COUNTY discloses to~~
26 ~~CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must~~
27 ~~be encrypted when stored on any removable media or portable device (i.e. USB thumb drives, floppies,~~
28 ~~CD/DVD, Blackberry, backup tapes etc.). Encryption must be a FIPS 140-2 certified algorithm which is~~
29 ~~128bit or higher, such as AES. Such PHI shall not be considered "removed from the premises" if it is only~~
30 ~~being transported from one of CONTRACTOR's locations to another of CONTRACTOR's locations.~~

31 ~~_____ e. Antivirus software. All workstations, laptops and other systems that process and/or store~~
32 ~~PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits~~
33 ~~on behalf of COUNTY must have installed and actively use comprehensive anti-virus software solution~~
34 ~~with automatic updates scheduled at least daily.~~

35 ~~_____ f. Patch Management. All workstations, laptops and other systems that process and/or~~
36 ~~store PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or~~
37 ~~transmits on behalf of COUNTY must have critical security patches applied, with system reboot if~~
38 ~~necessary. There must be a documented patch management process which determines installation~~
39 ~~timeframe based on risk assessment and vendor recommendations. At a maximum, all applicable~~
40 ~~patches must be installed within 30 days of vendor release. Applications and systems that cannot be~~
41 ~~patched due to operational reasons must have compensatory controls implemented to minimize risk,~~
42 ~~where possible.~~

~~g. User IDs and Password Controls. All users must be issued a unique user name for accessing PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY. Username must be promptly disabled, deleted, or the password changed upon the transfer or termination of an employee with knowledge of the password, at maximum within 24 hours. Passwords are not to be shared. Passwords must be at least eight characters and must be a non-dictionary word. Passwords must not be stored in readable format on the computer. Passwords must be changed every 90 days, preferably every 60 days. Passwords must be changed if revealed or compromised. Passwords must be composed of characters from at least three of the following four groups from the standard keyboard:~~

~~1) Upper case letters (A-Z)~~

~~2) Lower case letters (a-z)~~

~~3) Arabic numerals (0-9)~~

~~4) Non-alphanumeric characters (punctuation symbols)~~

~~h. Data Destruction. When no longer needed, all PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must be wiped using the Gutmann or US Department of Defense (DoD) 5220.22-M (7 Pass) standard, or by degaussing. Media may also be physically destroyed in accordance with NIST Special Publication 800-88. Other methods require prior written permission by COUNTY.~~

~~i. System Timeout. The system providing access to PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must provide an automatic timeout, requiring re-authentication of the user session after no more than 20 minutes of inactivity.~~

~~j. Warning Banners. All systems providing access to PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must display a warning banner stating that data is confidential, systems are logged, and system use is for business purposes only by authorized users. User must be directed to log off the system if they do not agree with these requirements.~~

~~k. System Logging. The system must maintain an automated audit trail which can identify the user or system process which initiates a request for PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY, or which alters such PHI. The audit trail must be date and time stamped, must log both successful and failed accesses, must be read only, and must be restricted to authorized users. If such PHI is stored in a database, database logging functionality must be enabled. Audit trail data must be archived for at least 3 years after occurrence.~~

~~l. Access Controls. The system providing access to PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must use role based access controls for all user authentications, enforcing the principle of least privilege.~~

~~m. Transmission encryption. All data transmissions of PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY outside the secure internal network must be encrypted using a FIPS 140-2 certified algorithm which is 128bit or higher, such as AES. Encryption can be end to end at the network level, or the data files~~

1 containing PHI can be encrypted. This requirement pertains to any type of PHI in motion such as website
2 access, file transfer, and E-Mail.

3 ~~_____ n. Intrusion Detection. All systems involved in accessing, holding, transporting, and
4 protecting PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or
transmits on behalf of COUNTY that are accessible via the Internet must be protected by a comprehensive
intrusion detection and prevention solution.~~

5 ~~_____ 3. Audit Controls~~

6 ~~_____ a. System Security Review. CONTRACTOR must ensure audit control mechanisms that
7 record and examine system activity are in place. All systems processing and/or storing PHI COUNTY
8 discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of
9 COUNTY must have at least an annual system risk assessment/security review which provides assurance
that administrative, physical, and technical controls are functioning effectively and providing adequate
levels of protection. Reviews should include vulnerability scanning tools.~~

10 ~~_____ b. Log Reviews. All systems processing and/or storing PHI COUNTY discloses to
11 CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must
12 have a routine procedure in place to review system logs for unauthorized access.~~

13 ~~_____ c. Change Control. All systems processing and/or storing PHI COUNTY discloses to
14 CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must
15 have a documented change control procedure that ensures separation of duties and protects the
16 confidentiality, integrity and availability of data.~~

17 ~~_____ 4. Business Continuity/Disaster Recovery Control~~

18 ~~_____ a. Emergency Mode Operation Plan. CONTRACTOR must establish a documented plan
19 to enable continuation of critical business processes and protection of the security of PHI COUNTY
20 discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of
21 COUNTY kept in an electronic format in the event of an emergency. Emergency means any circumstance
22 or situation that causes normal computer operations to become unavailable for use in performing the work
23 required under this Agreement for more than 24 hours.~~

24 ~~_____ b. Data Backup Plan. CONTRACTOR must have established documented procedures to
25 backup such PHI to maintain retrievable exact copies of the PHI. The plan must include a regular schedule
26 for making backups, storing backup offsite, an inventory of backup media, and an estimate of the amount
27 of time needed to restore DHCS PHI or PI should it be lost. At a minimum, the schedule must be a weekly
full backup and monthly offsite storage of DHCS data. Business Continuity Plan (BCP) for contractor and
COUNTY (e.g. the application owner) must merge with the DRP.~~

28 ~~_____ 5. Paper Document Controls~~

29 ~~_____ a. Supervision of Data. PHI COUNTY discloses to CONTRACTOR or CONTRACTOR
30 creates, receives, maintains, or transmits on behalf of COUNTY in paper form shall not be left unattended
31 at any time, unless it is locked in a file cabinet, file room, desk or office. Unattended means that
32 information is not being observed by an employee authorized to access the information. Such PHI
33 #
34 in paper form shall not be left unattended at any time in vehicles or planes and shall not be checked in
35 baggage on commercial airplanes.~~

~~_____ b. Escorting Visitors. Visitors to areas where PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY is contained shall be escorted and such PHI shall be kept out of sight while visitors are in the area.~~

~~_____ c. Confidential Destruction. PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must be disposed of through confidential means, such as cross cut shredding and pulverizing.~~

~~_____ d. Removal of Data. PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY must not be removed from the premises of the CONTRACTOR except with express written permission of COUNTY.~~

~~_____ e. Faxing. Faxes containing PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY shall not be left unattended and fax machines shall be in secure areas. Faxes shall contain a confidentiality statement notifying persons receiving faxes in error to destroy them. Fax numbers shall be verified with the intended recipient before sending the fax.~~

~~_____ f. Mailing. Mailings containing PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY shall be sealed and secured from damage or inappropriate viewing of PHI to the extent possible. Mailings which include 500 or more individually identifiable records containing PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY in a single package shall be sent using a tracked mailing method which includes verification of delivery and receipt, unless the prior written permission of COUNTY to use another method is obtained.~~

~~F. BREACH DISCOVERY AND NOTIFICATION~~

~~_____ 1. Following the discovery of a Breach of Unsecured PHI, CONTRACTOR shall notify COUNTY of such Breach, however both parties agree to a delay in the notification if so advised by a law enforcement official pursuant to 45 CFR § 164.412.~~

~~_____ a. A Breach shall be treated as discovered by CONTRACTOR as of the first day on which such Breach is known to CONTRACTOR or, by exercising reasonable diligence, would have been known to CONTRACTOR.~~

~~_____ b. CONTRACTOR shall be deemed to have knowledge of a Breach, if the Breach is known, or by exercising reasonable diligence would have known, to any person who is an employee, officer, or other agent of CONTRACTOR, as determined by federal common law of agency.~~

~~_____ 2. CONTRACTOR shall provide the notification of the Breach immediately to the COUNTY Privacy Officer. CONTRACTOR's notification may be oral, but shall be followed by written notification within 24 hours of the oral notification.~~

~~_____ 3. CONTRACTOR's notification shall include, to the extent possible:~~

~~_____ a. The identification of each Individual whose Unsecured PHI has been, or is reasonably believed by CONTRACTOR to have been, accessed, acquired, used, or disclosed during the Breach;~~

~~_____ b. Any other information that COUNTY is required to include in the notification to Individual under 45 CFR §164.404 (c) at the time CONTRACTOR is required to notify COUNTY or promptly thereafter as this information becomes available, even after the regulatory sixty (60) day period set forth in 45 CFR § 164.410 (b) has elapsed, including:~~

~~1) A brief description of what happened, including the date of the Breach and the date of the discovery of the Breach, if known;~~

~~2) A description of the types of Unsecured PHI that were involved in the Breach (such as whether full name, social security number, date of birth, home address, account number, diagnosis, disability code, or other types of information were involved);~~

~~3) Any steps Individuals should take to protect themselves from potential harm resulting from the Breach;~~

~~4) A brief description of what CONTRACTOR is doing to investigate the Breach, to mitigate harm to Individuals, and to protect against any future Breaches; and~~

~~5) Contact procedures for Individuals to ask questions or learn additional information, which shall include a toll-free telephone number, an e-mail address, Web site, or postal address.~~

~~4. COUNTY may require CONTRACTOR to provide notice to the Individual as required in 45 CFR § 164.404, if it is reasonable to do so under the circumstances, at the sole discretion of the COUNTY.~~

~~5. In the event that CONTRACTOR is responsible for a Breach of Unsecured PHI in violation of the HIPAA Privacy Rule, CONTRACTOR shall have the burden of demonstrating that CONTRACTOR made all notifications to COUNTY consistent with this Paragraph F and as required by the Breach notification regulations, or, in the alternative, that the acquisition, access, use, or disclosure of PHI did not constitute a Breach.~~

~~6. CONTRACTOR shall maintain documentation of all required notifications of a Breach or its risk assessment under 45 CFR § 164.402 to demonstrate that a Breach did not occur.~~

~~7. CONTRACTOR shall provide to COUNTY all specific and pertinent information about the Breach, including the information listed in Section E.3.b.(1)-(5) above, if not yet provided, to permit COUNTY to meet its notification obligations under Subpart D of 45 CFR Part 164 as soon as practicable, but in no event later than fifteen (15) calendar days after CONTRACTOR's initial report of the Breach to COUNTY pursuant to Subparagraph F.2 above.~~

~~8. CONTRACTOR shall continue to provide all additional pertinent information about the Breach to COUNTY as it may become available, in reporting increments of five (5) business days after the last report to COUNTY. CONTRACTOR shall also respond in good faith to any reasonable requests for further information, or follow-up information after report to COUNTY, when such request is made by COUNTY.~~

~~9. If the Breach is the fault of CONTRACTOR, CONTRACTOR shall bear all expense or other costs associated with the Breach and shall reimburse COUNTY for all expenses COUNTY incurs in addressing the Breach and consequences thereof, including costs of investigation, notification, remediation, documentation or other costs associated with addressing the Breach.~~

~~G. PERMITTED USES AND DISCLOSURES BY CONTRACTOR~~

~~1. CONTRACTOR may use or further disclose PHI COUNTY discloses to CONTRACTOR as necessary to perform functions, activities, or services for, or on behalf of, COUNTY as specified in the Agreement, provided that such use or Disclosure would not violate the HIPAA Privacy Rule if done by COUNTY except for the specific Uses and Disclosures set forth below.~~

~~a. CONTRACTOR may use PHI COUNTY discloses to CONTRACTOR, if necessary, for the proper management and administration of CONTRACTOR.~~

~~_____ b. CONTRACTOR may disclose PHI COUNTY discloses to CONTRACTOR for the proper management and administration of CONTRACTOR or to carry out the legal responsibilities of CONTRACTOR, if:~~

~~_____ 1) The Disclosure is required by law; or~~

~~_____ 2) CONTRACTOR obtains reasonable assurances from the person to whom the PHI is disclosed that it will be held confidentially and used or further disclosed only as required by law or for the purposes for which it was disclosed to the person and the person immediately notifies CONTRACTOR of any instance of which it is aware in which the confidentiality of the information has been breached.~~

~~_____ c. CONTRACTOR may use or further disclose PHI COUNTY discloses to CONTRACTOR to provide Data Aggregation services relating to the Health Care Operations of CONTRACTOR.~~

~~_____ 2. CONTRACTOR may use PHI COUNTY discloses to CONTRACTOR, if necessary, to carry out legal responsibilities of CONTRACTOR.~~

~~_____ 3. CONTRACTOR may use and disclose PHI COUNTY discloses to CONTRACTOR consistent with the minimum necessary policies and procedures of COUNTY.~~

~~_____ 4. CONTRACTOR may use or disclose PHI COUNTY discloses to CONTRACTOR as required by law.~~

~~H. PROHIBITED USES AND DISCLOSURES~~

~~_____ 1. CONTRACTOR shall not disclose PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY about an individual to a health plan for payment or health care operations purposes if the PHI pertains solely to a health care item or service for which the health care provider involved has been paid out of pocket in full and the individual requests such restriction, in accordance with 42 USC § 17935(a) and 45 CFR § 164.522(a).~~

~~_____ 2. CONTRACTOR shall not directly or indirectly receive remuneration in exchange for PHI COUNTY discloses to CONTRACTOR or CONTRACTOR creates, receives, maintains, or transmits on behalf of COUNTY, except with the prior written consent of COUNTY and as permitted by 42 USC § 17935(d)(2).~~

~~I. OBLIGATIONS OF COUNTY~~

~~_____ 1. COUNTY shall notify CONTRACTOR of any limitation(s) in COUNTY's notice of privacy practices in accordance with 45 CFR § 164.520, to the extent that such limitation may affect CONTRACTOR's Use or Disclosure of PHI.~~

~~_____ 2. COUNTY shall notify CONTRACTOR of any changes in, or revocation of, the permission by an Individual to use or disclose his or her PHI, to the extent that such changes may affect CONTRACTOR's Use or Disclosure of PHI.~~

~~_____ 3. COUNTY shall notify CONTRACTOR of any restriction to the Use or Disclosure of PHI that COUNTY has agreed to in accordance with 45 CFR § 164.522, to the extent that such restriction may affect CONTRACTOR's Use or Disclosure of PHI.~~

~~_____ 4. COUNTY shall not request CONTRACTOR to use or disclose PHI in any manner that would not be permissible under the HIPAA Privacy Rule if done by COUNTY.~~

1 ~~J. BUSINESS ASSOCIATE TERMINATION~~

2 ~~1. Upon COUNTY's knowledge of a material breach or violation by CONTRACTOR of the~~
3 ~~requirements of this Business Associate Contract, COUNTY shall:~~

4 ~~a. Provide an opportunity for CONTRACTOR to cure the material breach or end the violation~~
5 ~~within thirty (30) business days; or~~

6 ~~b. Immediately terminate the Agreement, if CONTRACTOR is unwilling or unable to cure~~
7 ~~the material breach or end the violation within (30) days, provided termination of the Agreement is feasible.~~

8 ~~2. Upon termination of the Agreement, CONTRACTOR shall either destroy or return to~~
9 ~~COUNTY all PHI CONTRACTOR received from COUNTY or CONTRACTOR created, maintained, or~~
10 ~~received on behalf of COUNTY in conformity with the HIPAA Privacy Rule.~~

11 ~~a. This provision shall apply to all PHI that is in the possession of Subcontractors or agents~~
12 ~~of CONTRACTOR.~~

13 ~~b. CONTRACTOR shall retain no copies of the PHI.~~

14 ~~c. In the event that CONTRACTOR determines that returning or destroying the PHI is not~~
15 ~~feasible, CONTRACTOR shall provide to COUNTY notification of the conditions that make return or~~
16 ~~destruction infeasible. Upon determination by COUNTY that return or destruction of PHI is infeasible,~~
17 ~~CONTRACTOR shall extend the protections of this Business Associate Contract to such PHI and limit~~
18 ~~further Uses and Disclosures of such PHI to those purposes that make the return or destruction infeasible,~~
19 ~~for as long as CONTRACTOR maintains such PHI.~~

20 ~~3. The obligations of this Business Associate Contract shall survive the termination of the~~
21 ~~Agreement.~~

22 #



EXHIBIT C

TO AGREEMENT FOR PROVISION OF

TRANSITIONAL AGE YOUTH AND YOUNG ADULT
MENTAL HEALTH OUTREACH SERVICES

BETWEEN

COUNTY OF ORANGE

AND

THE LAGUNA PLAYHOUSE

DECEMBER 15, 2019 THROUGH JUNE 30, 2022

I. PERSONAL INFORMATION PRIVACY AND SECURITY CONTRACT

~~Any reference to statutory, regulatory, or contractual language herein shall be to such language as in effect or as amended.~~

~~A. DEFINITIONS~~

~~1. "Breach" shall have the meaning given to such term under the IEA and CMPPA. It shall include a "PII loss" as that term is defined in the CMPPA.~~

~~2. "Breach of the security of the system" shall have the meaning given to such term under the California Information Practices Act, Civil Code § 1798.29(d).~~

~~3. "CMPPA Agreement" means the Computer Matching and Privacy Protection Act Agreement between the Social Security Administration and the California Health and Human Services Agency (CHHS).~~

~~4. "DHCS PI" shall mean Personal Information, as defined below, accessed in a database maintained by the COUNTY or California Department of Health Care Services (DHCS), received by CONTRACTOR from the COUNTY or DHCS or acquired or created by CONTRACTOR in connection with performing the functions, activities and services specified in the Agreement on behalf of the COUNTY.~~

~~5. "IEA" shall mean the Information Exchange Agreement currently in effect between the Social Security Administration (SSA) and DHCS.~~

~~6. "Notice-triggering Personal Information" shall mean the personal information identified in Civil Code section 1798.29(e) whose unauthorized access may trigger notification requirements under Civil Code § 1709.29. For purposes of this provision, identity shall include, but not be limited to, name, identifying number, symbol, or other identifying particular assigned to the individual, such as a finger or~~

~~voice print, a photograph or a biometric identifier. Notice-triggering Personal Information includes PI in electronic, paper or any other medium.~~

~~7. "Personally Identifiable Information" (PII) shall have the meaning given to such term in the IEA and CMPPA.~~

~~#~~

~~8. "Personal Information" (PI) shall have the meaning given to such term in California Civil Code § 1798.3(a).~~

~~9. "Required by law" means a mandate contained in law that compels an entity to make a use or disclosure of PI or PII that is enforceable in a court of law. This includes, but is not limited to, court orders and court-ordered warrants, subpoenas or summons issued by a court, grand jury, a governmental or tribal inspector general, or an administrative body authorized to require the production of information, and a civil or an authorized investigative demand. It also includes Medicare conditions of participation with respect to health care providers participating in the program, and statutes or regulations that require the production of information, including statutes or regulations that require such information if payment is sought under a government program providing public benefits.~~

~~10. "Security Incident" means the attempted or successful unauthorized access, use, disclosure, modification, or destruction of PI, or confidential data utilized in complying with this Agreement; or interference with system operations in an information system that processes, maintains or stores PI.~~

~~B. TERMS OF AGREEMENT~~

~~1. Permitted Uses and Disclosures of DHCS PI and PII by CONTRACTOR. Except as otherwise indicated in this Exhibit, CONTRACTOR may use or disclose DHCS PI only to perform functions, activities, or services for or on behalf of the COUNTY pursuant to the terms of the Agreement provided that such use or disclosure would not violate the California Information Practices Act (CIPA) if done by the COUNTY.~~

~~2. Responsibilities of CONTRACTOR~~

~~CONTRACTOR agrees:~~

~~a. Nondisclosure. Not to use or disclose DHCS PI or PII other than as permitted or required by this Personal Information Privacy and Security Contract or as required by applicable state and federal law.~~

~~b. Safeguards. To implement appropriate and reasonable administrative, technical, and physical safeguards to protect the security, confidentiality and integrity of DHCS PI and PII, to protect against anticipated threats or hazards to the security or integrity of DHCS PI and PII, and to prevent use or disclosure of DHCS PI or PII other than as provided for by this Personal Information Privacy and Security Contract. CONTRACTOR shall develop and maintain a written information privacy and security program that include administrative, technical and physical~~

~~4 of 4~~

~~EXHIBIT C~~

~~THE LAGUNA PLAYHOUSE MA-042-20010847~~

~~County of Orange, Health Care Agency
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~~Contract MA-042-20010847~~

~~safeguards appropriate to the size and complexity of CONTRACTOR's operations and the nature and scope of its activities, which incorporate the requirements of Paragraph (c), below. CONTRACTOR will provide COUNTY with its current policies upon request.~~

~~c. Security. CONTRACTOR shall ensure the continuous security of all computerized data systems containing DHCS PI and PII. CONTRACTOR shall protect paper documents containing DHCS PI and PII. These steps shall include, at a minimum:~~

~~//~~

~~1) Complying with all of the data system security precautions listed in Paragraph E of the Business Associate Contract, Exhibit B to the Agreement; and~~

~~2) Providing a level and scope of security that is at least comparable to the level and scope of security established by the Office of Management and Budget in OMB Circular No. A-130, Appendix III Security of Federal Automated Information Systems, which sets forth guidelines for automated information systems in Federal agencies.~~

~~3) If the data obtained by CONTRACTOR from COUNTY includes PII, CONTRACTOR shall also comply with the substantive privacy and security requirements in the Computer Matching and Privacy Protection Act Agreement between the SSA and the California Health and Human Services Agency (CHHS) and in the Agreement between the SSA and DHCS, known as the Information Exchange Agreement (IEA). The specific sections of the IEA with substantive privacy and security requirements to be complied with are sections E, F, and G, and in Attachment 4 to the IEA, Electronic Information Exchange Security Requirements, Guidelines and Procedures for Federal, State and Local Agencies Exchanging Electronic Information with the SSA. CONTRACTOR also agrees to ensure that any of CONTRACTOR's agents or subcontractors, to whom CONTRACTOR provides DHCS PII agree to the same requirements for privacy and security safeguards for confidential data that apply to CONTRACTOR with respect to such information.~~

~~d. Mitigation of Harmful Effects. To mitigate, to the extent practicable, any harmful effect that is known to CONTRACTOR of a use or disclosure of DHCS PI or PII by CONTRACTOR or its subcontractors in violation of this Personal Information Privacy and Security Contract.~~

~~e. CONTRACTOR's Agents and Subcontractors. To impose the same restrictions and conditions set forth in this Personal Information and Security Contract on any subcontractors or other agents with whom CONTRACTOR subcontracts any activities under the Agreement that involve the disclosure of DHCS PI or PII to such subcontractors or other agents.~~

~~f. Availability of Information. To make DHCS PI and PII available to the DHCS and/or COUNTY for purposes of oversight, inspection, amendment, and response to requests for records, injunctions, judgments, and orders for production of DHCS PI and PII. If CONTRACTOR receives DHCS PII, upon request by COUNTY and/or DHCS, CONTRACTOR shall provide COUNTY and/or DHCS with a list of all employees, contractors and agents who have access to DHCS PII, including employees, contractors and agents of its subcontractors and agents.~~

~~g. Cooperation with COUNTY. With respect to DHCS PI, to cooperate with and assist the COUNTY to the extent necessary to ensure the DHCS's compliance with the applicable terms of the CIPA including, but not limited to, accounting of disclosures of DHCS PI, correction of errors in DHCS PI, production of DHCS PI, disclosure of a security breach involving DHCS PI and notice of such breach to the affected individual(s).~~

~~h. Breaches and Security Incidents. During the term of the Agreement, CONTRACTOR agrees to implement reasonable systems for the discovery of any breach of unsecured DHCS PI and PII or security incident. CONTRACTOR agrees to give notification of any beach of unsecured DHCS PI and PII or security incident in accordance with Paragraph F, of the Business Associate Contract,~~

~~Exhibit B to the Agreement.~~

~~i. Designation of Individual Responsible for Security. CONTRACTOR shall designate an individual, (e.g., Security Officer), to oversee its data security program who shall be responsible for carrying out the requirements of this Personal Information Privacy and Security Contract and for communicating on security matters with the COUNTY.~~

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#Office of the County Counsel
Orange County, California

Print Name

Deputy County Counsel

Title

Signature

Date